

Station Licence
granted to
First Greater Western Limited

Last modified 4 December 2019 to take account of changes to conditions 3 and 5.

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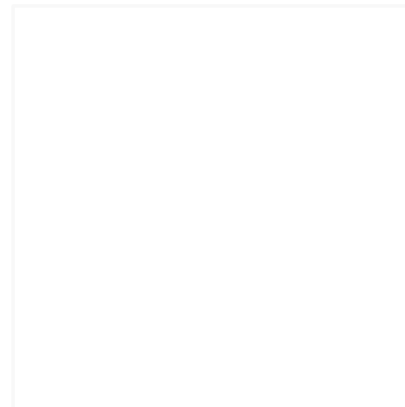
Note: Condition 8 is not used in this licence.

Part I - Scope

1. The Office of Rail Regulation (“ORR”), in exercise of the powers conferred by section 8 of the Railways Act 1993 (as amended) (“the Act”), hereby grants to First Greater Western Limited, company registration number 05113733 (“the licence holder”) a licence authorising the licence holder:
 - (a) to be the operator of the stations listed in the Schedulesubject to the Conditions set out in Part III.
2. This licence shall come into force on 1 April 2006 and shall continue in force unless and until revoked in accordance with Part IV or the Act.

March 2006

Signed by authority of the
Office of Rail Regulation



Part II - Interpretation

1. In this licence:

“comply” is to be interpreted in accordance with ORR’s most recently published licensing guidance.

“control” (a) A person is taken to have control of the licence holder if he exercises, or is able to exercise or is entitled to acquire, direct or indirect control over the licence holder’s affairs, and in particular if he possesses or is entitled to acquire:

(i) 30% or more of any share capital or issued share capital of the licence holder or of the voting power in the licence holder; or

(ii) such part of any issued share capital of the licence holder as would, if the whole of the income of the licence holder were in fact distributed among the participators (without regard to any rights which he or any other person has as a loan creditor), entitle him to receive 30% or more of the amount so distributed; or

(iii) such rights as would, in the event of the winding-up of the licence holder or in any other circumstances, entitle him to receive 30% or more of the assets of the licence holder which would then be available for distribution among the participators.

(b) Subsections (4) to (6) of section 416 of the Income and Corporation Taxes Act 1988, and the legislative provisions referred to in those subsections, apply to the interpretation of paragraph (a) in the same way that they apply to the interpretation of subsection (2) of section 416 of that Act;

“licensed activities” means things authorised to be done by the licence holder in its capacity as operator of stations pursuant to this licence; and

“LTUC” means the London Transport Users Committee and any successor to LTUC which performs the same functions.

“the PRO Regulation” means Regulation (EC) No.1371/2007 of the European Parliament and of the Council of 23 October 2007 on rail passengers’ rights and obligations.

“the RPC” means the Rail Passengers Council¹ and any successor or delegated body which performs the functions of the RPC.

“RSSB” means Rail Safety and Standards Board Limited (a company limited by guarantee and registered in England and Wales under number 04655675), and its successors and assigns.

“station” includes light maintenance depot insofar as any station is also a light maintenance depot.

2. Any reference in this licence to a numbered paragraph is a reference to the paragraph bearing that number in the Condition in which the reference occurs.
3. In interpreting this licence, headings shall be disregarded.
4. Where in this licence the licence holder is required to comply with any obligation within a specified time limit, that obligation shall be deemed to continue after that time limit if the licence holder fails to comply with that obligation within that time limit.
5. Where in this licence there is a provision for ORR or the Secretary of State to give consent, such consent may be given subject to conditions.
6. The Interpretation Act 1978 shall apply to this licence as if it were an Act.

¹ RPC was renamed Passengers’ Council (PC).

7. The provisions of section 149 of the Act shall apply for the purposes of the service of any document pursuant to this licence.
8. Unless the context otherwise requires, terms and expressions defined in the Act and the Railways Act 2005 shall have the same meanings in this licence.

Part III - Conditions

Condition 1: Insurance against Third Party Liability

1. The licence holder shall, in respect of licensed activities, maintain insurance (including self-insurance) against third party liabilities on terms approved by ORR (including, but without limitation, with respect to the type, cover and level of insurance and identity of insurer), with any such modification as may be required pursuant to paragraph 3.
2. The licence holder shall, except where ORR may otherwise consent, ensure that every insurance policy maintained pursuant to paragraph 1 shall require 30 days' notice to be given to ORR by the insurer or insurance broker of any lapse or cancellation of or material change to the policy.
3. Where ORR notifies the licence holder that ORR reasonably requires any modification of the insurance approved by ORR pursuant to paragraph 1, the licence holder shall, no later than 60 days (or such longer period as ORR may approve) from the date of the notice, procure that such modification is made.
4. In this Condition:
"self insurance" means the licence holder's financial capacity to meet any liability to a third party in respect of which the licence holder does not otherwise have insurance.

