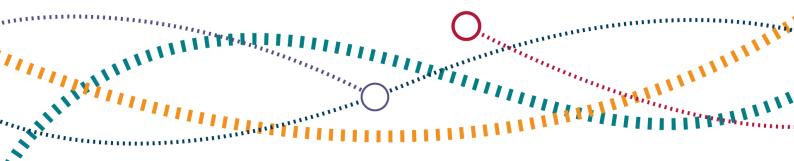


2023 Post Implementation Review on the Train Driving Licences and Certificates Regulations 2010

Consultation Findings Report



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Introduction

The Train Driving Licences and Certificates Regulations 2010 (TDLCR) are subject to a post implementation review (PIR) every 5 years to assess whether the regulations remain fit for purpose and are achieving their original objectives. The final PIR report was published by DfT on 19 May 2023 and is available <u>here</u>.

To inform the review, the Office or Rail and Road (ORR) carried out a survey of stakeholders on behalf of the Department for Transport (DfT) during 2022. That on-line survey ran between 10 May and 6 July 2022 and was available for anyone to complete on the Consultations page of the ORR website. We also sent wrote directly to a group of 318 industry stakeholders who are involved in TDLCR inviting them to complete the survey.

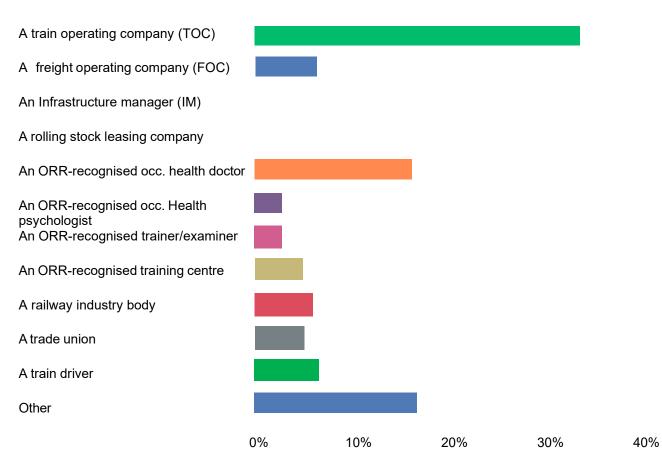
This Consultation Findings report does not provide any further comment on the regulations, or analysis of the survey responses to that found in the final PIR report. It is purely a reference document containing the responses we received to the on-line survey.

The report is split into three parts:

- **Annex 1** illustrates the answers provided for each question asked in the survey. We provide a visual chart and accompanying data table for each question;
- **Annex 2** is a table showing the free-text comments which respondents were able to give to most of the questions in order to explain their answers;
- **Annex 3** contains explanatory notes relevant to a small number of survey questions and responses.

Annex 1 - Consultation Survey Answers

Q2 Are you responding as...(please tick all that apply)



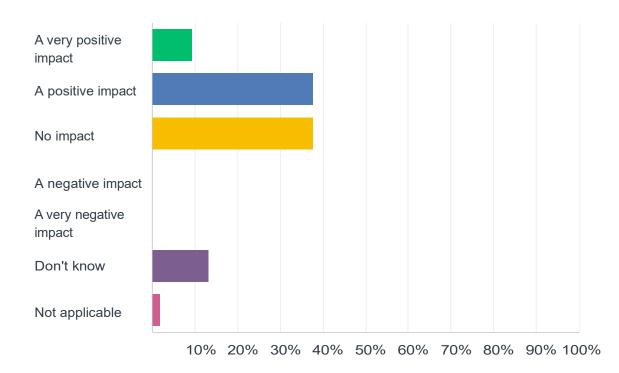
ANSWER CHOICES A train operating company ('TOC')	Percentage 31.6%	No. of responses 19
A freight operating company ('FOC')	6.6%	4
A railway Infrastructure Manager ('IM')	0%	0
A rolling stock leasing company ('ROSCO')	0%	0
An ORR-recognised occupational health doctor	15%	9
An ORR-recognised occupational health psychologist	3.3%	2
An ORR-recognised trainer/examiner	3.3%	2
An ORR-recognised training centre	5%	3
A railway industry body	6.6%	4
A trade union	5%	3
A train driver	6.6%	4
Other (please specify)	16.6%	10

50%

Q3 If you are responding as a TOC, FOC, IM or ROSCO, how many licenced drivers do you employ?

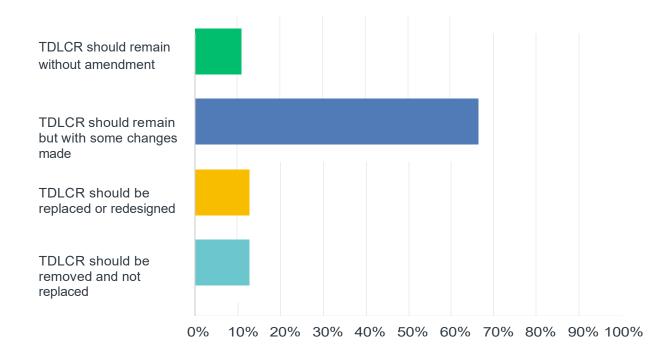
Respondent (anonymised)	Number of train drivers
A FOC	19
A TOC	1950
A TOC	595
A TOC	2400
A TOC	80
A TOC	719
A FOC	30
A TOC	55
A TOC	20
A TOC	49
A TOC	630
A TOC	255
A TOC	625
A TOC	320
A TOC	1673
A TOC	800
A FOC	800
A TOC	475

Q4 Overall, in your view, what impact has TDLCR had on the safety of the mainline railway?



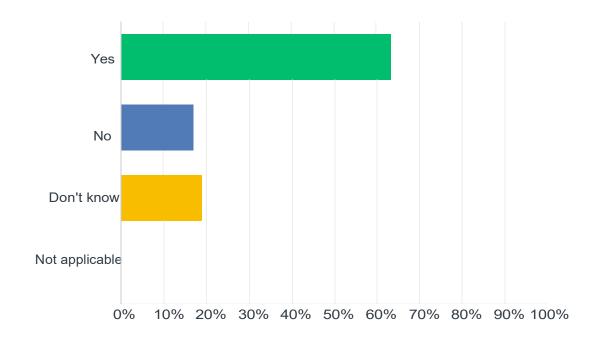
ANSWER CHOICES	Percentage	No. of responses
A very positive impact	9.43%	5
A positive impact	37.74%	20
No impact	37.74%	20
A negative impact	0.00%	0
A very negative impact	0.00%	0
Don't know	13.21%	7
Not applicable	1.89%	1

Q5 Which of the following do you think should apply to TDLCR?



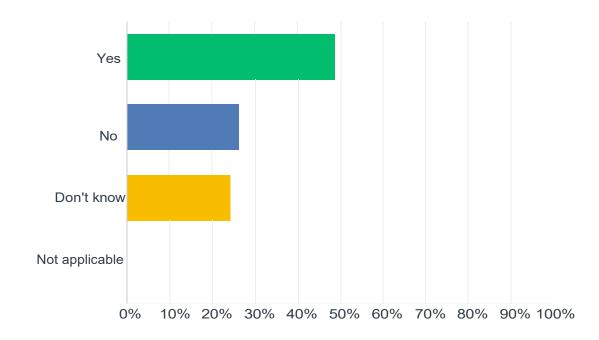
ANSWER CHOICES	RESPONSES	
TDLCR should remain without amendment	11.11%	6
TDLCR should remain, but with some changes made	66.67%	36
TDLCR should be replaced or redesigned	12.96%	7
TDLCR should be removed and not replaced	12.96%	7

Q6 Do you think TDLCR has brought about benefits?



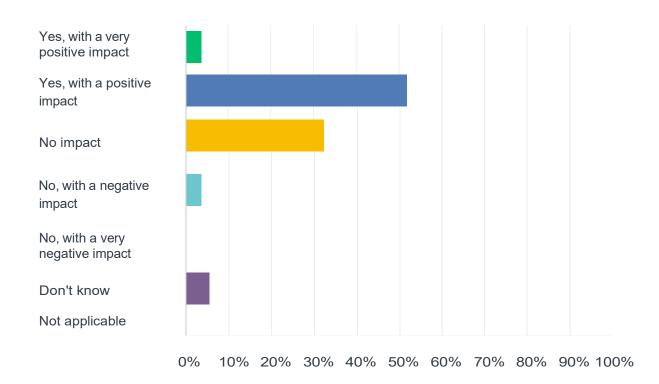
ANSWER CHOICES	Percentage	No. of responses
Yes	63.46%	33
No	17.31%	9
Don't know	19.23%	10
Not applicable	0.00%	0

Q7 Do you think TDLCR has brought about disbenefits?



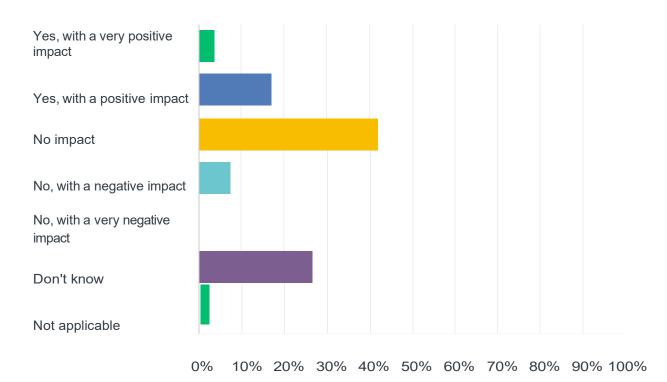
ANSWER CHOICES	Percentage	No. of responses
Yes	49.06%	26
No	26.42%	14
Don't know	24.53%	13
Not applicable	0.00%	0

Q8) Overall, do you think that TDLCR is achieving its aim of introducing commons standards for train drivers?



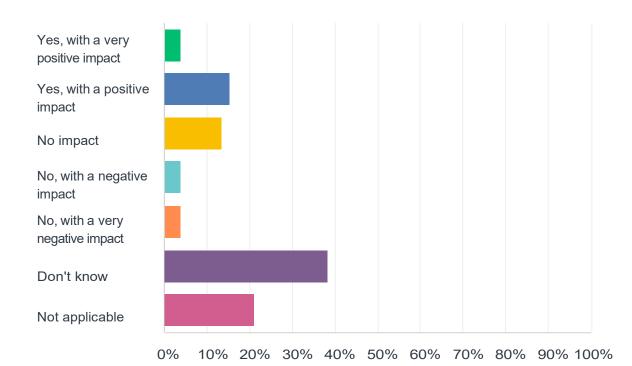
ANSWER CHOICES	Percentage	No. of Responses
Yes, with a very positive impact	3.85%	2
Yes, with a positive impact	51.92%	27
No impact	32.69%	17
No, with a negative impact	3.85%	2
No, with a very negative impact	0.00%	0
Don't know	5.77%	3
Not applicable	1.92%	1

Q9 Overall, do you think that TDLCR is achieving its aim of creating a more flexible labour market for train drivers?



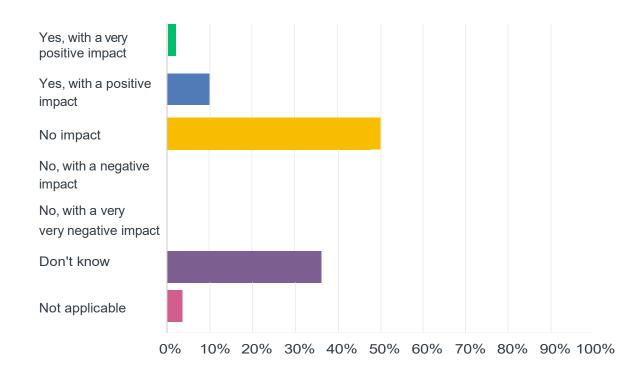
ANSWER CHOICES	Percentage	No. of Responses
Yes, with a very positive impact	3.85%	2
Yes, with a positive impact	17.31%	9
No impact	42.31%	22
No, with a negative impact	7.69%	4
No, with a very negative impact	0.00%	0
Don't know	26.92%	14
Not applicable	1.92%	1

Q10 Overall, do you think that TDLCR is achieving its aim of making it easier for cross border rail services to operate (including the changes in place after 31 January 2022)?



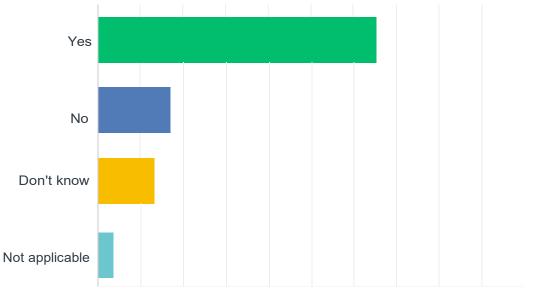
ANSWER CHOICES	Percentage	No. of Responses
Yes, with a very positive impact	3.85%	2
Yes, with a positive impact	15.38%	8
No impact	13.46%	7
No, with a negative impact	3.85%	2
No, with a very negative impact	3.85%	2
Don't know	38.46%	20
Not applicable	21.15%	11

Q11 Overall, do you think that TDLCR is achieving its aim of increasing public confidence in the rail system through the statutory licensing of train drivers?



ANSWER CHOICES	Percentage	No. of responses
Yes, with a very positive impact	1.92%	1
Yes, with a positive impact	9.62%	5
No impact	48.08%	25
No, with a negative impact	0.00%	0
No, with a very negative impact	0.00%	0
Not applicable	3.85%	2
Don't know	36.54%	19

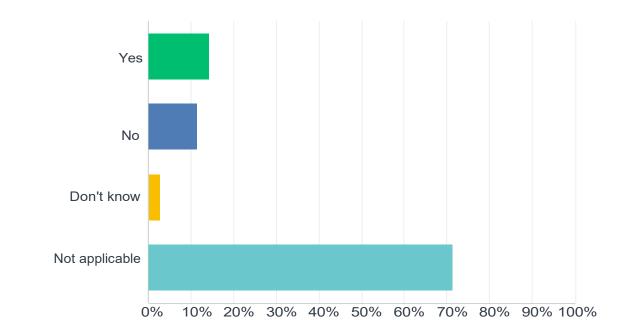
Q12 Are there aspects of TDLCR which would benefit from new or amended industry standards to assist with compliance? (for example, the RSSB Railway Industry Standards ('RIS') which cover topics such as medical fitness requirements, amongst others)



 $0\% \quad 10\% \quad 20\% \quad 30\% \quad 40\% \quad 50\% \quad 60\% \quad 70\% \quad 80\% \quad 90\% \ 100\%$

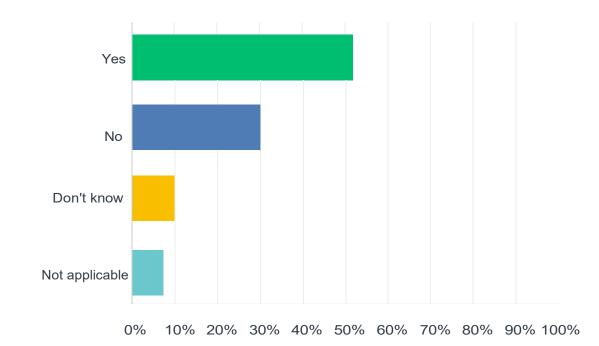
ANSWER CHOICES	Percentage	No. of Responses
Yes	65.38%	34
No	17.31%	9
Don't know	13.46%	7
Not applicable	3.85%	2

Q13 [only complete this question if you are an organisation with under 50 employees] Do you think that TDLCR has had a disproportionate impact on your business?



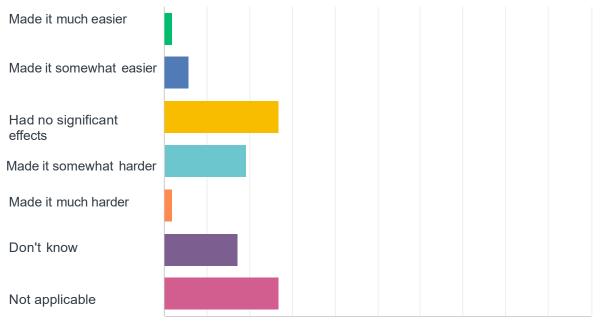
ANSWER CHOICES	Percentage	No. of Responses
Yes	14.29%	5
No	11.43%	4
Don't know	2.86%	1
Not applicable	71.43%	25

Q14 TDLCR does not cover non-mainline operations, such as London Underground. Do you think that non-mainline operations should be covered by the regulations?



