

Feras Alshaker
Head of Planning & Enhancements



06 October 2017

Calvin Lloyd
Policy & Programmes Director, System Operator
Network Rail Infrastructure Limited
1 Eversholt Street
London
NW1 2DN

Dear Calvin,

Enhancements Delivery Plan (EDP) change control: July - September 2017

I am writing to notify you of our decisions on the recent requests you have made to amend the EDP under the change control mechanism established through the periodic review. This letter sets out our decisions for your submissions since the last publication of the EDP in June 2017.

We have made decisions on the status of changed regulated milestones (as missed or revised) in this letter, using the criteria we have agreed. We intend to log these as such in the regulated milestones table that is published alongside the Network Rail Monitor.

CR005 North West Electrification (NWEF) Phase 5

You are requesting to reduce the project scope, including cancellation of OLE between Bromley Street (East of Manchester Victoria) and Miles Platting. We understand new scope will need to be added at Manchester Victoria to provide the required capacity, which will be dealt with under a separate change control once the scope is confirmed. You are not proposing any changes to regulated milestones.

We note that a Train Operating Company has objected to this change due to forecast impacts on their future performance. Condition 1 of Network Rail's licence requires it to improve and enhance the network, so as to satisfy the reasonable requirements of persons providing services. However, this must be achieved to the greatest extent reasonably practicable having regard to all relevant circumstances including the ability of Network Rail to finance its licenced activities. As such we do not believe these stakeholder objections amount to legitimate grounds for not approving the change in accordance with our statutory duties. We note that the objection was acknowledged in your change control submission and we understand that DfT, as funder, were aware of the objection when assessing this change.

We **approve** your requested changes to the EDP.

CR005 North West Electrification (NWEF) Phase 4

You are requesting a temporary amendment to the EDP to show the regulated milestone date for Entry Into Service as “TBC”. The previous date of December 2017 is no longer achievable due to the late identification of the correct standards for design (electrical clearances), and the extent of shallow mine workings. We understand that Network Rail are unable to commit to a new date until these issues have been resolved, but that you will update the EDP to provide a regulated milestone date by the end of December 2017.

Condition 1 of Network Rail’s licence requires it to prepare and publish a delivery plan (the EDP, in the case of Enhancements) that allows operators to plan their business and funders to plan future financial and service requirements with a reasonable degree of assurance. Changing the milestone date to “TBC” so close to the previously planned date seems contrary to this stated requirement, however we acknowledge that leaving the date as “Dec-17” does not fulfil the purpose of the licence requirement if this date cannot be achieved.

We **approve** your temporary changes to the EDP. We will hold Network Rail to account for providing a date for this regulated milestone by the end of December 2017.

ES003k Market Harborough line speed improvements (LSI)

You are requesting to revise the wording in the EDP to identify Market Harborough LSI as a separate project and to clarify its deliverables. You are not proposing to change any regulated or indicative milestones. You have removed your obligation to develop solutions to increase the line speed at Leicester South and between Derby Station and Sheffield as part of this project. This is to avoid duplication as these are being developed as part of the Passenger Journey Improvement Fund (PJIF).

We **approve** your requested changes to the EDP.

A003 West Anglia Mainline Capacity Increase

You are requesting to revise the EDP entry to formalise the inclusion of a new station at Meridian Water. Due to this additional scope, you are requesting to delay the regulated milestone for Entry Into Service from December 2018 to May 2019.

We **approve** your requested changes to the EDP. We intend to log the December 2018 regulated milestone as **revised**.

EM001 Midland Mainline Electrification

You are requesting the removal of electrification outputs, scope and milestones associated with Key Output 2 (electrification from Kettering to Nottingham and Sheffield), following the decision by DfT not to continue this work. This includes removal of a regulated milestone for Entry Into Service (due in CP6).

You are also requesting to revise the EDP wording and introduce new outputs, scope and milestones, to describe the enabling of bi-modal services.

We **approve** your requested changes to the EDP. We intend to log the regulated milestones associated with KO2 electrification as **deleted**.

LNE001b Leeds Station Capacity

You are requesting to update the EDP wording, now that the project is progressing beyond the GRIP 3 stage (option selection and AIP). You are requesting to revise all post-GRIP3 indicative milestones.

We note your intended changes to non-regulated items in the EDP but, as there are no changes to regulated outputs, no approval is needed from the ORR.

LNW009 Bromsgrove Electrification

You are requesting to delay the regulated milestone for Entry Into Service (Infrastructure authorised for passenger use) from November 2017 to May 2018. The delay is due to Network Rail being unable to obtain sufficient access to complete the works.

We **approve** your requested changes to the EDP. We intend to log the November 2017 regulated milestone as **missed**.

W001b Cardiff to Swansea Electrification

You are requesting to revise the EDP to remove all outstanding outputs, scope and milestones, following the decision by DfT not to continue this project. This includes removal of a regulated milestone for Entry Into Service (due in CP6).

We **approve** your requested changes to the EDP. We intend to log the regulated milestone for Entry Into Service as **deleted**.

W006 Oxford Corridor Capacity

You are requesting to delay the milestone for Entry Into Service (Phase 1) from May 2018 to August 2018 and to change this from an indicative milestone to a regulated milestone. The delay is due to Network Rail being unable to obtain sufficient access to complete the works.

We **approve** your requested changes to the EDP.

W009 West of England DMU Capability

You are requesting to remove gauge clearance works West of Plymouth from the project scope. This gauge clearance is no longer required because cascaded rolling stock will not operate West of Plymouth. You are not proposing any changes to regulated or indicative milestones.

We **approve** your requested changes to the EDP.

IFDfT002 Oxenholme to Windermere (NWEp Phase 6)

You are requesting to revise the EDP to remove all outstanding outputs, scope and milestones associated with electrification of this line, following the decision by DfT not to continue this project. There are no regulated milestones on this project.

We understand that you intend to submit a separate change control to cover additional scope for assessment of Windermere platforms and route clearance works.

We note your intended changes to non-regulated items in the EDP but, as there are no changes to regulated outputs, no approval is needed from the ORR.

IFDfT005 Coventry to Nuneaton Enhancement

You are requesting to delay the indicative milestone for GRIP4 (single option scope definition) from August 2017 to January 2018. The delay is due to the funder's decision to competitively tender for GRIP3 & 4 works. There are no regulated milestones for this project.

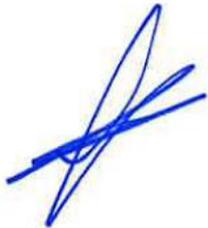
We note your intended changes to non-regulated items in the EDP but, as there are no changes to regulated outputs, no approval is needed from the ORR.

IFDfT007 Cornwall Capacity Enabling Scheme

You are requesting to revise the EDP to remove scope and indicative milestones relating to phase 2 of the project, including de-scoping of signal renewal works, pending confirmation of funding in a future control period. There are no regulated milestones on this project.

We note your intended changes to non-regulated items in the EDP but, as there are no changes to regulated outputs, no approval is needed from the ORR.

Yours sincerely,

A handwritten signature in blue ink, consisting of several overlapping, fluid strokes that form a stylized, abstract shape.

Feras Alshaker

ORR - Head of Planning & Enhancements