Condition 2: Claims Allocation and Handling

1. The licence holder shall, except in so far as ORR may otherwise consent, at all times be a party to and comply with such agreements or arrangements (as amended from time to time) relating to:
 - (a) the handling of claims against operators of railway assets; and
 - (b) the allocation of liabilities among operators of railway assetsas may have been approved by ORR.

2. Except with the consent of ORR, the licence holder shall not, in relation to any of the agreements or arrangements described in paragraph 1 (the “relevant claims handling arrangements”), enter into any agreement or arrangement with any other party to the relevant claims handling arrangements:
 - (a) under which the licence holder agrees not to exercise any rights which it may have under any of the relevant claims handling arrangements; or
 - (b) varying the relevant claims handling arrangementsother than as provided for under the terms of the relevant claims handling arrangements.

Condition 3: Passenger Rights

1. The licence holder shall comply with articles 18 and 29 of the PRO Regulation.

Condition 4: Information for Passengers

1. The licence holder shall cooperate with train operators so far as is reasonably necessary to enable them to meet their obligations to provide information to passengers.

Condition 5: Accessible Travel Policy

1. The licence holder shall establish and thereafter comply with:
 - (a) a statement of policy; and
 - (b) a detailed body of arrangements, procedures, services and other benefits to be implemented or provided by the licence holder, designed to protect the interests of people who are disabled in their use of stations operated by the licence holder and to facilitate such use (together the “ATP”).
2. In establishing the ATP and in making any change to it, the licence holder shall have due regard to the code of practice published by the Secretary of State pursuant to section 71B of the Act and to articles 19(1), 21, 22 and 24 of the PRO Regulation.
3. The licence holder shall not establish, or make any material changes (save in respect of paragraph 4(b)), to the ATP unless and until:
 - (a) the PC and, where appropriate, LTUC has been consulted; and
 - (b) the licence holder has submitted the ATP, or (as the case may be) the proposed change, to ORR and ORR has approved it.
4. Where ORR requires the licence holder to carry out a review of the ATP or any part of it or the manner in which it has been implemented, with a view to determining whether any change should be made to it, the licence holder shall:
 - (a) promptly carry out a review and submit a written report to ORR setting out the results or conclusions; and
 - (b) make such changes to the ATP, or the manner in which it is implemented, as ORR may reasonably require after ORR has received a report under paragraph 4(a) and consulted the licence holder, the PC and, where appropriate, LTUC.
5. The licence holder shall:
 - (a) send a copy of the ATP and of any change to it to ORR and the PC and, where appropriate, LTUC;
 - (b) in a place of reasonable prominence at each station operated by the

licence holder, display or procure the display of a notice giving the address from which a current copy of the statement may be obtained; and

- (c) make available free of charge a current copy of the statement to any person who requests it.
6. Nothing in this condition shall oblige the licence holder to undertake any action that entails excessive cost, taking into account all the circumstances including the nature and scale of licensed activities.

Condition 6: Complaints Handling

1. The licence holder shall establish and thereafter comply with a procedure for handling complaints relating to licensed activities from its customers and potential customers (the “Complaints Procedure”).
2. The licence holder shall not establish, or make any material change (save in respect of paragraph 3(b)), to the Complaints Procedure unless and until:
 - (a) the PC and, where appropriate, LTUC has been consulted; and
 - (b) the licence holder has submitted the Complaints Procedure, or (as the case may be) the proposed change, to ORR and ORR has approved it.
3. Where ORR requires the licence holder to carry out a review of the Complaints Procedure or any part of it or the manner in which it has been implemented, with a view to determining whether any change should be made to it, the licence holder shall:
 - (a) promptly carry out a review and submit a written report to ORR setting out the results or conclusions; and
 - (b) make such changes to the Complaints Procedure, or the manner in which it is implemented, as ORR may reasonably require after ORR has received a report under paragraph 3(a) and consulted the licence holder, the PC and, where appropriate, LTUC.
4. The licence holder shall:
 - (a) send a copy of the Complaints Procedure and of any change to it to ORR and the PC and, where appropriate, LTUC;
 - (b) in a place of reasonable prominence at each station operated by the licence holder, display or procure the display of a notice giving the address from which a current copy of the Complaints Procedure may be obtained; and
 - (c) make available free of charge a current copy of the Complaints Procedure to any person who requests it.
5. Alternative Dispute Resolution:
 - (a) the licence holder shall become and thereafter remain, a member of the Relevant ADR Scheme;