ANSWER CHOICES	Percentage	No. of Responses
Yes	51.92%	27
No	30.77%	16
Don't know	9.62%	5
Not applicable	7.69%	4

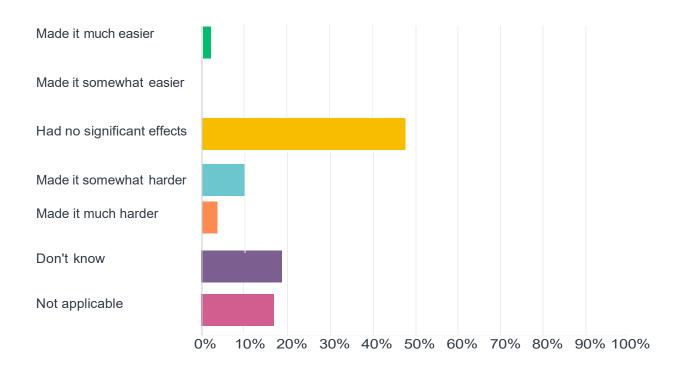
Q15 Overall, how has the full implementation of TDLCR affected your recruitment of new train drivers?



0% 10% 20% 30% 40% 50% 60% 70% 80% 90% 100%

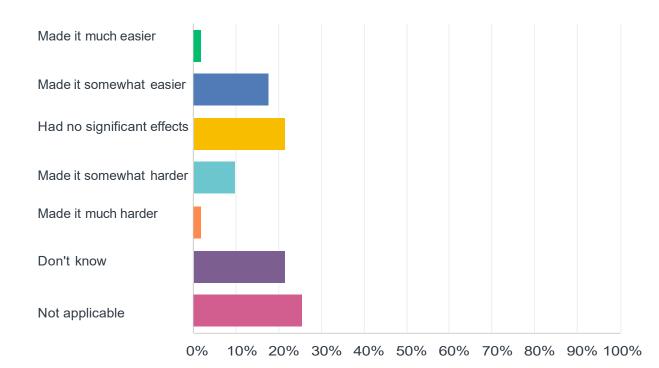
ANSWER CHOICES	Percentage	No. of Responses
Made it much easier	1.92%	1
Made it somewhat easier	5.77%	3
Had no significant effects	26.92%	14
Made it somewhat harder	19.23%	10
Made it much harder	1.92%	1
Don't know	17.31%	9
Not applicable	26.92%	14

Q16 How has the full implementation of TDLCR affected your retention of train drivers?



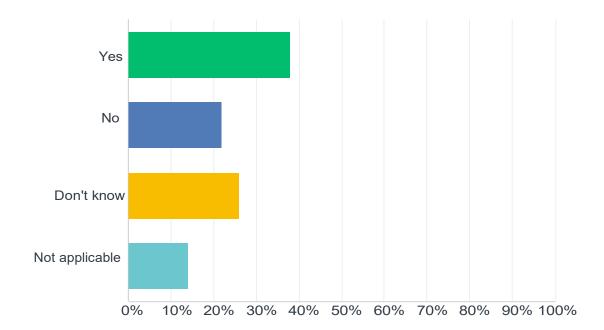
ANSWER CHOICES	Percentage	No. of Responses
Made it much easier	1.92%	1
Made it somewhat easier	0.00%	0
Had no significant effects	48.08%	25
Made it somewhat harder	9.62%	5
Made it much harder	3.85%	2
Don't know	19.23%	10
Not Applicable	17.30%	9

Q17 How has TDLCR affected your recruitment of train drivers from other operators?



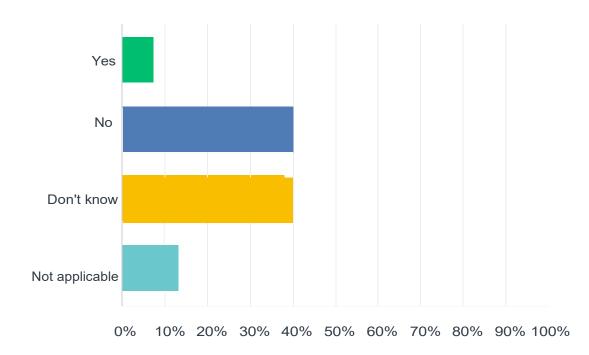
ANSWER CHOICES	Percentage	No. of Responses
Made it much easier	1.96%	1
Made it somewhat easier	17.65%	9
Had no significant effects	21.57%	11
Made it somewhat harder	9.80%	5
Made it much harder	1.96%	1
Don't know	21.57%	11
Not applicable	25.49%	13

Q18 Are the training and examination requirements of TDLCR effective?



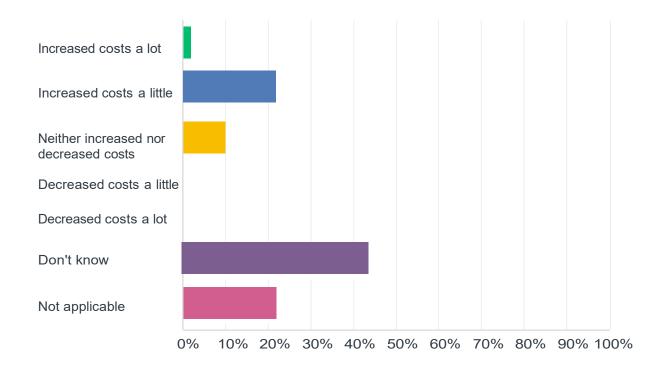
ANSWER CHOICES	Percentage	No. of Responses
Yes	38.00%	19
No	22.00%	11
Don't know	26.00%	13
Not applicable	14.00%	7

Q19 Has TDLCR had any effects on the market for train drivers?



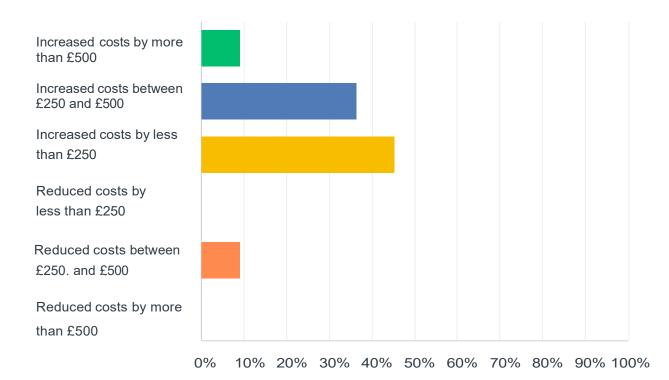
ANSWER CHOICES	Percentage	No. of Responses
Yes	7.69%	4
No	40.38%	21
Don't know	38.46%	20
Not applicable	13.46%	7

Q20 What impact, if any, has TLDCR had on the costs of recruiting and training new entrants to be train drivers?



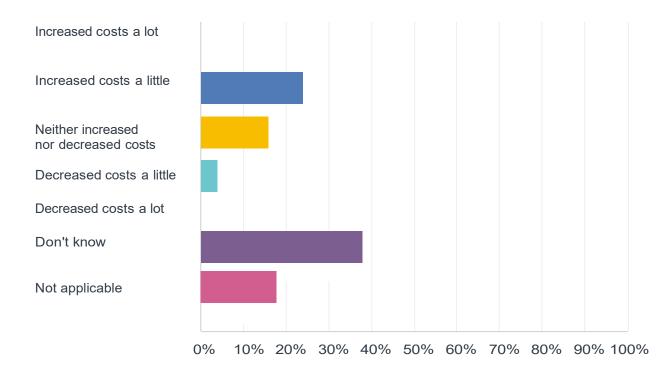
ANSWER CHOICES	Percentage	No. of Responses
Increased costs a lot	2.00%	1
Increased costs a little	22.00%	11
Neither increased nor decreased costs	10.00%	5
Decreased costs a little	0.00%	0
Decreased costs a lot	0.00%	0
Don't know	44.00%	22
Not applicable	22.00%	11

Q21 If you believe that TDLCR has had an impact on recruitment and training costs for new entrant drivers then please estimate the average cost per train driver



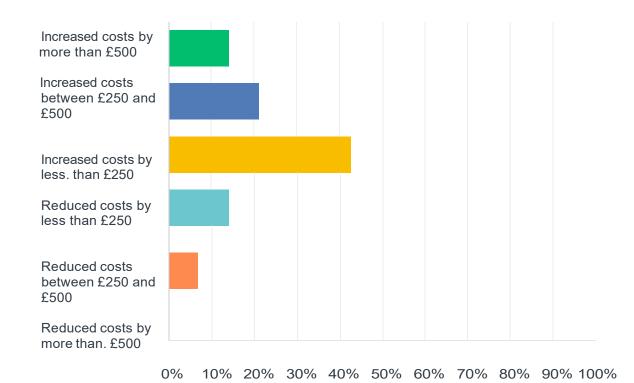
ANSWER CHOICES	RESPONSES	
Increased costs by more than £500 (please specify)	9.09%	1
Increased costs between £250 and £500	36.36%	4
Increased costs by less than £250	45.45%	5
Reduced costs by less than £250	0.00%	0
Reduced costs between £250 and £500	9.09%	1
Reduced costs by more than £500 (please specify…)	0.00%	0

Q22 What impact, if any, has TLDCR had on the costs of recruiting and training existing train drivers?



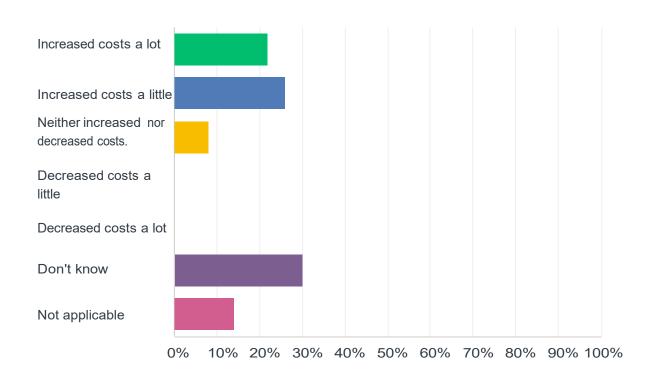
ANSWER CHOICES	Percentage	No. of Responses
Increased costs a lot	0.00%	0
Increased costs a little	24.00%	12
Neither increased nor decreased costs	16.00%	8
Decreased costs a little	4.00%	2
Decreased costs a lot	0.00%	0
Don't know	38.00%	19
Not applicable	18.00%	9

Q23 If you believe that TDLCR has had an impact on recruitment and training costs for existing drivers then please estimate the average cost per train driver



ANSWER CHOICES	Percentage	No. of responses
Increased costs by more than £500 (please specify…)	14.29%	2
Increased costs between £250 and £500	21.43%	3
Increased costs by less than £250	42.86%	6
Reduced costs by less than £250	14.29%	2
Reduced costs between £250 and £500	7.14%	1
Reduced costs by more than £500 (please specify…)	0.00%	0

Q24 Has maintaining compliance with TDLCR...

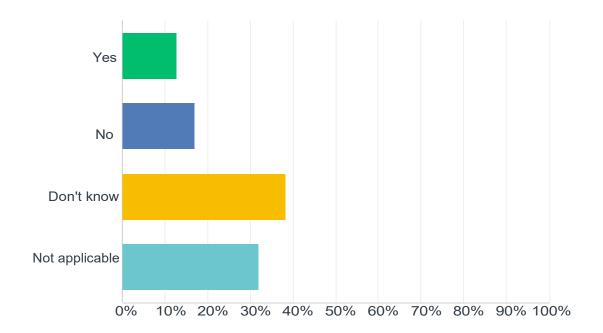


ANSWER CHOICES	Percentage	No. of Responses
Increased costs a lot	22.00%	11
Increased costs a little	26.00%	13
Neither increased nor decreased costs	8.00%	4
Decreased costs a little	0.00%	0
Decreased costs a lot	0.00%	0
Don't know	30.00%	15
Not applicable	14.00%	7

Q25 Please estimate the total annual cost to your organisation for complying with TDLCR (and explain your answer)

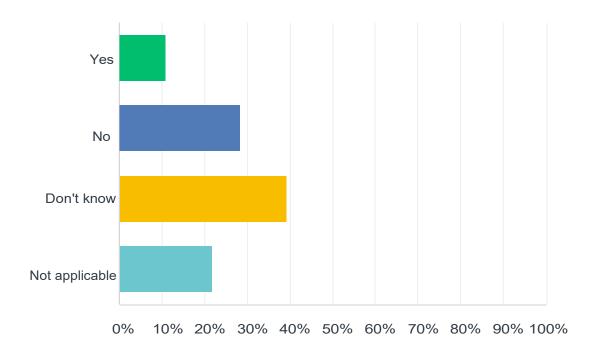
This question only allowed respondents to enter a free text comment, which is available in Annex 2 of this report.

Q26 Do you consider the total annual cost you supplied in Question 25 is proportionate to the benefits delivered by TDLCR?



ANSWER CHOICES	Percentage	No. of Responses
Yes	12.77%	6
No	17.02%	8
Don't know	38.30%	18
Not applicable	31.91%	15

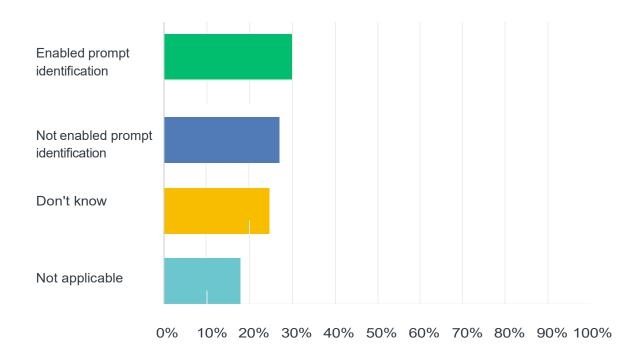
Q27 Has TDLCR had any other impacts on costs you have not already told us about?



ANSWER CHOICES	Percentage	No. of responses
Yes	10.87%	5
No	28.26%	13
Don't know	39.13%	18
Not applicable	21.74%	10

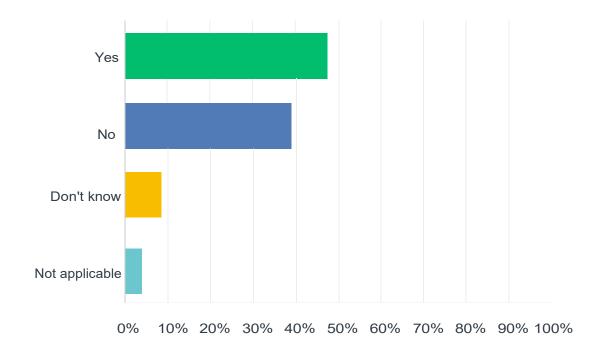
Q28 What effects have the medical or psychological fitness requirements of TDLCR had on your organisation?

This question only allowed respondents to complete a free text field describing these effects. Respondents could select to tell us about the effects of the medical fitness requirements and/or the effects of the psychological fitness requirements. These responses are available in Annex 2 of this report. Q29 Have the medical or psychological fitness requirements of TDLCR enabled the prompt identification of driver medical or psychological issues? (if so, can you explain how this has improved safety?)



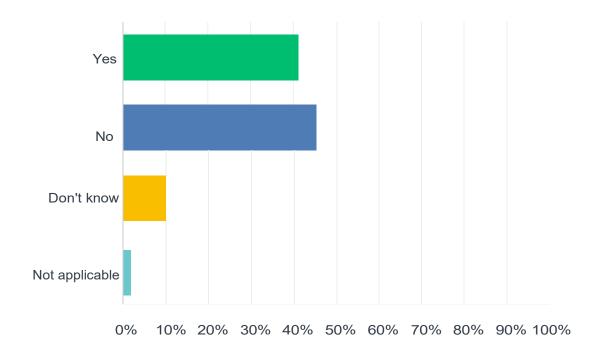
ANSWER CHOICES	Percentage	No. of Responses
Enabled prompt identification	29.55%	13
Not enabled prompt identification	27.27%	12
Don't know	25.00%	11
Not applicable	18.18%	8

Q30 Do you think the current regime of recognised doctors and recognised psychologists is satisfactory?



