Oliver Stewart Senior Executive, RAIB Recommendation Handling



15 February 2018

Jill Collis Director of Health, Safety & Environment Transport for London 11th floor, 11 B3 197 Blackfriars Way London SE1 8NJ

Dear Jill,

RAIB report: Overturning of a tram at Sandilands Junction, Croydon 9 November 2016

Please find attached a copy of the above Rail Accident Investigation Board (RAIB) report and recommendations published on 7 December 2017.

In accordance with the Railways (Accident Investigation and Reporting) Regulations 2005 (the Regulations)¹ the Office of Rail and Road (ORR) as the Safety Authority, has considered this report and its recommendations and which organisations should address them.

As you are aware, recommendations 1-8 and the framework around how they can be addressed was discussed in some detail at the conference on 22 January 2018 and a steering group has been put together to take that work forward on a cross industry basis.

- I. Recommendations 10 is directed to Tram Operations Ltd and London Trams,
- II. Recommendation 11 & 12 are directed to Tram Operations Ltd
- III. Recommendation13, is directed to Tram Operations Limited and London Trams working jointly in conjunction with TfL,
- IV. Recommendation 14 and 15 are directed to London Trams, in **consultation** with Tram Operations Limited,

We now require both organisations² to take the recommendations directed to them into consideration and where appropriate act upon them, and provide us with:

(a) full details of any measures taken to implement the recommendations; or

¹ The Regulations can be found at: <u>http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/investigating-health-and-safety-incidents/handling-raib-recommendations</u>

² In accordance with regulation 12(4) of the Regulations.

- (b) full details of any measures that you propose to take to implement the recommendations and the proposed timetable for securing that implementation; or
- (c) a full explanation as to why you do not think that any measures to implement any of the recommendations is necessary.

We now require the first named organisation in points i) to iv) above to provide this information by **13 April 2018**. If you think you will need more time to respond then please contact me to agree when you can provide the required information.

Where a recommendation is directed to both organisations a single response from the first named organisation on behalf of both, with a brief accompanying letter from the other organisation affirming agreement to the response will be acceptable.

We recognise that there may be circumstances where the action(s) you propose to take to deal with the risks identified in RAIB's report differ from the exact terms of the recommendations. It would be helpful if you could make clear if this is the case, and explain why you consider that your actions will secure the same outcome.

We consider that it may be helpful to discuss what actions you propose to take in advance of your response to ensure that we have a joint clear understanding of what the recommendations require and how you propose to address them. You may therefore wish to discuss the recommendations with the ORR lead inspector, Dominic Long.

[contact details redacted]

When we receive the information we have asked for we are required under the Regulations to report this to the RAIB³. We will also publish our response to the RAIB on our website. We may summarise the information you provide in doing this.

You may therefore wish to discuss your proposed response with us and/or other interested parties before submitting it to us. You should also indicate if any of the information you provide is commercially confidential, or give other reasons, if you believe it should not be published, although it may be shared with the RAIB. We will assume that you are content for us to publish the information you provide unless you tell us otherwise.

Yours Sincerely

³ As required by regulation 12(2)(b).

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Oliver Stewart

Oliver Stewart Senior Executive, RAIB Recommendation Handling



15 February 2018

Jackie Townsend Operations Director Tram Operations Ltd Tramlink Depot Coomber Way Croydon Surrey CR0 4TQ

Dear Jackie,

RAIB report: Overturning of a tram at Sandilands Junction, Croydon 9 November 2016

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Yours Sincerely

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