- (b) the licence holder shall comply with its obligations under the Relevant ADR Scheme; and
- (c) if the Relevant ADR Scheme, at any time, ceases to be Compliant, the licence holder must:
 - (i) within 14 days after becoming aware that the Relevant ADR Scheme is no longer Compliant, notify ORR of that fact;
 - (ii) within no more than 28 days after becoming aware that the Relevant ADR Scheme is no longer Compliant, notify ORR of the arrangements it has put in place to ensure that the interests of passengers are not adversely affected and must, if so directed by ORR at any time, revise those arrangements to take account of any concerns ORR reasonably raises about the protection of passenger interests; and
 - (iii) if the Relevant ADR Scheme continues to be non-Compliant for more than 6 months:
 - take all such steps as are reasonably practicable, including working together with other members of the Relevant ADR Scheme, and Rail Delivery Group, as appropriate, to identify another alternative dispute resolution scheme which is Compliant; and
 - notify such scheme to ORR within not more than 12 months (or such longer period as ORR may agree) after the date on which the Relevant ADR Scheme ceased to be Compliant.

6. For the purposes of this Condition:

“Relevant ADR Scheme” means:

- the alternative dispute resolution scheme procured by Rail Delivery Group (the Rail Ombudsman) or, as the case may be, any Successor Scheme.

“Successor Scheme” means:

- such other alternative dispute resolution scheme as is notified to ORR by the licence holder under sub-paragraph (c)(iii) above, and is accepted by ORR as providing suitable protection for the interests of passengers.

“Compliant”, in relation to the Relevant ADR Scheme, means:

- that the scheme is approved by the Designated Competent Authority and meets the requirements of ORR’s Guidance in respect of an alternative dispute resolution scheme.

“Designated Competent Authority” means:

- the relevant Designated Competent Authority under The Alternative Dispute Resolution for Consumer Disputes (Competent Authorities and Information) Regulations 2015.

“ORR’s Guidance” means:

- ORR’s Guidance on the Complaints Handling Procedures as amended from time to time.

Condition 7: Liaison with the RPC and LTUC

1. Whenever reasonably requested to do so by the RPC and LTUC (as relevant) the licence holder shall meet with the RPC and LTUC (as relevant) to discuss and review such matters as the RPC and LTUC (as relevant) may wish to consider in connection with its functions under Part I of the Act including the handling of complaints made about an alleged infringement of the PRO Regulation. The licence holder shall not under this Condition be obliged to attend more than two meetings with the RPC and LTUC (as relevant) in any calendar year.
2. The licence holder shall provide the RPC and LTUC (as relevant) with such information as satisfies all the following conditions:
 - (a) the RPC and LTUC (as relevant) reasonably requests the information for the proper performance of its functions under Part I of the Act;
 - (b) no undue burden is imposed on the licence holder in procuring or furnishing the information; and
 - (c) the information would normally be available to the licence holder, unless the RPC and LTUC (as relevant) considers the information essential to enable it to exercise its functions under Part I of the Act.
3. In every calendar year in which the licence holder meets with the RPC and, where appropriate, LTUC pursuant to paragraph 1, the licence holder shall be represented by one or more senior executives of the licence holder in at least one meeting with the RPC and LTUC (separately or jointly).
4. Where the licence holder holds another licence each number specified as a maximum or minimum in this Condition shall apply jointly to meetings under this Condition and to meetings under any corresponding condition in that other licence.
5. Where:
 - (a) the licence holder and the RPC or LTUC, or both disagree as to the reasonableness of a request made to the licence holder by the RPC or LTUC, or both under paragraph 1 or paragraph 2;

- (b) either party refers the dispute to the Secretary of State; and
 - (c) the Secretary of State determines that the request is reasonable
- the licence holder shall promptly thereafter comply with the request.

Condition 9: Safety and standards

1. The licence holder shall comply with:
 - (a) such Railway Group Standards as are applicable to its licensed activities; and
 - (b) subject to paragraph 2, such Rail Industry Standards (or parts thereof) as are applicable to its licensed activities.

2. The licence holder is not required to comply with an applicable Rail Industry Standard (or part thereof) where:
 - (a) it has, following consultation with such persons as it considers are likely to be affected, identified an equally effective measure which will achieve the purpose of the standard; and
 - (b) it has adopted and is complying with that measure.

3. In this Condition:

“Railway Group Standards”	means standards authorised pursuant to the Railway Group Standards Code prepared by RSSB; and
“Rail Industry Standards”	has the meaning set out in the Standards Manual, established by RSSB.