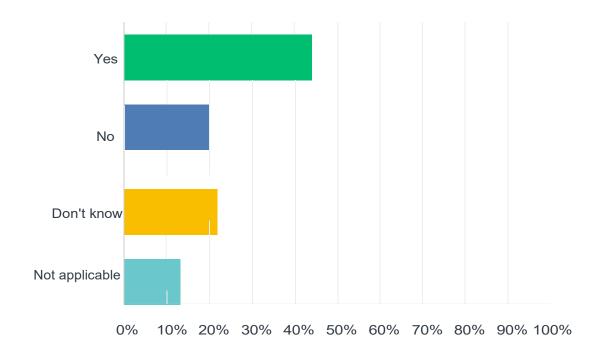
ANSWER CHOICES	Percentage	No. of Responses
Yes	47.83%	22
No	39.13%	18
Don't know	8.70%	4
Not applicable	4.35%	2

Q31 Are the medical requirements under Schedule 1 of TDLCR satisfactory?



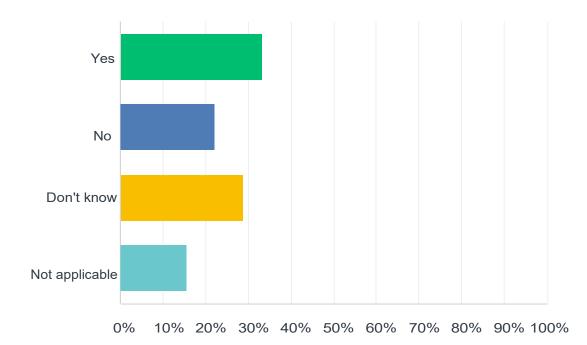
ANSWER CHOICES	Percentage	No. of Responses
Yes	41.30%	19
No	45.65%	21
Don't know	10.87%	5
Not applicable	2.17%	1

Q32 Are the driver training and examination provisions in TDLCR satisfactory?



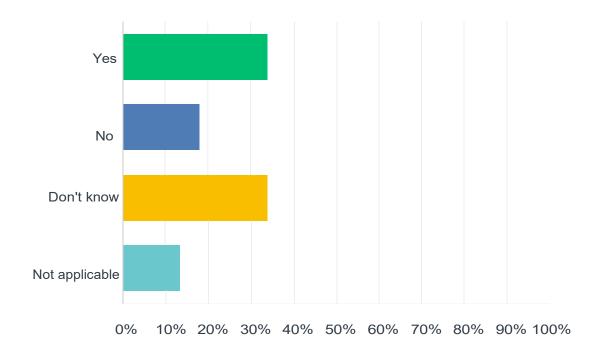
ANSWER CHOICES	Percentage	No. of Responses
Yes	44.44%	20
No	20.00%	9
Don't know	22.22%	10
Not applicable	13.33%	6

Q33 Are the training content and methods that recognised trainers are required to apply under TDLCR satisfactory?



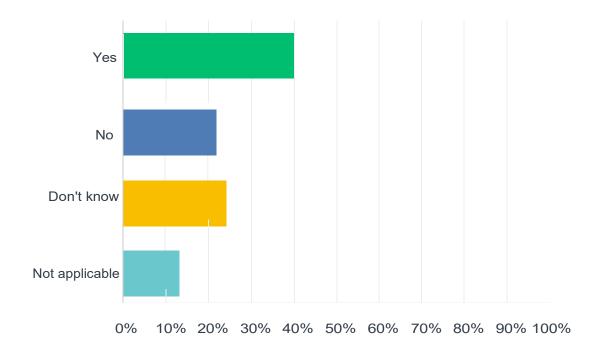
ANSWER CHOICES	Percentage	No. of Responses
Yes	33.33%	15
No	22.22%	10
Don't know	28.89%	13
Not applicable	15.56%	7

Q34 Are the TDLCR general professional knowledge and requirements for train driving licences effective?



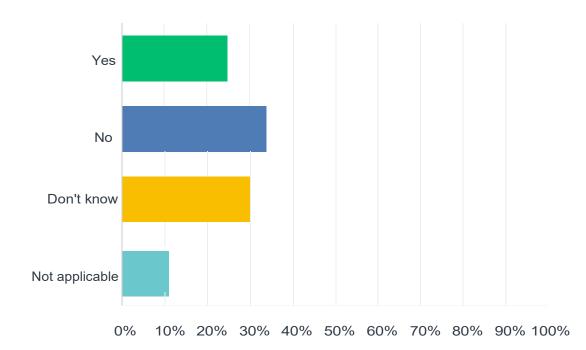
ANSWER CHOICES	Percentage	No. of Responses
Yes	34.09%	15
No	18.18%	8
Don't know	34.09%	15
Not applicable	13.64%	6

Q35 Are the TDLCR professional knowledge of infrastructure and rolling stock requirements for train driving certificates effective?



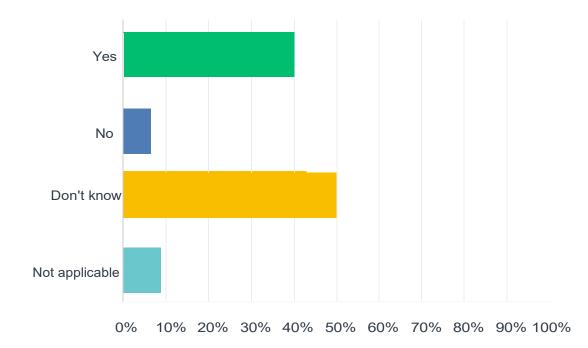
ANSWER CHOICES	Percentage	No. of Responses
Yes	40.00%	18
No	22.22%	10
Don't know	24.44%	11
Not applicable	13.33%	6

Q36 Do you think ORR's arrangements (i.e guidance and other support) for the suspension and withdrawal of licences are effective?



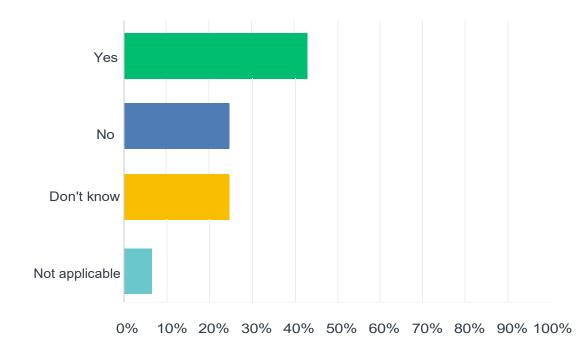
ANSWER CHOICES	Percentage	No. of Responses
Yes	25.00%	11
No	34.09%	15
Don't know	29.55%	13
Not applicable	11.36%	5

Q37 Do you think ORR's arrangements (i.e guidance and other support) for the recognition of training and examination centres are effective?



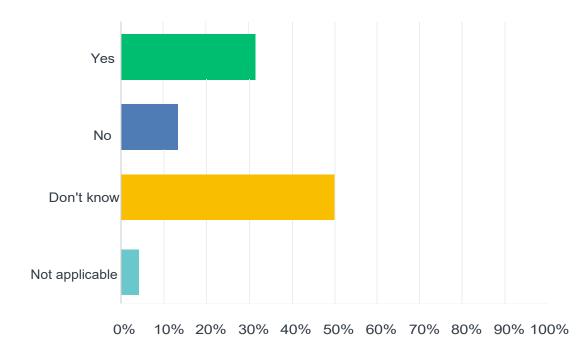
ANSWER CHOICES	Percentage	No. of Responses
Yes	40.91%	18
No	6.82%	3
Don't know	43.18%	19
Not applicable	9.09%	4

Q38 Do you think ORR's arrangements (i.e guidance and other support) for the recognition of medical examiners are effective?



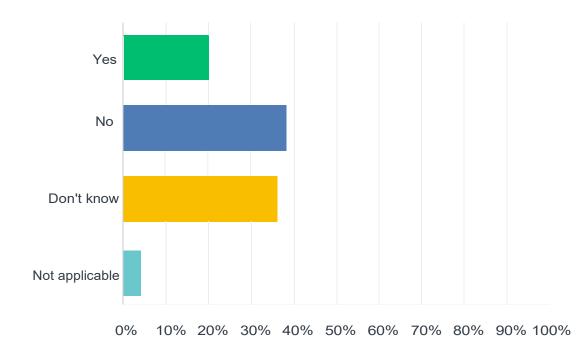
ANSWER CHOICES	Percentage	No. of Responses
Yes	43.18%	19
No	25.00%	11
Don't know	25.00%	11
Not applicable	6.82%	3

Q39 Do you think ORR's arrangements (i.e guidance and other support) for the recognition of psychologists are effective?



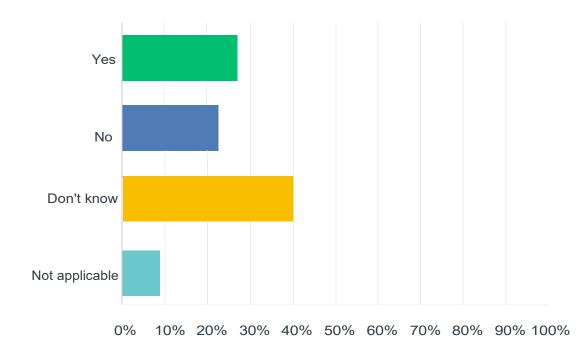
ANSWER CHOICES	Percentage	No. of Responses
Yes	31.82%	14
No	13.64%	6
Don't know	50.00%	22
Not applicable	4.55%	2

Q40 Do you think ORR's arrangements (i.e guidance and other support) for maintaining the register of licenced drivers are effective?



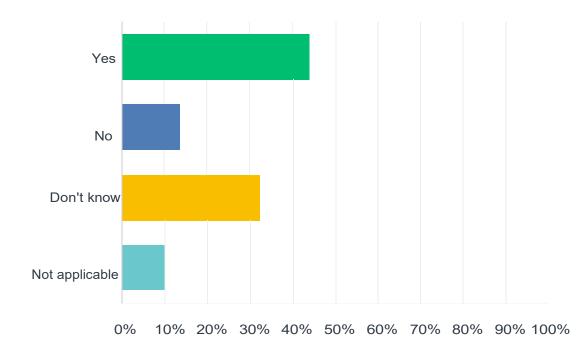
ANSWER CHOICES	Percentage	No. of Responses
Yes	20.45%	9
No	38.64%	17
Don't know	36.36%	16
Not applicable	4.55%	2

Q41 Do you think ORR's arrangements (i.e guidance and other support) for reissuing licences are effective?



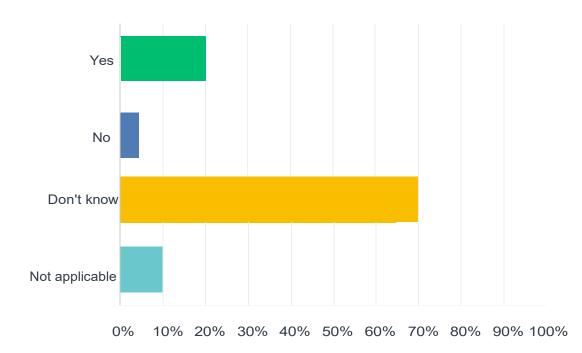
ANSWER CHOICES	Percentage	No. of Responses
Yes	27.27%	12
No	22.73%	10
Don't know	40.91%	18
Not applicable	9.09%	4

Q42 Do you think ORR's arrangements (i.e guidance and other support) for issuing licences are effective?



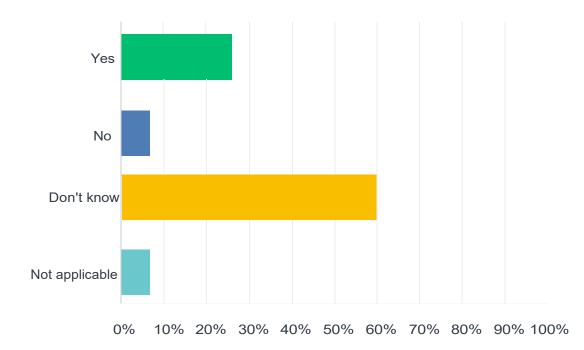
ANSWER CHOICES	Percentage	No. of Responses
Yes	44.19%	19
No	13.95%	6
Don't know	32.56%	14
Not applicable	9.30%	4

Q43 Do you think ORR's arrangements (i.e guidance and other support) for reinstating licences are effective?



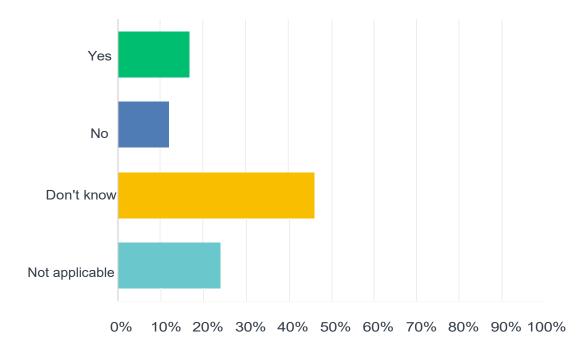
ANSWER CHOICES	Percentage	No. of Responses
Yes	20.93%	9
No	4.65%	2
Don't know	65.12%	28
Not applicable	9.30%	4

Q44 Do you think ORR's arrangements (i.e guidance and other support) for the appeals process for suspended or withdrawn certificates are effective?



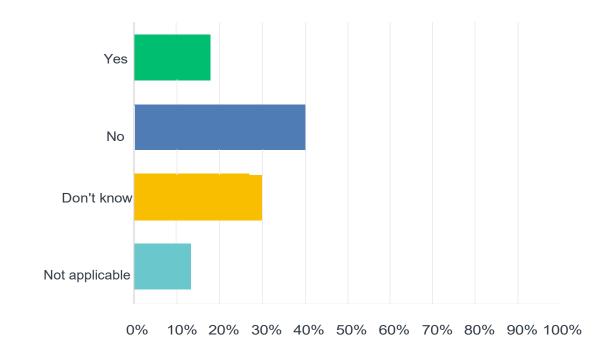
ANSWER CHOICES	Percentage	No. of Responses
Yes	26.19%	11
No	7.14%	3
Don't know	59.52%	25
Not applicable	7.14%	3

Q45 Do you think any other ORR arrangements are effective? (please specify)



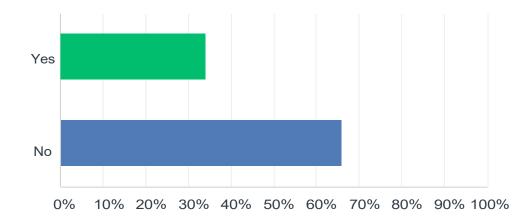
ANSWER CHOICES	Percentage	No. of Responses
Yes	17.07%	7
No	12.20%	5
Don't know	46.34%	19
Not applicable	24.39%	10

Q46 Do you have a view on the appeal process to the Secretary of State which is available to train drivers whose licence has been suspended or withdrawn by ORR?



ANSWER CHOICES	Percentage	No. of Responses
Yes	18.18%	8
No	40.91%	18
Don't know	27.27%	12
Not applicable	13.64%	6

Q47 Is there anything else you would like to comment on which is relevant to this post implementation review of TDLCR?



ANSWER CHOICES	Percentage	No. of Responses
Yes	34.09%	15
No	65.91%	29

Annex 2 – Consultation Survey Comments

- 1. Most survey questions allowed respondents to provide an (unlimited) free text comment to explain their answer. Together with the answers, these explanatory comments also formed part of the evidence for the post-implementation review.
- 2. The table below contains all the comments we received, grouped for each question. In line with the privacy statement for the survey, responses have been anonymised, although we do indicate the type of respondent alongside each comment. Where a respondent selected more than one organisation type at question 2 (for example, a Train Operating Company which is also a Training and Examination Centre), the table shows only the lead selection.
- 3. Question 1 was to gather each respondent's organisation and contact details. These are not reproduced below in order to maintain confidentiality. Questions 2 asked about the respondent' type of organisation and 3 asked about the number of licenced drivers employed. Both those questions did not ask for further comment, and the full responses to those questions are shown in Annex A.

