

Mr. Richard Smith  
Plasser UK Limited  
Manor Road  
West Ealing  
London  
W13 0PP

Case Ref PRM-IOP-0438  
EIN UK/54/2023/0003

27<sup>th</sup> March 2023

**Contact: Paul Frary**  
HM Inspector of Railways  
25 Cabot Square  
London  
E14 4QZ

Dear Mr. Smith

**THE RAILWAYS (INTEROPERABILITY) REGULATIONS 2011, AS AMENDED  
AUTHORISATION OF USP 5000 BALLAST REGULATOR**

**MACHINE NUMBER: DR 77910 EVN 99 709 125008-1**

I refer to your application for authorisation, received on the March 6<sup>th</sup> 2023.

Following review of your application, I can confirm that ORR grants authorisation under regulation 4 (1) (a) of the Railways (Interoperability) Regulations 2011, as amended. This authorisation is for the placing into service USP 5000 Ballast Regulator DR 77910 .

I refer to your UK Declaration of Verification, dated 6<sup>th</sup> March 2023.

The restrictions or limitations of use on the structural subsystem are those contained in your Declaration of Verification dated 6<sup>th</sup> March 2023 and contained in your Technical File, reference ACS 3309.

There are No Additional Conditions but I remind you that the Technical File should be kept up to date.

The rolling stock subsystem(s) authorised by this letter must be operated and maintained in accordance with Regulation 20.

You should be aware that any future modifications to the authorised subsystem may constitute a 'renewal' or an 'upgrade' as defined in Regulation 2. If a project entity, in relation to the project, considers that the modification meets either of these definitions they may apply, in accordance with the provisions of Regulation 13, to the Department for Transport (DfT) for a decision on whether a new authorisation will be



required. Should DfT decide that an authorisation is not required they must consult with ORR whether authorisation is required on safety grounds.

As the project entity you are responsible for retaining the technical file, keeping it up to date and making it available to the ORR in accordance with Regulations 18 and 19.

If you are not the owner of the authorised subsystem you shall within 60 days, in accordance with Regulation 19(3), transfer the technical file, certificate of verification and verification declaration to the owner of the subsystem and the owner shall then be regarded as the project entity. If the owner, in accordance with Regulation 19(4), disposes of his interest in the authorised subsystem, he shall within 60 days of the disposal transfer the technical file, certificate of verification and verification declaration to the person acquiring that interest and that person shall be regarded as the project entity.

Please note that under Regulation 36, the person who applied for the authorisation shall send particulars to the Registration Entity to enable the registration entity to enter the information on the National Vehicle Register. This will include such further information as the registration entity may reasonably require set out in the relevant standard.

The person who applied for the authorisation to place in service will be issued with a determination of type in accordance with Commission Implementing Decision 2011/665/EC. The person who applied for the authorisation to place in service will receive the type authorisation after providing the data to the Registration Entity in accordance with Annex II of Commission Implementing Decision 2011/665/EC.

If you are the operator, may I remind you of the need to have adequate arrangements within your Safety Management System to control the risks associated with this rolling stock subsystem(s).

This decision letter will be published on ORR website.



Yours sincerely

**Steve Fletcher**  
**Deputy Director, Engineering & Asset Management**

Cc

|                           |  |
|---------------------------|--|
| Ian Prosser               | Director, Railway Safety Directorate, ORR                        |
| James Le Grice            | Head of Interoperability, DfT                                    |
| National Vehicle Register | <a href="mailto:nvr@networkrail.co.uk">nvr@networkrail.co.uk</a> |
| Matthew Farrell           | Head of Inspection Team (South)                                  |
| Giles Turner              | Head of Interoperability, ORR                                    |
| Dave Galloway             | Professional Head of System Compatibility, NR                    |