Condition 10: Environmental Matters

1. The licence holder shall establish a written policy designed to protect the environment from the effect of licensed activities, together with operational objectives and management arrangements (together “the environmental arrangements”).
2. The environmental arrangements shall:
 - (a) take due account of any relevant guidance issued by ORR;
 - (b) be effective within six months beginning with the day on which this licence comes into force; and
 - (c) be reviewed by the licence holder periodically, and otherwise as appropriate.
3. Nothing contained in paragraph 1 shall oblige the licence holder to undertake any action that entails excessive cost taking into account all the circumstances, including the nature and scale of operations of the type carried out by the licence holder.
4. The licence holder shall, upon establishment and any material modification of the environmental arrangements, promptly send ORR a current copy of the policy together with a summary of the operational objectives and management arrangements.
5. The licence holder shall act with regard to the policy and operational objectives and use its reasonable endeavours to operate the management arrangements effectively.

Condition 11: Payment of Fees

1. In respect of the year beginning on 1 April 2006 and in each subsequent year, the licence holder shall render to ORR a payment which is the aggregate of the following amounts:
 - (a) the annual fee applicable to this licence, as determined by ORR; and
 - (b) an amount which shall represent a fair proportion as determined by ORR of the amount estimated by ORR (in consultation with the Competition Commission) as having been incurred in the calendar year immediately preceding the 1 April in question by the Competition Commission in connection with references made to it under section 13 of the Act with respect to this licence or any class of licence of which ORR determines that this licence forms part.
2. The payment shall be rendered by the licence holder within such time as ORR may require, being not less than 30 days beginning with the day on which ORR gives notice to the licence holder of its amount.

Condition 12: Change of Control

1. The licence holder shall, if any person obtains control of the licence holder, notify ORR as soon as practicable thereafter.

Condition 13: Non-Discrimination

1. Except in so far as ORR may otherwise consent, the licence holder shall not in its licensed activities, or in carrying out any other function contemplated by this licence, unduly discriminate between particular persons or between any classes or descriptions of person.

Condition 14: Emergency Access

1. During any emergency affecting the railway, the licence holder shall, to the extent that it is legally entitled to do so, grant to any person requesting it such permission to use any station of which the licence holder is the operator pursuant to this licence as is necessary or expedient to alleviate the effects of the emergency.

Condition 15: Co-operation with Transport for London

1. The licence holder shall co-operate with Transport for London (“TfL”) for the purpose of:
 - (a) ensuring the efficient operation of railway services and the provision of railway facilities; and
 - (b) co-ordinating, where possible, anticipated investment projectsto the extent that such matters affect or may affect both the licence holder’s and TfL’s provision of railway services.

Condition 16: Changes to the Schedule

1. If the licence holder serves notice on ORR requesting that it be authorised to operate an additional station, that station shall be deemed to be added to the Schedule on the date ORR receives the notice.
2. If, within 30 days of receiving the notice referred to in paragraph 1, ORR serves notice on the licence holder that it objects to such authorisation, that station shall be deemed to be removed from the Schedule on the date the licence holder receives the notice.
3. If the licence holder ceases to be the operator of any station listed in the Schedule, the licence holder shall, within 30 days, serve notice on ORR of such cessation. That station shall be deemed to be removed from the Schedule on the date ORR receives the notice.

Part IV - Revocation

1. ORR may (after having consulted the appropriate franchising authority where the licence holder is a franchise operator) revoke this licence at any time with the agreement of the licence holder.
2. ORR may (after having consulted the appropriate franchising authority where the licence holder is a franchise operator) revoke this licence by not less than three months notice to the licence holder:
 - (a) if a final order has been made, or a provisional order has been confirmed under section 55 of the Act, in respect of any contravention or apprehended contravention by the licence holder of any Condition, and the licence holder does not comply with the order within a period of three months beginning with the day on which ORR gives notice to the licence holder stating that this licence will be revoked pursuant to this term if the licence holder does not so comply; provided that ORR shall not give any such notice before the expiration of the period within which an application could be made under section 57 of the Act in relation to the order in question or before any proceedings relating to any such application are finally determined;
 - (b) if the licence holder has not commenced carrying on licensed activities within one year beginning with the day on which this licence comes into force or if the licence holder ceases to carry on licensed activities for a continuous period of at least one year;
 - (c) if the licence holder is convicted of an offence under section 146 of the Act in making its application for this licence; or
 - (d) if a person obtains control of the licence holder and:
 - (i) ORR has not approved such obtaining of control;
 - (ii) within one month of that obtaining of control coming to the notice of ORR, ORR serves notice on the licence holder stating that ORR proposes to revoke this licence in pursuance of this paragraph unless the person who has obtained control of the licence holder ceases to have control of the licence holder within the period of three months beginning with the day of service of

the notice; and

(iii) that cessation of control does not take place within that period.