Question	Comment	Type of
4. Overall, in your view, what impact has TDLCR had on the safety of the mainline railway?	Overall, we believe that the TDLCR has had no effect on the safety management of train drivers. It has meant that train operating companies have had to change their systems to accommodate the requirements in the TDLCR but this has largely been administrative.	Organisation Railway Industry Body
	The regulations set a good benchmark for safety	Other
	There have maybe been some small positive impacts related to having external validation of a basic Driving competence although due to the effective ROGS regime prior to TDLCR coming in, we believe these are at best very small and may be non-existent. However, there have also been negative impacts including increase of costs and so we would have liked the opportunity to tick "neutral impact" or both positive and negative impacts, this box does not exist so I have ticked "no impact."	A FOC
	Overall, the view of the Railway Undertakings is that there has been little to no impact on safety due to the implementation of TDLCR. The management of Train Drivers, as they are undertaking a safety critical activity, has always been and remains an important focus for Railway Undertakings.	Railway Industry Body
	I can't comment on whether or not there has been a significant change in the safety of medical assessments since this legislation has	ORR recognised occupational
	been introduced Prior to TDLCR we already had a robust system in place for driver licence and monitoring. There have been some positive impacts such as the certificates are useful when hiring drivers as they are good for checking route and traction from other TOCs – minimum standard The National register of drivers, so drivers should be trained to a set standard, demonstrating that they are capable to hold licence, which hopefully removes rogue drivers from the system TDLCR also provides a level of protection – ORR can remove the licence so they cannot get job elsewhere Given guidelines in safety of drivers	A TOC
		recognised occupational health doctor
	A legal framework to manage driver competence across the industry including medical fitness. Audit trail moving between businesses. Gives the driver ownership of their competence.	An ORR- recognised trainer/examiner

Good medical health standard from train driversORR recognised occupational health doctorThere has been no demonstrable impact on safety as they existing arrangements to manage this have continuedA TOCStandardised processes and requirements across the industry.An ORR- recognised training cenNo major change with regards to drivers competence pre ETDL.A TOCAny incidents would have occurred irrespective of the driver holding a licenceA TOC	
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No major change with regards to driversA TOCcompetence pre ETDL.Any incidents would have occurred irrespectiveA TOC	itro
competence pre ETDL. Any incidents would have occurred irrespective A TOC	ue
Any incidents would have occurred irrespective A TOC	
From our point of view the TDL has not given us A TOC	
anything else other than additional workload to	
ensure that we have registered the drivers with	
the ORR and that we have a card license with	
paper support.	
Just means the Drivers require to carry a TDL & A TOC	
CC when on duty.	
The licencing system provides very little in the A TOC	
way of assurance in the UK. When drivers move	
from one company to another in the TOC world	
we still reassess on all relevant routes and	
traction so the transfer on the ORR database	
proves very little that we couldn't do previously	
with the transfer of safety information forms that	
are still completed alongside the TDL.	
Although we see some negative effects Trade Union	n
emanating from TDLCR, from a mainline safety	
perspective, we believe that it has had a positive	
impact because it ensures that the personal	
health of staff from age 55 is regularly checked.	
This may then give some mitigation to the risk of	
sudden serious illness whilst driving a train with	
all of the potential outcomes that may cause.	
However, our feeling, based on feedback from	
members, is that it is open to question whether	
requiring annual medicals from age 55 is the	
right age to commence this health surveillance.	
Many members feel it may be too early.	
we have not seen any obvious safety benefits A TOC	
with the introduction of the TDLCR	
The previous arrangements for competence Other -	
management and licencing for train drivers has Professiona	al
continued, and the overlay of the TDLCR has not Head of	
had a demonstrable improvement in safety. Operational	I
Therefore they can provide an additional burden Safety	
of admin without providing a tangible safety	
benefit	
I felt the entry tests appropriately assessed my Train Driver	
natural ability to concentrate & gave me the	

 1	
aptitude to move to formal training. The training cycle was then robust with regular assessment of retention of knowledge & skills. I'm now a PQ driver and feel any lessening of the training I undertook would diminish my ability to safety drive trains	
We have an approved competency management system supported by a wider safety management system as part of our Safety Certificate. It's not felt that the addition of licencing requirements has added anything of significant safety value to these procedures.	A TOC
We have not identified any factors from our investigations into incidents where TDL has enhanced safety as all our competence management procedures were established prior to this and we apply industry good practice anyway	A TOC
it has caused extra work but it puts every driver on the same level, no one can embellish their operational competences, however it has not worked as well as I have expected and guess it works much better within the EU	Trade Union
Streamlines the regulations however not all are routinely applied within the business	ORR- recognised trainer/examiner
It ensures Train Drivers meet the required medical and psychological standards that are required to drive a Train. With Annual / triannual medicals dependant on age, we continue to ensure Driver remain to complete the Job.	TOC
No one can undertake these duties without the proper competence and certification	Other -Railway Contractor / Driving Standards Manager
Prior to TDLCR information was limited and localised on driver requirements. National requirements has introduced minimum information standards.	Other - Rail Consultant
After all many TOCs/FOCs in the UK already had safety standards above what was set out in the criteria	Train Driver
I have no comparison as I have only worked in relation to TDLCR	An ORR- recognised occupational health doctor
Not my field of expertise.	An ORR- recognised occupational health doctor
medical standards for rail workers/ train drivers considered	An ORR- recognised

		occupational
		health doctor
	Safety has improved	Other - Retired
		Train Driver
	I fail to see how it has made any impact to safety as its an onerous process that does little to manage risk. If the processes/access/information sharing was more streamlined and simple, it may be of benefit.	A TOC
	As a result of the TDL Regulations we know Drivers are medically fit to complete their role & are competent due to the cycles of assessments etc. The complimentary certificate shows their routes & traction driven	Other - Individual Response
	I was very pro-TDLC when it was first mooted especially as I hoped it would eliminate the serial job movers either through desire or as a result of discipline. This has not really been the case. I don't think that this is necessarily the fault of the system more that people are not using the system correctly.	An ORR- recognised training centre
	Duplicated existing arrangements	An ORR- recognised occupational health doctor
	The license initiative has reduced the schedule for medicals, particularly for those over 55 where health issues are statistically more likely to arise.	A FOC
	I don't think this system has had any impact on the system although if it were managed more like a car driving license this would have a positive impact on the industry	Other - Driving Standards Supervisor
	TDLCR has added a layer of expensive bureaucracy to the industry, which is an ongoing cost that delivers very little, or no, benefit to the industry as a whole. We have not seen any tangible safety benefits from these regs, that is resource hungry to administer. We appreciate that the regulations were imposed on us when we were in the EU, however, we very much welcome this review so that, if we are to retain this legislation - or some variant of it - then it must be cost effective to operate and delivers clear benefits to everyone that uses it and administers the processes. There are other ways to maintain the standards of medical fitness and competence required to be a driver - historically mandated through Railway Group Standards - and that is to return to that process.	A TOC
	I do not know	A TOC
5. Which of the following do you think should apply to TDLCR:	From a medical perspective, it would be useful to have additional guidance and clarification provided on the nuance of what different parts of the legislation mean – for example, what does	Railway Industry Body

 remain without amendment. remain but with some changes. should be replaced or redesigned. should be removed or replaced. 	'ability to withstand dazzle' mean realistically, or more detail on different elements of 'good' or 'sufficient' so that RSSB standards and guidance on how best to meet these requirements can be made more targeted and accurate. Further guidance on what 'another recognised test' means for colour vision testing is another example in this area.	
	The Regulations as they are stifle innovation by not allowing this important area to be innovated through digitalisation. Digitalisation would make the transfer of records and competencies much easier. We are also concerned that the syllabuses for the training of drivers are somewhat stuck in the past and take little or no account of the modernisation of the network and the changes to more sophisticated rolling stock.	Railway Industry Body
	I believe more can be done to influence the role of recognised doctors.	HM Inspector of Railways
	There are some basic features which are useful however the possible advantages of the TLDCR framework are not being fully realised. For example, when we recruit a Driver from another FOC and ask for the safety information as per RIS-3751-TOM, the info from TLDCR often does not match reality. Such as the TLDCR print-out showing Route knowledge for routes the Driver no longer signs. The TLDCR info held by those FOCs is evidently not up to date (or not properly integrated with their CMS) however all their other CMS info they send is up to date. A similar issue has arisen with medicals where TLDCR info shows medicals up to date but clear evidence of in-date medicals is provided. Similarly for traction competence. So the only useful part is confirming a basic Driving competence, which we could anyway do from the ROGS CMS info. Where the Driver is not currently working, queries about validity of a Licence can take a long time to come back from ORR's team- assuming one gets a response at all. From conversations with Drivers who have been out of Driving for a while it is also likely that "inactive" Drivers do not get followed up in the way that the TLDCR guidance indicates they will. The context of this is that the TLDCR imposes a prescriptive regime on top of ROGS which in turn	AFOC

imposes additional costs particularly on smaller	
FOCs e.g. can only sign off traction if registered	
as a Driver Training organisation, so you either	
have to set up the whole system for this even if	
all you want to do is train for traction. Where	
traction is unusual this may prove extremely	
costly. Overall therefore, given the inaccuracies	;
in the TLDCR information and the prescriptive	
framework imposing additional costs I believe it	
is difficult to justify retaining TLDCR in current	
form. It should also be noted that ROGS	
requirements are deemed adequate for every	
other safety critical role on the railway. We als	0
note that some potentially useful features of the	
Licensing regime were not implemented (the	
categories of Driver), and the TLDCR is of muc	h
less use on GB where we use Route-based	
signalling rather than in central Europe where	
there is more adoption of a common speed-	
signalling framework which creates genuine	
interoperability. Overall, we suggest that for	
Train Operators who do not run cross-channel	
services, the TLDCR is of extremely limited	
value yet imposes additional costs. The	
framework for Safety Certificates now clearly	
separates permissions for GB-only vs GB and	
EU operation, so it could be viable to have	
TLDCR for only the cross-channel operators.	
{redacted] will continue to seek exemption from	Other - Heritage
TDLCR requirements due to the geographically	Railway
limited scope of operations onto the mainline	Operator
railway and by virtue of being a tourist railway.	
It is believed that the regulations are too low	Railway Industry
level and do not fit with the usual approach take	, ,
by Government. The regulations should be set	2
at the level of Goal Setting enabling the industr	
to determine the more cost effective and	,
beneficial way of meeting such goals. The	
regulations, as they currently stand create an	
unnecessary administrative burden to Railway	
Undertakings. In particular, the medical	
requirements should be amended considering	
that the population of Great Britain is living	
healthier and longer lives as reflected by the	
changes to the state pension as well as railway	
pension schemes. The Knowledge requiremen	
for Rolling Stock should match current practice.	
The arrangements set in place by the ORR	
should be streamlined and made more	
interactive for railway undertakings.	
interactive for railway undertakings. There are some aspects of Schedule 1,	An ORR-
interactive for railway undertakings. There are some aspects of Schedule 1, particularly around the vision standards that are	e recognised
interactive for railway undertakings. There are some aspects of Schedule 1,	e recognised ts occupational

assess in an OH consultation. I would like to understand the evidence base for the audio guidance standard. The requirement for assessors to undertake a general medical examination is vague and unhelpful- what does this entail and who should do it? It's clear that different OH providers have different approaches to this, some undertake face to face OHP assessment, while others only have F2F Nurse assessment. The ORR advises full cardiovascular, respiratory, musculoskeletal and neurological assessment. An OHN would not have the necessary competence to undertake this.	
Due to the work that TOCs have put in to embed the licence arrangements it would be counter productive to remove them now and replace with something else. Subsequent questions cover issues that need changing such as medical standards and administrative burden on TOCs	A TOC
In areas of fitness for work. Need clarity of F1, F2 outcomes	An ORR- recognised occupational health doctor
In principle the process should remain, some changes would benefit future use of the process.	An ORR- recognised trainer/examiner
Some of the medical standards are inappropriate and are not being used.	An ORR- recognised occupational health doctor
Some aspects such as a central register and commonality in license and complementary cert are useful, however other aspects that have an admin and cost burden with no or little value should be removed	A TOC
Reduction of the languages requirements (no level for written language competency) Simplification of recognition of accredited doctors and psychologists	An ORR- recognised training centre
Experience for assessors and examiners (DTMs), remove this restriction and allow TOCs to recruit based on ability and skills.	A TOC
TDLCR has caused tangible disbenefits within the company. It is admin heavy for no obvious gain	A TOC
Traincrew have a route and traction cards endorsed, supported by the RIS to allow safety of the line data to be transferred between companies.	A TOC
The system seems satisfactory for our TOC/RU. Why constantly is there a need to change.	A TOC
The maintenance of the portal is very time consuming with a lot of unnecessary emails	Driver Manager

required to and from the ORR. It doesn't really	
prove any competence other than routes or	
traction which we retrain and reassess anyway.	
Overall, {redacted} Driver Managers members	Trade Union
do not want to see the TDLCR replaced by	
another system because getting it set up in the	
first place was problematic. Instead, they believe	
that certain changes have to be made to	
enhance the way it works and make it more	
useful for their purposes about driver	
recruitment. We will develop this point in our	
response later suffice to say at this stage that	
there is a need to review the frequency of age	
related medicals and develop a GDPR compliant	
train operator wide database that contains	
drivers' records that can be used for recruitment	
purposes.	A TOO
Guidance is very vague and open to	A TOC
interpretation. more user friendly and flexible	
portal	
The principle of free movement of labour for train	Other-
drivers existing prior to the TDLCR under the	Professional
transfer of safety critical info controlled by RIS-	Head of
3751-TOM). The cross-boarder operation does	Operational
not apply to UK domestic operations and	Safety
therefore the applicability to UK domestic	,
operators should be reviewed.	
ORR Registered Doctors are in short supply in	A TOC
the industry and making it difficult to operate in	
some regions. Need to consider some mitigation	
around this in TDLCR. The pool of registered Dr	
seems to have shrunk. In addition, the TDLCR	
rules around training and assessing drivers has	
• •	
made the driver manager role a scarce resource.	
I can understand training drivers requires a level	
of experience but does assessing require a fix	
number of years. People learn at different paces	
and I believe that someone should be able to	
assess another driver when they are competent	
and confident to do so - why is there a set	
amount of experience for everyone? It should be	
based on an Individuals capability and not an	
arbitrary number of years.	
TDLCR undoubtedly requires change to make	A TOC
them more manageable and less of a burden,	
but replacing them will likely cause confusion.	
Removal altogether may give the perception	
(among staff / public) that it is a step back in	
safety management. Key changes required are	
in connection with the administrative burden and	
frequency of medicals	
Tempted to say removed due to the admin	A TOC
burden of the processes but there is a need to	
streamline the regs and remove red tape	1

