



OFFICE OF RAIL AND ROAD

Kevin McClelland
Route Delivery Director
Network Rail, Infrastructure Projects
George House 1st Floor
36 North Honnover Street
Glasgow
G12AD

Your Ref 145729

Our Ref PRM-IOP-0295

Date 12.12.2019

Contact: Mark Whitham

ORR
Tara House
46 Bath Street
Glasgow
G21HG

Dear Mr McClelland

**THE RAILWAYS (INTEROPERABILITY) REGULATIONS 2011, AS AMENDED
- APPLICATION FOR THE AUTHORISATION TO PLACE IN SERVICE OF
DUNBAR DOWN STATION PLATFORM. EIN UK/61/2019/0007**

I refer to your application for authorisation, the EC declaration of verification reference, NR/L2/RSE/100/03/F02 dated 14th November 2019, technical file references - NCB_IC4455_NoBo-DeBo AR_3524 Issue1 dated 4th November 2019 and declaration of control of risk NR/L2/RSE/100/02/F09 Dated 11th December 2019

Following review of your application, I can confirm that ORR grants authorisation under regulation 4(1)(a) of the Railways (Interoperability) Regulations 2011, as amended. This authorisation is for the placing in service of the following infrastructure-

Dunbar Down Station Platform

ELR ECM8, approximately between 29m 0185y and 29m 0485y

The conditions of use on the structural subsystem are those listed on the declaration of verification dated 14th November 2019, contained in your technical file, referenced - NCB_IC4455_NoBo-DeBo AR_3524 Issue1 dated 4th November
These limitations/restrictions and conditions are summarised below:



Conditions

Prior to opening the station platform, the project will validate full compliance with the requirements of the following:

1. Clause 4.1.2.9 – Lighting – Construction phase assessment of the lighting installation must be completed as detailed in PRM TSI table E.1
2. Clause 4.2.1.11 - Spoken information – Construction phase assessment of the spoken information must be completed as detailed in PRM TSI Table E.1
3. Clause 3 4.2.1.2- 13 - You will confirm the realisation of the design or complete the construction phase checks via as built drawings or site inspection as detailed in PRM table E. 1
4. From the SAR – you will close out the open non compliances and recommendations in line in your DoCoR dated 11th December 2019

The infrastructure subsystem(s) authorised by this letter must be operated and maintained in accordance with Regulation 20.

You should be aware that any future modifications to the authorised subsystem may constitute a 'renewal' or an 'upgrade' as defined in Regulation 2. If a project entity, in relation to the project, considers that the modification meets either of these definitions they may apply, in accordance with the provisions of Regulation 13, to the Department for Transport (DfT) for a decision on whether a new authorisation will be required. Should DfT decide that an authorisation is not required they must consult with ORR whether authorisation is required on safety grounds.

As the project entity you are responsible for retaining the technical file, keeping it up to date and making it available to the ORR in accordance with Regulations 18 and 19.

If you are not the owner of the authorised subsystem you shall within 60 days, in accordance with Regulation 19(3), transfer the technical file, certificate of verification and verification declaration to the owner of the subsystem and the owner shall then be regarded as the project entity. If the owner, in accordance with Regulation 19(4), disposes of his interest in the authorised subsystem, he shall within 60 days of the disposal transfer the technical file, certificate of verification and verification declaration to the person acquiring that interest and that person shall be regarded as the project entity.

Please note that the person who applied for the authorisation shall send particulars to the owner of the infrastructure to enable the owner of the infrastructure to enter the items on the Register of Infrastructure in accordance with Table 1 Commission

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Implementing Decision 2011/633/EU. This will include such further information as the registration entity may reasonably require set out in the relevant standard.

If you are the operator, may I remind you of the need to have adequate arrangements within your Safety Management System to control the risks associated with this infrastructure subsystem(s).

Yours sincerely



Steve Fletcher
Deputy Director,
Engineering and Asset Management, ORR

Cc

D Galloway
Ian Jones
Audrey Fernandes
David Gould
Giles Turner

Head of System Compatibility, Network Rail
Head of Interoperability, Safety and Standards DfT

ORR HM Principal Inspector of Railways, RSD (Scot)
Interoperability Manager ORR