3. ORR may (after having consulted the relevant franchising authority where the licence holder is a franchise operator) revoke this licence by not less than 10 years notice, such notice not to be given earlier than 25 years after the date this licence takes effect.

Schedule - List of Stations

Acton Main Line (removed 10/12/2017)	Bristol Temple Meads (removed 1/4/14)
Aldermaston	Bruton
Appleford	Bugle
Ascott under Wychwood	Burnham (removed 10/12/2017)
Ashchurch (for Tewkesbury)	Calstock
Avoncliff Halt	Cam & Dursley
Avonmouth	Camborne
Barnstaple	Carbis Bay
Bath Spa	Castle Bar Park
Bedminster	Castle Cary
Bedwyn	Causeland
Bere Alston	Chapelton Station
Bere Ferrers Station	Charlbury
Betchworth	Cheltenham
Bicester Town (removed 22/5/2011)	Chetnole
Blackwater	Chilworth
Bodmin Parkway	Chippenham
Bourne End	Cholsey
Bradford-on-Avon	Clifton Down
Bramley	Combe
Bridgwater	Cookham
Bristol Parkway	Coombe Halt
	Copplestone Station

Crediton	Finstock
Crowthorne	Freshford
Culham	Frome
Dawlish	Furze Platt
Dawlish Warren	Gloucester
Dean (removed 01/04/2020)	Gomshall
Devonport	Goring and Streatley
Didcot Parkway	Gunnislake Station
Digby & Sowton	Hanborough
Dilton Marsh Halt	Hanwell (removed 10/12/2017)
Dockyard Halt	Hayes & Harlington (removed 10/12/2017)
Dorchester West	Hayle
Dorking Deepdene	Henley-on-Thames
Dorking West	Heyford
Drayton Green	Highbridge and Burnham
Ealing Broadway (removed 10/12/2017)	Honeybourne
Eggesford	Hungerford
Evesham	Islip (removed 22/5/2011)
Exeter Central	Iwer (removed 10/12/2017)
Exeter St Davids	Ivybridge
Exeter St Thomas	Kemble
Exmouth	Keyham
Exton	Keynsham
Falmouth Docks	Kingham
Falmouth Town	Kings Nympton
Farnborough North	Kintbury
Filton (Abbey Wood)	Langley (removed 10/12/2017)

Lapford	Newton St Cyres
Lawrence Hill	North Camp
Lelant	Oldfield Park
Lelant Saltings Station	Oxford
Liskeard	Paignton
Looe	Pangbourne
Lostwithiel	Par
Luxulyan	Parson Street
Lympstone	Patchway
Lympstone Commando	Penmere Station
Maiden Newton	Penryn Station
Maidenhead	Penzance
Marlow	Perranwell Station
Melksham	Pershore
Menheniot	Pewsey
Midgham	Pilning
Montpelier	Plymouth
Morchart Road	Polsloe Bridge Station
Moreton-in-Marsh	Portsmouth Arms
Mortimer	Quintrell Downs
Mottisfont and Dunbridge (removed 01/04/2020)	Radley
Nailsea and Backwell	Reading (removed 01/4/14)
Newcourt (added 4/6/15)	Reading West
Newbury	Redland
Newbury Racecourse	Redruth
Newquay	Roche
Newton Abbot	Romsey (removed 01/04/2020)

Saltash	Tackley
Sandhurst	Taplow (removed 10/12/2017)
Sandplace Halt	Taunton
Sea Mills	Teignmouth
Severn Beach	Thatcham
Shalford	Theale
Shiplake	Thornford
Shipton	Tilehurst
Shirehampton	Tiverton Parkway
Slough	Topsham Station
South Greenford	Torquay
Southall (removed 10/12/2017)	Torre
St Andrews Road	Totnes
St Austell	Trowbridge
St Budeaux Ferry Road	Truro
St Budeaux Victoria Road	Twyford
St Columb Road	Umberleigh
St Erth	Wargrave
St Germans	Warminster
St Ives	West Drayton (removed 10/12/2017)
St James' Park Halt	West Ealing (removed 10/12/2017)
St Keyne Halt	Westbury
Stapleton Road	Weston Milton
Starcross	Weston Super Mare
Stonehouse	Windsor and Eton Central
Stroud	Worcestershire Parkway (added 23/02/2020)
Swindon	Worle

Yate

Yatton

Yeoford

Yeovil Pen Mill

Yetminster