	1
I am currently booked off as "unfit to drive trains" because of the corrective lens strength limits imposed by the TDLCR. 20 years without a vision related safety of the line incident and I'm	A train driver
now unfit!!	-
 you can always improve things	Trade Union
Make procedures more rigid rather than	An ORR-
guidance	recognised
	trainer/examiner
The TDLCR works so why change it. The only	An ORR-
amendment I would make is with reference to	recognised
'Psychologist' as those that undertake the	occupational
recruitment process and do the psychometric	health
tests are not psychologists.	psychologist
	A TOC
The licences should remain, as they confirm to	ATUC
anyone that the Driver has passed necessary	
 tests and training and is competent to Drive a train.	
If you are an OTM driver you cannot just leave to	Other - Driving
go and drive freight with out a minimum of 5	Standards
years driving experience	Manager
A more UK centred approach but remain	Other - Rail
sensitive to markets.	Consultant
Why change a system that works	Train Driver
Some of the medical standards are not evidence	An ORR-
based and justifiable for diversity, inclusivity and	recognised
avoidance of discrimination on disability grounds.	occupational
	health doctor
improving the medicals carried out for train	An ORR-
drivers considering more standardised tools for	recognised
assessing mental health	occupational
	health doctor
Unsure of the value of the TDL - think the	A TOC
processes behind the management of licenses if	
laborious and clunky	
SI 1798 amendment schedule 3 should be	Other - Retired
enforced. Currently non-compliant re General	Train Driver
Professional Knowledge. How can drivers that	
don't even understand the units of deceleration	
perform a brake performance calculation.	
Numerous {redacted} investigations reveal the	
shocking evidence of non-compliance. Including	
the {redacted} tragedy. (re polarised braking	
mentality) and {redacted} - 8 coaches isolated	
from brake control and the driver failed to	
understand, lucky we didn't have our very own	
{redacted} train crash.	
The processes/access/information sharing would	A TOC
benefit from being more streamlined and simple	
The ETDL could become a smart card with all	Other -
the information held electronically. Remove the	Individual
need to show glasses & hearing aids on the	Response
licence but perhaps show on the complimentary	
certificate - a lot of work is required to obtain a	
sertineate a let el ment le reguirea te estant a	1

	new photo etc when there are any changes.	
	Easier transition when Drivers move between	
	TOC's - not all Drivers or companies advise the ORR	
	From a medical requirements perspective, I think	An ORR-
	the standards need revision as they do not	recognised
	necessarily reflect the physical and sensory	occupational
	safety and performance- related tasks of the role	health doctor
	and therefore could be discriminatory. risks can	
	be different depending on the nature of the work	
	environment and therefore having blanket	
	standards that do not allow Individual risk	
	assessment or derogations is contra to the	
	Equality Act.	
	Fundamentally agree with the principle however	A FOC
	in reality it hasn't made much of a change to	
	driver management. In some areas (frequency of	
	medical exams for example) it has had a	
	negative impact.	
	Schedule 1 is confusing and can be simplified and clarified	An ORR- recognised
		occupational
		health doctor
	Should be managed like vehicle licenses with	Other - Driving
	any safety of the line incidents expiring after a	Standards
	set period.	Supervisor
	As mentioned above, there is no benefits to	A TOC
	TDLCR. Minimum medical and competence	
	standards can be regulated through railway	
	group or rail industry standards, which form part	
	of operators access authorization.	
	Seems to work	A TOC
6. Do you think	As far as the Driver Training Agency is	Railway Industry
TDLCR has	concerned there has been a benefit in as much	Body
brought about	that there is a basic set of requirements that all	
benefits?	train operating companies should follow.	
	However, we would question these requirements	
	as we believe they are incomplete and set at too	
	low a level.	LINA line in a starr of
	It sets a good standard of expectations for drivers.	HM Inspector of Railways
	On balance, the benefits are minimal as they are	A FOC
	confined to confirming general competence. This	
	is of limited use because we in any case have to	
	obtain considerably more information from the	
	ROGS CMS to check a Driver's competence.	
	The ease with which we can utilise holders of	Other - Heritage
	train driving licences and certificates has helped	Railway
	with the utilisation of volunteer drivers on our	Operator
	[redacted] services.	Pailway Inductor
	TDLCR has encouraged the industry to provide a higher quality of driver training facilities by	Railway Industry Body
	setting minimum standards for the recognition of	Dody
	training centres. This has assisted railway	
L	a anning control. This has assisted railway	

undertakings in putting over the "professionalism" message to candidate train drivers. Train Drivers also, in general, are proud of their train driving licence which again aids professionalism. Can't comment, I have worked with TDLCR for most of my rail career as have been assessing cross border drivers in the main.	An ORR- recognised occupational health doctor
From a driver point of view there is a consistent process across the rail network. Ability to check on drivers from other TOCs. Central qualification – standards Sense of achievement for drivers - licence It's good to see a license qualification – the plastic card is comparable across the industry, at least in the UK. A UK operator will see competence has been established previously.	A TOC
Provides good overall driver health standard	An ORR- recognised occupational health doctor
IT's increased administrative time and costs, and other areas such as the management of licensing etc was already in place in operators SMSs	A TOC
Certainly, yes before Brexit. Even beyond, it provides standardisation across the railway infrastructure.	A TOC
A level of standardisation with regards to issue of licence, reassurance of qualified	A TOC
No tangible benefits are evident	A TOC
Regarding transfer of Drivers it is a starting point to show competency	A TOC
Our response is a qualified YES as we see the benefit being that of staff transferring to another TOC because it provides a countrywide, standardised and professional license for train drivers that wasn't in place before when each company produced its own. The License comes in two parts, Part 1 (the "plastic license") confirming that the holder is a qualified driver and Part 2 (the "paper license") giving details of the competencies held, including traction types, routes signed for, etc. Some firms, however, require the paper license to be surrendered when a person leaves their employment because it acts as the authority to drive for that organisation. Irrespective of whether the transferring driver is able to retain the Part 2 paper license, the firm she/he moves to will require that person to undergo traction and route training, even if they have those competences from the firm they have just transferred from	Trade Union

(including for the same route). This seems to be a duplication and waste of money. Driver Managers point to the DVLA arrangement which has all the required assessed competencies on one license which is not surrendered unless a breach of law takes place. We also do not see the TDLCR working as well as it does in Europe because apart from [redacted], there is no cross- border benefit.	
<i>improved the evidence of competence on a ad hoc basis</i>	TOC
The previous arrangements for competence management and licencing for train drivers has continued, and the overlay of the TDLCR has not had a demonstrable improvement in safety. Therefore they can provide an additional burden of admin without providing a tangible safety benefit. However the central database of drivers can be useful as a check and balance to support the process of transferring safety critical information under RIS-3751-TOM, and should remain)	Other - Professional Head of Operational Safety
Consistent standards, equally applied across all operators and enforceable.	Trade Union
It's made the driver training a lot more professional and there is a greater acknowledgment around the depot that more recent drivers are undertaking their duties with a greater level of understanding & knowledge about the safety rules & procedures.	Other - Train Driver
The need for training centre (in particular) and medical practitioner accreditation has set minimum standards in terms of requirements. It has also given the ability to check the status of a licence when employing a driver from outside the business, however this tends to happen too late in the recruitment process. I would like to see these checks happen much earlier, certainly before an offer of employment is made. Access to the national system by selective responsible people would be beneficial.	TOC
CMS, medicals, drivers transferring and record keeping were already in place and the industry had processes for managing these. I would have hoped that TDL would have brought some consistency to the industry but we all still use different systems for managing the above so apart from the TDL portal nothing has changed apart from increased admin and costs in medicals etc.	TOC
I believe that it has given drivers a sense of responsibility for their profession and wellbeing.	Trade Union

	Allows the industry to focus on the requirements for Drivers to obtain and retain a licence however there needs to be more ORR involvement and monitoring. Currently my licence does not distinguish the grade and status other than a driver even though I am involved with training and assessing competence.	An ORR- recognised trainer/examiner
	Helps to standardise the whole process and ensure a minimum standard is obtained across all organisations and Individuals	An ORR- recognised occupational health psychologist
	Everyone is certificated and competent	Other - Driving Standards Manager
	Partial in particular centralised information on driver transfer / movements. Easy TOC recruitment processes	Other - Rail Consultant Train Driver
	Consistency and reproducibility for medical practitioners	An ORR- recognised occupational health doctor
	From my perspective, not sure what benefits it brings	TOC
	Uniformity with regards to medicals & the medical checks undertaken.	Other - Individual Response
	TDLCR promised a lot but in reality, hasn't delivered in its current format.	FOC
	Reduction in the number of years between medicals and a more stringent medical standard.	FOC
	It's just created more red tape and more required documents for drivers to carry.	Other - Driving Standards Supervisor
	From an operator's perspective we have seen no benefits.	TOC
7. Do you think TDLCR has brought about disbenefits?	Operators have raised that there has been an additional cost and administrative impact of maintaining licenses, particularly on larger organisations. This is a particular issue with the impact of the additional medical examinations required after the age of 55, operators have raised that the yearly medical is not seen to be a safety benefit and should be conducted on a risk basis instead.	Railway Industry Body
	We believe that the TDLCR have brought in a new level of administrative burden that is not welcome in financially difficult context the railway system finds itself in. As far as training is concerned, train operating companies are being asked to train features of the system that are not necessary and add to costs. The medical requirements take no account of the general population living longer and healthier lives. The	Railway Industry Body

TDLRC goes against the recommendations of	
the Social Mobility Commission in that it does not	
encourage engagement with schools due to the	
minimum age to hold a licence being 20.	
Costs of having to have a full Train Driver	A FOC
training organisation sign-off just for traction	
training. This can also decouple the main Train	
Operator competence system somewhat as we	
are aware that when new trains come in, some	
TOCs use outside trainers to do "static training"	
on the traction as the contractors are the experts	
with it, then to satisfy TLDCR have to sign it off	
themselves afterwards even though they may	
not be the best place competence-wise to do so.	
	Other Heritage
Only in so much as the loss of clarity around the	Other - Heritage
validity of European Train Driving Licence	Railway
Medical Fitness requirements on the GB	Operator
mainline rail network. We have a Driver who	
previously could drive on the {redacted} using	
their European Train Driving Licence. We have	
been advised that the medical fitness	
requirements may differ from those contained	
within RIS-3451-TOM and as such this Driver	
would need to undertake a GB medical to the	
RIS.	
As mentioned previously, the administrative	A Railway
burden, knowledge requirements for rolling stock	Industry Body
and the unrealistic medical requirements drive in	
unnecessary costs to the industry. This results	
in too much "paper" chasing including a never-	
ending supply of photographs and signatures.	
Removal of control from a TOC point of view.	A TOC
Added an additional layer of communication that	A 100
-	
isn't really beneficial. It is creating duplicate work	
for us to be contacting ORR as and when we	
withdraw the license and things getting missed.	
TOC do all the work for ORR including issuing	
the C of C, initial application etc. Administrative	
impact – not automated, lots of paperwork	
requirements Risk between what's known locally	
and centrally – communication and speed of that	
information getting through Communication via	
ORR and TOC via email – how do we know its	
been received and actioned. Staffing levels	
within ORR – impact on speed of response It	
doesn't add a lot of value in real terms for the	
effort of completing the paperwork. A plastic	
license is just a place holder for competence.	
The counterpart certificate is issued by the	
competence establishing company and is the	
component that adds the value to the company's	1
safety procedures.	

It's increased the admin burden, and cost	A TOC
release for driver through changes in medical	
frequency	. =
Administration workload, costs increased with	A TOC
medicals	
Competent drivers (unlicensed) are at a	A TOC
disadvantage through the requirement to resit	
Psychometric testing after 5 years	
The is a cost implication regarding medical	A TOC
frequency	
{redacted} members believe that the age-related	Trade Union
medical examination element should be	
reviewed, as noted above, to an age later than	
55. In part we suggest this because of the issues	
of cost, administration and impact on	
performance associated with annual medicals	
being booked for drivers over age 55. The cost	
of the medicals is additional to the lost time	
related to having to attend for the medicals, time	
spent travelling to and from the medical centre	
and the administration of the system. We	
understand that each medical costs around	
\pounds 1,000, a sum that increases when additional	
factors such as paid time, etc, are added. We	
estimate that each medical actually costs	
between about £1,200 and £1,500 and with	
hundreds of drivers requiring annual medicals,	
the cost to the industry must be in the hundreds	
of thousands of pounds each year. We have also	
highlighted issues of the availability of	
information for staff seeking to move to other	
operators (see Question 6). To overcome this	
problem, we would propose a GDPR compliant	
database that could contain relevant information	
about competencies, etc.	
Increased workloads	A TOC
There is an additional level of administration	Other -
required that is disproportional to any benefit it	Professional
may provide	Head of
	Operational
	Safety
Some regulations are too weak and others debar	Trade Union
capable and fit drivers.	
As above - made the resource pool of Driver	A TOC
•	
Managers and Trainers scarce, therefore driving	
up costs. Also the registered ORR Dr approach	
limits the resources available from a OH	
provision standpoint.	
Having said that about the benefits there is a	Train Driver
perception from older in-service drivers that the	
new drivers are more flexible now & more open	
to support the company & we have less	
 knowledge about dealing with faults & failures of	
	I

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trains thus more willing to move a train in	
circumstances where an older driver wouldn't.	A TOO
Without doubt the administrative processes can	A TOC
be improved as they are far too time consuming.	
Increased medical frequencies have also added	
additional cost in terms of release without	
offering much by way of return. It's not clear that	
this increased frequency is justified, particularly	
with people generally living longer	
Increased red tape and costs as previously explained	A TOC
The fact that you can move from driving an OTM	Other - Driving
to a 1600 ton train as soon as you are newly	Standards
qualified	
	Manager
Some parts of TDLCR was clearly to satisfy EU	Other - Rail
Directive. Suggest a review of the aims and	Consultant
 purpose.	
More detail should be encoded in clearly linked	An ORR-
guidance documents.	recognised
	occupational
	health doctor
managing the processes, keeping ORR up to	A TOC
date is a lot of work.	
I've accepted the work that needs to be done to	Other -
update the ORR with Driver changes of address,	Individual
medical data & leavers. It is a necessity & we	response
have adapted our systems to help me to be able	respense
to report to you	
Frequency of medical exams has caused quite a	A FOC
	AFUC
lot of disruption in comparison with the previous	
standard	
Resource - considerable resource is required to	A TOC
comply with the regs from an administrative	
perspective, from checking data to updating	
ORR TDL team on changes. It also requires	
considerable volumes of data to manually	
managed as LNFR systems don't link with ORR	
managed as LNER systems don't link with ORR	
systems. There is also considerable double data	
systems. There is also considerable double data handling (the same data is handled by you/ then	
systems. There is also considerable double data handling (the same data is handled by you/ then us and vice versa). This is grossly inefficient for	
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drivers driving on the mainline. Whilst the train driving certificates side of things doesn't increase cost to us as it is essentially an extension of a normal certificate of competence, I am sure producing the plastic licence must be extremely costly. The development of the certificate has been an aid to achieving common standards, however, we would like to take this to the next stage through digitalisation which, currently, is not allowed.	Railway Industry Body
The standards are being driven by ROGS and the proactive inspection of the Safety Certificate requirements by ORR rather than from TLDCR. The RSSB guidance achieves far more in our view because the TLDCR standards are a very basic framework. Also, the TLDCR framework can generate a false sense of security.	A FOC
Although there has been no impact in terms of improving the standards and competence of train driving, there has been a small benefit in the adoption of company certificates. Railway Undertakings have worked together in making sure that these certificates are designed to make it easier for railway undertakings when a train driver changes from one company to another. The preemployment checks for licence application has also made the process more systematic. A move to digital certificates would assist in driving further benefits.	Railway industry Body
I believe that there are aspects open to either misinterpretation or different interpretation. This is visible when discussing with colleagues from other OH providers and when reviewing old OH records of drivers when taking over a contract. In addition when taking over a new TOC its clear that there is differing advice given to them by	An ORR- recognised occupational health doctor
The apprenticeship standard has largely superseded any work the TDLCR had aimed to achieve and is more up to date and regulated. The qualification is now in this standard and there's a more robust method of establishing competence on a UK standardised formal qualification.	A TOC
consistent medical frequency, consistent license issued, same database used for every UK driver, application process. Most stands across operators were fairly common for the training, assessment and	A TOC A TOC
	driving certificates side of things doesn't increase cost to us as it is essentially an extension of a normal certificate of competence, I am sure producing the plastic licence must be extremely costly. The development of the certificate has been an aid to achieving common standards, however, we would like to take this to the next stage through digitalisation which, currently, is not allowed. The standards are being driven by ROGS and the proactive inspection of the Safety Certificate requirements by ORR rather than from TLDCR. The RSSB guidance achieves far more in our view because the TLDCR standards are a very basic framework. Also, the TLDCR framework can generate a false sense of security. Although there has been no impact in terms of improving the standards and competence of train driving, there has been a small benefit in the adoption of company certificates. Railway Undertakings have worked together in making sure that these certificates are designed to make it easier for railway undertakings when a train driver changes from one company to another. The preemployment checks for licence application has also made the process more systematic. A move to digital certificates would assist in driving further benefits. I believe that there are aspects open to either misinterpretation or different interpretation. This is visible when discussing with colleagues from other OH providers and when reviewing old OH records of drivers when taking over a contract. In addition when taking over a new TOC its clear that there is differing advice given to them by different TOCs. Further clarity is needed The apprenticeship standard has largely superseded any work the TDLCR had aimed to achieve and is more up to date and regulated. The qualification is now in this standard and there's a more robust method of establishing competence on a UK standardised formal qualification. consistent medical frequency, consistent license issued, same database used for every UK driver, application process.

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	Standards for the obtention of the driving	A TOC
	licences. But the standards of the	
	complimentary certificate are not standardised	
	across the different TOCs.	
	Introduced common standard for issuing the	A TOC
	licence after Part A of training is complete.	
	Other standards covered industry adequately	A TOC
	prior to TDLCR so no material gain from	
	introduction	
	I have answered no. Perhaps if as a country we	A TOC
	were still in the European community, but I have	
	seen no evidence to reflect a "common ground"	
	we still all have different rules and signalling!	
	TOCs/FOCs have very differing SMS & company	Driver Manager
	instructions since the RSSB allowed the rule	
	book to be reduced. This is the main change to	
	TOCS/Drivers not the licence system	
	TDLCR does not change the fact that every GB	Trade Union
	train operator works differently in terms of its	
	training set up whilst the standards for drivers	
	can be interpreted and applied slightly differently.	
	In our view, each operator is a little island with	
	different ideas on the subject and different	
	people at different levels and parts of the	
	employing organisation pushing different	
	perspectives. TDLCR does not standardise	
	these differences. Our members draw	
	comparison with the DVLA driving license which is standardised across the UK.	
		A TOC
	Uniformed process to inform the ORR on undates to Train Drivers information	
	updates to Train Drivers information The current standards were suitable and have	Other -
		Professional
	remained in place in order to meet the	Head of
	requirements of ROGs Reg 24 and ORR RSP1, and the introduction of the TDLCR has not had a	
	significant impact on these	Operational Safety
	I am friends with drivers in other TOCS. Although	Train Driver
	it was clear entry requirements were the same	
	training experiences and routes to getting	
	qualified seemed very different. Someone was	
	qualified in half the time it took me however l	
	work for a bigger company.	
	There is a general consistency of approach in	A TOC
	terms of recording competency through	
	certification and this makes the movement of	
	drivers a bit easier to manage in terms of	
	identifying transferable skills / competence.	
	If you manage your operations to recognised	A TOC
	procedures and the applicable RIS then you do	
	this anyway without the need for licensing	
	I am currently booked off as "unfit to drive trains"	
	because of the corrective lens strength limits	Other - Train
	•	
	imposed by the TDLCR. 20 years without a	Driver

vision related safety of the line incident and I'm	
now unfit!!!	
	An ORR-
everyone now knows what is required to be a	recognised
train driver and what competences they have	trainer/examiner
Needs more impact and control over those who	
control certification once licence is held.	A Trade Union
	An ORR-
	recognised
All candidates and organisations know the	occupational
process and therefore helps to create an open	health
and standardised recruitment process.	psychologist
	Other - Rail
As previously explained	Consultant
	An ORR-
	recognised
Consistency of decision-making particularly for	occupational
exclusion on medical grounds.	health doctor
Re physics polarised braking mentality and	Other - Retired
complete lack of understanding what 6 percent g	Train Driver
braking actually means in areas of good	
adhesion. The Salisbury crash, the driver was	
braking at the 6 percent g point as part of	
intuition. The middle of leaf fall, falling gradient	
tree lined track, therefore no understanding of	
static friction and braking performance. The	
driver used emergency after 5 seconds. When	
you consider it takes 3 seconds for the brakes to	
fully function 2 seconds are left. How can you	
assess if the anti-skid system is going to do the	
job.	
each company does everything differently so the	A TOC
fact they have a licence is just showing they are	
a qualified driver but doesn't give any indication	
of their level of knowledge and the particular	
details. For example, they may not do absolute	
block but applying to a depot that does only AB	
working. Also found that the levels of	
competence of a competent driver vary hugely -	
competence to show they hold a licence and	
certificate does not necessarily meant their	
knowledge is good as relies on the assessors.	
We know what constitutes as high blood	Other -
pressure for the & have a clear understanding of	Individual
the eyesight standards expected. It has brought	response
train driver training into line so the standards are	
the same across all TOC's & FOC's.	
Common standards existed previously and have	A FOC
continued to be applied.	
	A FOC
It's aiding in the transfer and recruitment process	AIUU
and streamlines competency management.	ATOC
•	Other - Driving
and streamlines competency management.	

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	The standards are pretty much the same (apart from increased eyesight requirements) as what they were prior to TDLCR, therefore they have not added any common standards that weren't there previously.	A TOC
9. Overall, do you think that TDLCR is achieving its aim of creating a more flexible labour market for train drivers?	We believe that the TDLCR restricts the labour market for train drivers by having the minimum age for train drivers to hold a licence at 20. A reduction to 18 years of age would facilitate better engagement with schools and could lead to more pathways into the train driving grade.	Railway Industry Body
	The need for specific Route and Traction knowledge is a major issue when recruiting in GB. If recruiting a qualified Driver, one looks for a Driver with Route and traction knowledge plus the appropriate level of experience rather than a newly qualified person. The Train Driving Licence is just the qualification basics, and we do not, cannot, rely on Route and Traction records in the TLDCR records so seek to check that in other ways anyway.	A FOC
	Railway Undertakings do not believe that TDLCR has achieved its aim of creating a more flexible labour market. Prior to leaving the European Union, a small benefit, could be realised as the licence of a European railway driver was recognised as valid, however, this is now not possible.	Railway Industry Body
	No. Movement is still controlled by contracts of employment. The {redacted} form is still the vessel used by train companies to understand driver SOL performance.	A TOC
	degree of training required remains unchanged.	A TOC
	There was already movement between operators which was managed through RIS3751	A TOC
	Not part European Union, even when we were part of EU we didn't see much movement with drivers	A TOC
	No tangible impact with unlicensed mainline drivers at a disadvantage therefore recruitment made more problematic for the company	A TOC
	Have seen no change.	A TOC
	Our members believe that the creation of a more flexible labour market for train drivers has not been created by TDLCR but by a lack of sufficient people trained in this role. Consequently, an opportunity has been created for Individuals to find better salaries and conditions in other companies once they have completed their training with their original employer. In addition, the License is not flexible	Trade Union
	because whilst a driver can retain Part A, some employers do not permit them to carry Part B to	

their next company. On this basis, a number of employers have no information about a driver in relation to areas such as: competencies; safety of the line incidents involving the particular driver; any medical issues that have affected the Individual; what roads they have been signed for; or the traction knowledge that they have achieved. Consequently, driver managers have advised us of incidents in which applicants for posts have claimed to have had experience and competency in areas that they haven't (e.g., false claims about having driven diesel trains when in reality have only operated third rail; not used to overhead wires; never operated where semaphore signals are still in use, etc) requiring additional training after the person has been taken on.	
(Redacted) has not seen any improvement in this area	A TOC
Train drivers were able to move between UK operators prior to the introduction of TDLCR, and the requirements of RIS-3751-TOM were and have remained suitable to manage this	Other - Professional Head of Operational Safety
I think it's achieving its aim of ensuring the market for safe drivers with the natural ability to concentrate. So few being able to meet the standard ought to be viewed as a positive least we suddenly want more people being in charge of trains full of thousands of people who've been able to pass the test because the standard was lowered? I certainly would not have anyone in my driving cab who I knew didn't undertake the same standards as & colleagues. Not to mention the cost of training for them to get all the way to the end & fail or do something unsafe?	Other - Train Driver
Although some of the core competencies are transferable, there are still numerous differences between the competence requirements from one operator to another, especially between passenger, freight and on track machine operators.	A TOC
I am currently booked off as "unfit to drive trains" because of the corrective lens strength limits imposed by the TDLCR. 20 years without a vision related safety of the line incident and I'm now unfit!!!	Other - A train driver
I do not think it has altered the labour market within the UK specially within the passenger toc network	Trade Union
Yes. It could be argued that the 2 'fails' is restrictive, however it is there for safety and the UK has not had a major accident for years, compared to European operators who have had	An ORR- recognised occupational

	some. I also feel that it is age appropriate and	health
	therefore lowering this standard could have an	psychologist
	impact on what is a very good safety record	
	within the UK.	
	Yes very much so however trying to keep drivers	Other - Driving
	is very difficult due to the nature of some	Standards
	companies terms and conditions	Manager
	The answer is from a safety and security	Other - Rail
	perspective. I can see how it could be argued	Consultant
	that TDLCR is a barrier.	
		An ORR-
		recognised
		occupational
	Not my field of expertise.	health doctor
	from discussions with colleagues, the fact a	A TOC
	person is a licence holder means very little. No	
	comfort in other companies keeping ORR	
	updated with issues either.	
	It probably makes it easier for Drivers to prove	Other -
	they are a qualified train driver by providing an	Individual
	ETDL number & licence for checking by	Response
	TOC/FOC HR's/Recruitment Department. The	Response
	train Drivers at my company have had little to do	
	with the application process for their first issue	
	ETDL's & have little to do when their licence is	
	ever lost or stolen.	
	As a company we employed a number of drivers	A FOC
		AFUC
	from Europe using the system however this was	
	off set by drivers who left under discipline	
	gaining employment in another RU	
	From anecdotal evidence, Train Drivers feel their	A FOC
	route knowledge and traction knowledge is more	
	clear to them, via certificates, therefore Drivers	
	feel more comfortable seeking opportunities with	
	clear vision of their competencies.	
		Other - Driving
	I have not experienced any change in the labour	Standards
	market within the OTM industry from this alone.	Supervisor
	No. There is generally a one-way flow of drivers	A TOC
	within the UK, from the lower payer TOCS to the	
	higher paying ones. So for example, a driver	
	starts with GTR who have lower paid drivers	
	than {redacted}, so therefore they are more likely	
	inclined to apply for jobs with us. TDLCR doesn't	
	assist in this movement, it just adds work to do at	
	both operators in terms of admin advice to ORR.	
10. Overall, do	We do not operate outside of GB so cannot	A FOC
you think that	comment on this.	
TDLCR is		
achieving its aim		
of making it easier		
for cross border		
rail services to		
operate (including		

the changes in place after 31 January 2022)?		
	There's a lack of clarity on the validity of Euro medicals for use on the GB network.	Other - Heritage Railway Operator
	The requirement for cross border train drivers, such as those employed by Eurostar UK, to have to be issued with two Licences, one European and one UK, is an unnecessary burden. It undermines the creation of a level playing field with road as currently truck and car drivers driving licences are covered by mutual recognition.	Railway Industry Body
	Post Brexit it was easier to confirm fitness for cross border drivers because the standards were the same. Jan 2022 amendment makes it easier for EU drivers operating in the Channel tunnel zone, no impact on UK drivers yet, I'm still having to issue certificates for EPSF for UK drivers operating in the Channel tunnel zone I .foresee potential difficulties for medical assessment for those cross-border drivers operating outside of the channel tunnel zone if the medical standards between EU and UK diverge. In addition EU and UK drivers likely to be subject to differences in employment law which will prove challenging for companies to manage. Re-instatement of mutual recognition of respective UK and EU medical assessments for ALL cross-border drivers would be preferable for cross border TOCS and medical assessors!	An ORR- recognised occupational health doctor
	Good current common approach to health standard but this may diverge from the continental approach in years to come.	An ORR- recognised occupational health doctor
	Even though, there is no cross recognition, the fact that you have an equivalent standard framework is helpful for cross border rail services to operate.	A TOC
	Company does not operate cross border so can't comment	A TOC
	Does not impact on my TOC/RU we are not a cross border operator and therefore	A TOC Other - Professional Head of
	the operation of cross boarder services is not applicable to most UK operators	Operational Safety
	I am currently booked off as "unfit to drive trains" because of the corrective lens strength limits imposed by the TDLCR. 20 years without a vision related safety of the line incident and I'm now unfit!!!	Other - A Train Driver
	I will not be dealing with this matter	Trade Union

	I have been involved with this challenging project as a result of Brexit, however it was achieved successfully. It's not easy to ask an existing Train Driver to re-sit the psychometrics, however I'm not sure how else you would assess the competencies required to satisfy the TDLCR requirements, that would be fair and standardised across the affected organisations/Individuals.	An ORR- recognised occupational health psychologist
	Don't have evidence for this but would assume so	A TOC
	No comment to make on this as have no experience or knowledge of the impact, sorry Prior to January 2022 UK issued licenses were valid as far as Calais Frethun but after the UK left the EU we had to obtain licenses from {redacted} for our drivers to operate. The 10.9 (a) accord will address this however until then we have the issue of our drivers having two licenses (UK & France)	Other - Individual Response A FOC
	Prior to the 2022 changes we were able to bring some colleagues from various entities within Europe and it was a success. We also operate through the tunnel and have some dual licence holders, as there is still a good level of consistency between the licences this helps both ways in terms of ensuring we meet requirements to operate on the French network.	A FOC
11. Overall, do you think that TDLCR is achieving its aim of increasing public confidence in the rail system through the statutory licensing of train drivers?	I don't believe the public have any awareness of TLDCR. The activity of ORR's Safety Inspectors and the {redacted} and the {redacted} campaigns appear to have the most impact on public confidence in rail safety.	A FOC
	Railway Undertakings are sure that the public are in favour of the licensing of train drivers, however, it has no impact on how Railway Undertakings manage the competence of train drivers.	Railway Industry Body
	not sure the public are generally aware	An ORR- recognised occupational health doctor
	Would the public really understand the licence process, I am not so sure. They would expect that a driver is competent to drive their train, how that is achieved is pretty irrelevant to the day to day customer	A TOC
	Are the general public aware?	A TOC

I am not sure this has had any impact on public	A TOC
confidence as they would not of been aware of	
processes in place prior or now	
Very little publicity or marketing so majority of	A TOC
public are probably unaware of the system	
The public is unlikely to be aware of the TDLCR	A TOC
Passengers in a train have not knowledge about	Trade Union
the TDLCR system or whether their driver holds	
a statutory license.	
Unsure if the public are aware of TDLCR	A TOC
The public will assume that train drivers'	Trade Union
licencing is regulated in any case. That it is	
through these regulations is not widely known.	
It's unlikely that the vast majority of the public are	A TOC
aware of these licencing requirements or what is	
involved in obtaining one, or how risk /	
competence is managed outside of the	
requirements.	
I doubt most of the public know anything about	A TOC
 driver licences	
I am currently booked off as "unfit to drive trains"	Other - A Train
because of the corrective lens strength limits	Driver
imposed by the TDLCR. 20 years without a	
vision related safety of the line incident and I'm	
now unfit!!!	
I am not sure that the General Public are aware	Trade Union
 of the licencing requirements for Train Driving	
 Most people outside of the industry have never heard of TDLCR	Other - Member of the Public
When I speak with people outside of the	An ORR-
railways, they are always very surprised to hear	recognised
the lengths a driver has to go to obtain a license,	occupational
and the fact that they have a license. Therefore I	health
don't feel that the general public are aware of	psychologist
this, yet alone have confidence in this.	
	Other - Driving
Very few members of the public realise that there	Standards
is a TDL	Manager
	An ORR-
The public are unaware of the existence of	recognised
TDLCR they just assume there are proper	occupational
standards in place	health doctor
	An ORR-
	recognised
Believe public confidence same as likely public	occupational
unaware of the impact	health doctor
public don't know about it and if they did, would	A TOC
 expect it to be like car driving licence.	
	Other -
I'm not sure that the wider public have any idea	Individual
as to the TDL's.	Response
I don't think the travelling public are aware of the	A FOC
initiative	
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		Other -
	I don't believe the public are aware of Railway	Individual
	undertakings, licensing, trainingetc	Response
	Would be interested to think what the public	A TOC
	think/ know about the subject!	// 100
12. Are there	{redacted} have initiated a review of the medical	Railway Industry
aspects of TDLCR	fitness standards (RIS-3451-TOM Train Drivers	Body
which would	– Suitability and Medical Fitness Requirements,	
benefit from new	issue 1; RIS-3452-TOM Train Movement –	
or amended	Medical Fitness Requirements, issue 1; and	
industry	GOGN3655 Guidance on Medical Fitness for	
standards to	Railway Safety Critical Workers, issue two) to	
assist with	ensure these align and do not contradict the	
compliance? (for	contents of TDLCR. Some requirements in	
example, the	TDLCR are now more restrictive than previously,	
RSSB Railway	and therefore any content of the RSSB	
Industry	standards is expected to change to align with	
Standards ('RIS')	TDLCR and provide guidance on these. From an	
which cover	{redacted} perspective, it would be useful to	
topics such as	understand if there are any likely planned	
medical fitness	changes to the medical fitness legislation and	
	•	
requirements,	requirements within TDLCR so that this can be taken into account for the RSSB standards	
amongst others)		
	review. Industry queries raised around colour	
	vision requirements for drivers, and whether	
	'sufficient colour vision' is enough for drivers to	
	be safe to drive currently contradicts the	
	legislative requirement that drivers must have	
	'normal' colour vision – therefore further	
	guidance from the ORR on what normal means	
	in this case would be helpful, or an	
	understanding of whether this element of the	
	legislation is likely to change in the future would	
	be of use to the RSSB review and potential	
	future research or work looking into alternative	
	tests. The directive and regulations refer to	
	alternative colour vision tests, but provide no	
	guidance on what alternative tests could be	
	acceptable or approved. This needs to be	
	clarified.	
	We believe the industry should be in more	Railway Industry
	control of the detail required to underpin the	Body
	licence and certificates issued to train drivers.	
	These would include all aspects of the recruiting	
	process such as minimum age, medicals,	
	competence and training requirements	
	I believe RIS's may help with assisting roles and	HM Railway
	responsibilities, along with coming to a common	Inspector
	understanding.	
	The TLDCR is already supported by ORR	A FOC
	guidance and RSSB guidance applies to	
	practical elements.	
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Clarification around the exemption of Drivers on	Other - Heritage
the GB mainline Railway and also the suitability	Railway
of validity of medical fitness standards from	Operator
holders of European Train Driving Licences	
The change to a "Goal Setting" regulation, as	Railway Industry
stated earlier, would enable the industry to	Body
coalesce around industry standards in order to	
make sure that the Railway Industry was always	
up to date with the latest thinking. Examples of	
this would include, the medical standards were	
highlighted in this section as being required to be	
modernised to be in line with the general	
population living longer and healthier lives. It	
was noted that the age in which the state	
pension and railway pension schemes are	
collected is now later in recognition of this,	
however, the frequency of medicals has not	
been changed. Another example would be	
around the curriculum for training new entrants	
to the train driving grade which would be more	
appropriately handled by the {redacted}.	
	An ORR-
My understanding is that the ORR does not have	recognised
a Medical Advisor. In the absence of this RSSB	occupational
industry guidance is imperative	health doctor
I believe the annual medical at 55 needs	A TOC
address. In this current fitness climate 55 is too	
young for such a strict regime. How do we	
capture older drivers and cognitive ability as	
drivers get older (over 65+), part time working	
means less time in the seat how do we capture	
this within licence arrangements More clearer	
guidance on application of the regulations More	
explicit on advice when requested from ORR –	
black and white answers rather than emphasis	
been placed back on TOC to manage within their	
safety certificate - Covid being a good example	
New train drivers would benefit from being	An ORR-
trained consistently under the RDG driver	recognised
training schedule (part A / B process - part B	trainer/examiner
being company specific)	
Medical fitness, as where drivers may be able to	A TOC
continue with reasonable adjustments when they	
are unable to meet some of the fitness criteria.	
but can demonstrate they can drive safely	
A common framework regarding the standards of	A TOC
the complimentary certificate.	
Frequency of medicals - revert back to every 5	A TOC
years rather than 3. Experience of drives when	
applying for DTM or instructor grades removed	
Industry standards are/were fit for purpose pre	A TOC
TDLCR so TDLCR is the addition, rather than	
standards needing to be amended	
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I know of no obsolve made by the menulaters (
I know of no checks made by the regulators to check Individuals or TOC/FOCs are complying	A TOC
with the TDLCR	
Medical requirements feel excessive, especially	Other - Driver
the annual medicals for our older drivers. Annual	Manager
medicals should really be reserved for those	
beyond retirement age.	
We have highlighted a range of issues in	Trade Union
previous answers including around medicals,	
safety of the line incidents, medical restrictions,	
etc. We believe that there should be a database	
set up which would give access to the records on	
each driver in respect of the information	
described in the previous sentence and which	
companies could have access to when	
appointing staff (but noting the GDPR issues and	
the requirements for consent to be given). Frequency of Train Driver Medicals too	A TOC
excessive. Revert to RIS 3451	
The medical requirements in the TDCLR should	Other -
be reviewed, as the prior arrangements to 2010	Professional
were suitable for the UK, and the change to	Head of
more restrictive medical frequencies have	Operational
imported significant cost to UK rail. Therefore the	Safety
TDLCR should be reviewed alongside the	Calcty
industry standards (RIS) for medical fitness and	
recruitment and selection	
I can understand two lifetime attempts maybe	Other - Train
considered too harsh. How about as a	Driver
compromise an amendment to say every 5 or 10	
years. That said what to the experts think? Can	
the human natural ability to concentrate change/	
develop?	
Medical fitness standards would benefit from a	A TOC
review recognising that people are generally	
living longer / healthier. As a contentious point,	
there could maybe be more stringent	
psychometric / cognitive testing requirements for	
qualified drivers at specific points (age based	
and / or as part of ongoing recruitment). Testing	
standards could also be more tailored to meet	
the demands of specific operators (e.g. long	
distance inter-city driving has different cognitive	
demands to suburban driving etc).	
If licensing is to remain it needs the industry to	A TOC
adopt IT systems that make the processes	
involved simpler and enable transfer of	
information between companies (GDPR	
dependent obviously). Greater recognition within standards would also be of bonefit	
standards would also be of benefit	Other - A Train
I am currently booked off as "unfit to drive trains"	-
because of the corrective lens strength limits	Driver
imposed by the TDLCR. 20 years without a	l

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vision related safety of the line incident and I'm now unfit!!!	
Change always prompts confusion, it was hard	Trade union
to manage medicals when the licences were	
being introduced, I would not favour anything	
suggested by the {redacted}	An ORR-
	recognised
I've used the RIS-3751-TOM since it's	occupational
introduction in 2013 and as such I've not had a	health
need to recommend any changes.	psychologist
This would be raising a level of unnecessary	Other - Rail
detail as it is overlapping other systems	Consultant
mandating compliance as group members.	
Yes, I think there is need for much updating of	An ORR-
medical fitness standards and guidance - new	recognised
developments in diabetes management (e.g.	occupational
CGM system for type 1 DM), clarification about	health doctor
how often to monitor BMs in types 1/2 DM, the	
guidance on laser eye surgery, OSA assessment and combined threshold of increased risk to be	
agreed, clarity about how to assess	
cardiovascular risk of sudden incapacitating	
events AND ideally an enshrined level of	
acceptable risk that we are working to (AKA	
DVLA clarity of 2/20%)	
As a medical practitioner I would value the ability	An ORR-
to refer to wider standards as offered by RSSB	recognised
particularly anybody for dealing with valid	occupational
appeals against medical decisions.	health doctor
	An ORR-
SI 1798 basic principles of physics is not applied,	recognised
so why bring in more amendments when you fail	occupational
on existing. Clarity on what the Driver needs to do on leaving	health doctor Other -
or starting at a TOC. I have Drivers who we	Individual
applied for in 2013 that have left us 3-4 years	Response
ago & it's hard to know whether they left to go	
elsewhere or have left the industry altogether	
Definitely need to address medical standards.	A FOC
RIS 3451 should be withdrawn. TDLCR	An ORR-
Schedule 1 should have meaningless	recognised
requirements removed e.g. "ability to withstand	occupational
dazzle". Guidance on TDLCR schedule 1	health doctor
hearing and vision requirements, currently in RIS	
3451 should be placed in ORR publication "A	
guide to the occupational psychological fitness	
and medical requirements - Train Driving	
Licences and Certificates Regulations 2010" Yes, there needs to be clear medical standards	An ORR-
governing rail drivers like there are for DVLA. At	recognised
present, there are some doctors who will let	occupational
people with epilepsy drive after 6-12 months	health doctor
which should not be allowed. The current	
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	standards are open to interpretation and there is varying practice.	
	There should be an industry standard for post incident management. Expiry of safety critical incidents with timescales. Drivers can learn from incidents and there should be a long-term positive outcome. Incidents in my opinion should not remain on a drivers record indefinitely.	A TOC
	· · · · · · · · · · · · · · · · · · ·	A TOC
	There are already guidance notes for TDLCR.	
13. [only complete this question if you are an organisation with under 50 employees] Do you think that TDLCR has had a disproportionate impact on your	It makes it more costly and difficult to obtain	A FOC
business?	traction training for Drivers we recruit, and offers very limited benefit if any.	
	But it could. If the {redacted} were to lose it's exemption the process could be considered a challenge and a mechanism would be required whereby existing Drivers under the present exemption requirements would be permitted to continue to driver trains for the {redacted} on the limited part of the GB mainline network that {redacted} trains operate over.	Other - A Heritage Rail Operator
	Just involves a little more admin.	A TOC
	The burden of administration has been significant. This has included development of a TDLCR standard, revision of company processes, briefing and training to staff, increase in workload resulting in additional headcount to manage the administration of licences and information returns	Other - Professional Head of Operational Safety
	Again some of the larger companies poach staff	Other –Driving Standards Manager
	Driver competence and training in both systems, processes and applications shifted to core training providers (specific centre licence status) and branded electronic technology.	Other - Rail Consultant
14. TDLCR does not cover non- mainline operations, such as London Underground. Do		HM Railway Inspector
you think that non-mainline operations should	With the caveat that it does not adversely impact yards/depot/sidings operations.	

be covered by the		
regulations?		
	In principle there is no strong objection, other than to say to a large degree we use volunteer drivers on {redacted} with ad-hoc availability. Establishing a training school and managing the processes required by the TDLCR would increase costs for marginal benefits. There is a risk that should TDLCR be applied to heritage railways generally many would be forced to closed as their standards may differ from those applied to the mainline railway.	Other - Heritage Railway Operator
	Whilst operations may be different, railway hazards and risks are similar. IMO it would be advisable to have the same medical standards Admin burden is too great to include engineering depots etc	An ORR- recognised occupational health doctor A TOC
	Yes, where there is clear interface with Main Line operations. Purely isolated privately owned operations could be exempt.	A TOC
		An ORR-
	I believe the current system works fine. Non-	recognised
	mainline operations have sufficient medical	occupational
	standards appropriate to their own specific risks.	health doctor
	Different skill set and requires additional knowledge with route competence for example	A TOC
	TDLCR has prevented the free movement of drivers for our company	A TOC
	Level playing field	A TOC
	Our members report applications for GB Mainline driver posts from drivers employed by {redacted} and {redacted}. Their feedback highlights how different the National railway is when compared to these systems (especially {redacted}) in terms of the differences between heavy and light (tram based) rail, signalling systems, the ethos of how the systems are managed and operated, etc. We are advised that a considerable amount of training is required to bring non mainline railway staff up to the National railway standard.	Trade union
	TSP Depot Drivers should be covered under TDLCR due to the high-risk nature of Depots	A TOC
	Maintain consistent high standards across the whole industry and allow movement of drivers between operators.	Trade Union
	it could be useful should a non-mainline train driver apply for work on the mainline railway, you could have a situation where a bad apple with poor safety record gets a job as a trainee, should this information be recorded via TDLCR it would prevent already sub-standard drivers joining a mainline company with a clean record	Trade Union

	An ORR-
	recognised
Infrastructure can be shared	trainer/examiner
	An ORR-
	recognised
I think it would be good to have some sort of	occupational
standards for these operators given the	health
{redacted} crash a few years ago.	psychologist
This question has wider implications on scope of	Other - Rail
ROGs. The principle on licensing should apply to	Consultant
all because there is a value in TDLCR	oonoununt
requirements. Many primarily see it as simply an	
EU requirement.	
Where the role demands from a hazard/risk	
	An ORR-
aspect are comparable I would recommend that	recognised
the same are applied for similar roles across the	occupational
board.	health doctor
	An ORR-
	recognised
	occupational
to have a similar impact	health doctor
Including tramways i.e. off road operations such	Other - Retired
as {redacted[Train Driver
transferable risks and skills	A TOC
If their Drivers can join another TOC or FOC as a	Other -
-	Individual
Qualified Driver they should be able to prove	
they are a Qualified Driver & have met the same	Response
standards as a Driver for any other TOC. The	
{redacted} is always contentious as they are only	
qualified to Drive on the {redacted} & not	
mainland {redacted} services. They still	
 undertake rules exams & attend medicals	
 not sure. Having worked for {redacted} for many	An ORR-
years in a medical capacity, it was much easier	recognised
there to create medical standards that reflected	occupational
the work environment, allowed bespoke risk	health doctor
assessment for those with disabilities and	
allowed innovative and progressive thinking	
around occupational health. Having to obey the	
TDLCR would have hampered that approach.	
However, that approach is not consistently	
scalable to all TOCs given the variable quality of	
OH provision across the UK for rail as this	
approach relies on high quality, informed,	
evidence based occ health advice both at case	
and strategic level - not all TOCs have this level	
of OH provision. Until we have that, having	
absolute standards, even ones that are	
restrictive and risk averse, may be the safest	
option	
Given the potential for 'drivers' to move to and	A FOC
from the mainline railway a common approach	
would be beneficial.	

	The fitness requirements for {redacted} are different	An ORR- recognised occupational health doctor
15. Overall, how has the full implementation of TDLCR affected your recruitment of new train drivers?	We believe that that the TDLCR has had little effect if any on the recruitment of train drivers. It has meant that more train drivers are required owing to the increase in the frequency of medicals. The TDLCR does restrict the ability of school leavers to join the grade.	Railway Industry body
	We are not an ORR-certified train Driver training school so can only recruit already qualified Drivers from other operators	A FOC
	To some extent it's made life easier where they already hold the relevant licence and certificate. For the majority of our Drivers they are exempt from the TDLCR and as such the Regulations have had little or no effects to our operation.	Other - Heritage Railway Operator
	The TDLCR mandates the minimum age of train drivers holding a licence to be 20 years of age. This restricts the industry in connecting with school age leavers and is a constraint on social mobility. This age is also at odds with our European partners who can licence domestic train drivers at 18 years of age. A case for change for this item will be sent separately to both the ORR and the DfT. The frequency for medicals requires that train drivers must be released more often for medicals that prior to the TDLCR being introduced. This has resulted in the recruitment of extra train drivers.	Railway Industry Body
	Such high numbers of applicants for every job means that even with tighter controls its doesn't affect recruitment	A TOC
	Introduced some further administrative tasks.	A TOC
	Minimum age is restrictive. Reduced the number of occ health provides because they had to become registered	A TOC
	The system is currently admin heavy including the requirement to re-test psychometrics for non- licensed mainline drivers. There has been no apparent tangible benefit	A TOC
	Minor changes were needed to comply with the regulations	A TOC
	This option has been chosen because TDLCR has made recruitment easier in the sense that at least the employer knows the person is a qualified train driver but as other details may not be provided, that is the limit of its usefulness.	Trade Union
	No impact	A TOC

	New drivers won't have a licence and will need to be issued a licence – this is no different to pre TDLR	Trade Union
	We've had to recruit more train drivers in order to comply with the increased medical frequencies, this in turn has meant an increase in Driver Manager numbers in order to maintain driver / manager ratios and to manage an increased post- qualified assessment demand. The minimum age requirements for train drivers has meant that we are unable to explore the potential to tap into a key part of the labour market.	A TOC
	<i>it has aided recruitment slightly by giving evidence of competence without having to chase the former employers for training records</i>	Trade Union
	We are in a unique industry where we have a minimum standard for this recruitment of train drivers. As such we have become experts in this field and therefore can advise organisations as to the standard to support them with their recruitment. Candidates are also aware of the standards and therefore the recruitment is as transparent, fair and valid as it can be.	An ORR- recognised occupational health psychologist
	Not my field of knowledge.	An ORR- recognised occupational health doctor An ORR-
	not involved in recruitment	recognised occupational health doctor
	more paperwork and management processes I haven't heard any feedback from our training centre or Recruitment Department. A lot of work is involved for applying for first issue licences. I can imagine that the train drivers joining us are pleased as they can move around & go where the money is once they are qualified.	A TOC Other - Individual Response
	We did manage to recruit a small number of drivers from Europe and their license helped somewhat	A FOC
	The ECG is pain to administer and also not clinically worthwhile. I would advise that this is removed from medical standards.	An ORR- recognised occupational health doctor
	We generally recruit trainee drivers and not already qualified.	Other - Driving Standards Supervisor
	It has not affected the recruitment process, it has added considerably to the admin burden following recruitment.	A TOC
16. How has the full implementation	Industry have raised that the requirements within TDLCR have in some cases led to drivers who	Railway Industry Body

of TDLCR affected your retention of train	were previously safe to drive, being declared unfit, and having to be redeployed.	
drivers?		
	The visual acuity requirements within the medical requirements have meant that train operating companies have lost competent train drivers. It is recommended that the detailed medical requirements be managed through a Rail Industry Standard.	Railway Industry Body
	The medical requirements, especially around visual acuity has resulted in train drivers having to leave the grade. The change to the medical requirements meant that on day one the train driver could drive a train and on day 2 they could not!	Railway Industry Body
	Drivers don't leave, low turnover	A TOC
	medical timescales changed to every 3 years	A TOC
	The TDLCR has no bearing on the retention of drivers. TOCs work in the same way, regardless	A TOC
	No noticeable change within our TOC/RU	A TOC
	It has not affected retention because the motivation for staff moving is that of gaining better	Trade Union
	pay, conditions and hours.	
	No impact	A TOC
	Retention is based on the behaviour of the employer, remuneration package and quality of work – the licence is of little consequence.	Trade Union
	More stringent medical testing has, in a few cases, meant that drivers have failed a medical that they would once have passed. There may be a valid case for this but this is not clear. It's understood that the more stringent eyesight testing is because of higher speed driving requirements of some operators, particularly in Europe, that are not relevant to most UK operators.	A TOC
	I am currently booked off as "unfit to drive trains" because of the corrective lens strength limits imposed by the TDLCR. 20 years without a vision related safety of the line incident and I'm now unfit!!!	Other - A Train Driver
	I don not believe it has made any difference, I find quality of work (eg intercity work) and the distance they live from the workplace something that affects retention of drivers	Trade Union
	Due to larger companies trying to poach drivers directly	Other - Driving Standards Manager
	Not my field of knowledge.	An ORR- recognised occupational health doctor

		An ORR-
		recognised
		occupational
	not involved in retention	health doctor
	Recently there hasn't been a mass exodus of	Other -
	Drivers leaving us but we have had 3 years of	Individual
	good Pay Deals when perhaps other companies	Response
		Response
	have frozen pay	
	Drivers will always have a desire to transfer to	A FOC
	what they perceive as less stressful work but their	
	ability to transfer tends to be decided on the	
	transfer of safety critical information document	
	rather than their license	
		Other - Driving
	I don't think this implementation has had any	Standards
	bearing on retention	Supervisor
	No effects one way or another.	A TOC
17. How has	The standardisation of the certificate has helped in	Railway Industry
TDLCR affected	this area, it makes sure that consistent operational	Body
your recruitment	terminology is used. Train operating companies	
of train drivers	still carry out their due diligence procedures,	
from other	however, no matter what is recorded on the	
operators?	certificate.	
	The main issue when recruiting is route and	A FOC
	traction knowledge. As we are not signed off as a	
	Train Driving training centre, we need to have	
	existing traction knowledge which limits the	
	available pool of Drivers.	
	The standardisation of the complementary	Railway Industry
	certificate has been the change that has made a	Body
	small benefit to recruiting from other operators. It	
	is easier to see what level of knowledge in terms	
	of route and traction has been gained by the	
	candidate. Railway Undertakings, however, still	
	must perform their due diligence checks and	
	undertake a risk-based training needs analysis.	
	Has stopped applicants from light rail and trams,	A TOC
	but again with the numbers we recruit this is not	
	an issue	
	extra check now needed to confirm a license is	A TOC
	held and still valid. Length of time taken to	
	complete a check during recruitment.	
	The burden of admin coupled with the infrequently	A TOC
	of the activity places additional time and cost into	
	the process for transferring drivers. There is now a	
	higher rate of admin errors due to the complexity	
	and confusion that exists despite attempts for	
	simplify this into flow charts, forms etc	
	can check credentials of candidates when	A TOC
	applying for driver role	
	No issues with recruitment of mainline licensed	A TOC
	drivers however TDLCR has impacted recruitment	
	of non-licensed mainline drivers	
	It gives a starting point.	A TOC

More robust way of checking Train Driver	A TOC
competence and validity.	A 100
There is an additional burden of admin due to the requirements for a certified copy of the certificate, the confusion at times with the complementary certificate, for both existing drivers leaving and transferring companies. The process and requirements under RIS-3751-TOM were suitable and this has layered additional requirements with no demonstrable benefit	Other - Professional Head of Operational Safety
Having a known consistent set of standards and competencies helps but training to achieve the certificate for the new employer still needs a TNA and training.	Trade Union
I know colleagues who have changed TOCS easier	Other - Train Driver
It's now easier for a driver to provide evidence of	Other - Train
current competency when moving company through certification. The ability to check the status of a licence is valued but the process could be more efficient and timely as stated above.	Driver
We have always insisted on getting a full history for driver's transferring into EMR and by having good relationships with other operators this has the most effect on getting information for recruitment purposes	A TOC
again knowing the drivers competence has made life slightly more easier	A TOC
From an assessment point of view it can be frustrating when candidates apply to numerous organisations at the same time, or when they apply to one company but with the aim of actually going to a different company. This can be difficult to manage and we as assessors have to be super vigilant to ensure a candidate is being assessed correctly.	Trade Union
As a smaller company its more difficult due to T & C of large organisations	An ORR- recognised occupational health psychologist
Not my field of knowledge.	Other - Driving Standards Manager An ORR-
not involved in recruitment	recognised occupational health doctor
The TDL follows the Driver between TOC's so we can check on previous employment & Safety of the Line incidents	An ORR- recognised occupational health doctor

		An ORR-
	Drivers being accepted for employment tends to	recognised
	be decided on the transfer of safety critical	occupational
	information document rather than their license	health doctor
		Other -
	It has not affected the recruitment process, it has	-
	added considerably to the admin burden following	Individual
	recruitment.	Response
18. Are the	We believe that the competence requirements for	Railway Industry
training and	trainers and examiners are not correct. They do	Body
examination	not allow for "fast track" graduate schemes as	
requirements of	they set minimum time levels for trainers and	
TDLCR	examiners to have held their licence. We believe	
effective?	it should be up to the industry as to how we	
	determine the competence management	
	standards for trainers and examiners which should	
	be set out in the train operating company's Safety	
	Management System and audited by the ORR.	
	We still get Drivers join us who although they have	A FOC
	a Licence turn out to have sub-standard basic	-
	Driving skills so obviously not.	
	This is an area where the regulations should be	Railway Industry
	"Goal Setting" and should require Trainers and	Body
	Examiners to be competent in both the subject	Dody
	matter and method of training or examining. The	
	regulations do set out a clear minimum standard	
	for the trainers and examiners in terms of	
	knowledge required. However, there is a	
	disconnect between the requirements of a good	
	practice competency assessment process and the	
	"time served" element of the licence. For	
	example, to be a trainer or examiner the candidate	
	must have held a licence for a number of years,	
	however, the fact that a licence is held for this	
	period of time does not mean that the candidate	
	has necessarily been driving for that whole period	
	of time. It would be preferred if the regulations	
	emphasised competence in this regard.	
	Our training is governed by our own Standards.	A TOC
	TDLCR has been incorporated into this procedure.	
	We work against apprenticeship standards –	
	higher level. We are a big TOC doing lots of	
	training so our standards were already high.	
	TDLCR does bring consistency to standards of	
	trainers/assessors	
	supported by company standard for recruitment	A TOC
	and training – {redacted} training syllabus	
	provides consistent approach.	
	The current approach as continued, therefore	A TOC
	there has been no real impact other that additional	
	restrictions on years' experience for examiners.	
	This has prevented some who would be capable	
	from apply for these roles, and others who were	
	already in role but could not meet the criteria	
	•	
L	being less productive. There should also be a	

better way of assessing suitable experience than	
just duration as it's not a good indicator	
The experience is restrictive and prevents candidates progressing into DTM role at an earlier stage of their career	A TOC
Required to register as a training centre for the purposes of TDLCR however no change to the company CMS	A TOC
Although mostly ok, there are areas where better clarity would assist	A TOC
TDLCR Part 1 License does not give details of route and traction, medical issues, safety of the line incidents. It also does not affect training standards as they originate from how the TOC decides to operate to the standards (see responses to earlier questions).	Trade Union
Ensure the relevant competent trainers are delivering training courses. Mandating 3 years train driving experience	A TOC
However the requirements for training and examination have not significantly changed. There has been additional requirements such as the prior driving experience that has limited some activities for existing assessors/trainers and prevented some suitable applicant from applying and taking up these roles	Other - Professional Head of Operational Safety
The training requirements of the licence (as opposed to the certificate are not thorough enough or consistent)	Trade Union
It's hard to deny this hasn't added value but there is perhaps too much of a focus upon experience in terms of a time only as a measurement, as opposed to competency. Is someone with 5 years freight experience moving to a passenger operator immediately a more suitable trainer / examiner than someone with 2 years relevant experience coupled with the necessary professional skills?	A TOC
But they only reinforce good practice in terms of competence of trainers and assessors anyway. As a provider of driver training to other operators we apply best practice anyway and are assessed on how we train drivers	A TOC
I am currently booked off as "unfit to drive trains" because of the corrective lens strength limits imposed by the TDLCR. 20 years without a vision related safety of the line incident and I'm now unfit!!!	Other - A Train Driver
everyone should be training to a similar standard and that can only be good for the industry as a whole	Trade Union
A lot is left to the operators with no involvement from ORR	An ORR- recognised trainer/examiner

	For assessors who undertake the psychometric tests - there is a lot of training. However I feel the	An ORR- recognised
	ORR register can be tightened up more. For	occupational
	example, there are Individuals on the register who	health
	cannot deliver the driver recruitment process, yet	psychologist
	they have an ORR number.	poyonologiot
		An ORR-
		recognised
		occupational
	Not my field of knowledge.	health doctor
		An ORR-
	not involved in training undertake medical	recognised
	examination - there remains areas for	occupational
	improvement	health doctor
	TOCs do not apply the schedule 3 exam. Drivers	Other - Retired
	should understand basic motion maths and	Train Driver
	physics. I have never known an electrician not	
	understand ohms law, or volts amps or resistance.	
	Train drivers should understand newtons second	
	law, time ,speed, distance, suvat etc.	
	made no difference	A TOC
	This isn't my area of expertise but anything that	Other -
	standardises training & examination has got to be	Individual
	good as you know a {redacted} Driver has	Response
	completed the same standard of training as an	
	Avanti Driver, for example	
	Training and examination has been adapted to	A FOC
	take into account the TDLCR guidance	A TOO
	They are no more or less effective than pre-	A TOC
19. Has TDLCR	TDLCR arrangements. Applications to be a train driver are usually over-	Railway Industry
had any effects	subscribed. However, a sizable element of these	Body
on the market for	applications come from Individuals that wish to	Douy
train drivers?	embark on a second career.	
	The pool of initial candidates to be a train driver is	Railway Industry
	still buoyant, the psychological assessments that	Body
	were introduced prior to the TDLRC but are now	Dody
	required by it are one of the factors that reduce	
	the pool, however, we do not wish to see this	
	changed. Railway undertakings would like to see	
	the pool extended so that more younger train	
	drivers could be recruited into the industry either	
	directly or through apprenticeships. The TDLCR	
	are a blocker to this as currently written.	
		An ORR-
		recognised
	No evidence of change within {redacted}	trainer/examiner
		An ORR-
		recognised
	It has widened the market	training centre
	Continued to recruit as before	A TOC
	No Knowledge in this area	A TOC
	AWC have not seen any benefit	A TOC

	Made the resource pool of Driver Managers scarce and difficult to recruit for.	A TOC
	No real change, however the regulations have made it harder to explore the potential to recruit drivers at a younger age.	A TOC
	I do not believe this has any affect	A TOC
	L bovo not ocon o downturn of condidatos opplying	An ORR- recognised occupational health
	<i>I have not seen a downturn of candidates applying to become train drivers.</i>	psychologist
	<i>Everyone wants qualified staff without the need to train them</i>	An ORR- recognised occupational health doctor
	It seems to have increased the pool of Drivers	Other -
	looking for work, especially during the pandemic &	individual
	the open access operators such as Hull Trains furloughed Drivers	Response
	Recruitment and transfer hasn't really changed. I guess if a private Individual were to obtain a Part A then the market may become more competitive.	A FOC
	We have never had a problem recruiting train drivers - both existing drivers from other TOCs and new entrants to the grade. TDLCR hasn't changed this except the admin burden following recruitment.	A TOC
20. What impact, if any, has TLDCR had on the costs of recruiting and training new entrants to be train drivers?	We need to recruit from other operators (so pay more) or need to pay other operators to train them and as other operators are our competitors, they obviously charge a lot.	A FOC
	The administrative burden and support costs has introduced costs into the recruitment process for new entrant train drivers. The administrative burden is caused primarily because it is a paper- based system requiring signatures. Time is taken up by chasing for photographs, paper records and quarterly reports. Additional support costs including printing costs, etc all add up.	Railway Industry Body
	Administrative costs associated with managing the licence arrangements	A TOC
	Admin burden has increased costs and frequency of medicals almost doubled	A TOC
	Increased time/admin costs with additional psychometric testing for unlicensed drivers	A TOC
	Medical examination costs are higher due to the periodicity.	A TOC
	TDLCR has increased the cost for new entrants because applying for licenses can incur delays, not least because they are akin to the complicated	Trade Union

	non-nort form Howayar the availability of Deute	
	passport form. However, the availability of Route	
	Traction Cards can mean that the Individual can	
	work whilst they await their license. The additional	
	cost relates to chasing up the missing license and	
	in some cases reapplying for them.	
	Indirect cost to an increase in workloads	A TOC
	These questions (20 to 27 inc) are about costs to	Trade Union
	the employer. I would read this as an exercise to	
	reduce costs and burdens on operators, probably	
	at the expense of standards.	
	This is mostly about the administrative burden. It's	A TOC
	still a very cumbersome process that could be far	
	more efficient.	
	I cannot answer this question as I do not have the	Trade Union
	facts and figures to base an answer on	
	I don't believe there are has been an increase in	An ORR-
	costs just because of TDLCR. Prior to this,	recognised
	candidates were still assessed in the same way -	occupational
	needing to achieve minimum standards across a	health
	range of psychometric tests. This was managed	psychologist
	through the recognised assessment centres	psychologist
	(RACF).	
		An ORR-
		recognised
	Net we field of her outs does	occupational
	Not my field of knowledge.	health doctor
		An ORR-
		recognised
		occupational
	not involved in recruitment	health doctor
	There are more elements of checking which will	Other -
	increase staff time but I'm unable to put a cost on	Individual
	this, sorry	Response
	It has changed what was already in place. The	A FOC
	only additional activity is the application for the	
	license.	
	the requirements of the regs were carried out pre-	A TOC
	TDLCR under company SMS arrangements	
	anyway.	
21. If you believe	Don't know specific numbers, this is an estimate	A FOC
that TDLCR has		
had an impact on		
recruitment and		
training costs for		
new entrant		
drivers then		
please estimate		
-		
the average cost		
per train driver	Diagon refer to Individual Dailuran Lindartaking	Doilwoy Inductor
	Please refer to Individual Railway Undertaking	Railway Industry
	submissions	Body
	No response as q.20 was N/A	A FOC
	Admin time and additional testing	A TOC

	These questions (20 to 27 inc) are about costs to	Trade Union
	the employer. I would read this as an exercise to	
	reduce costs and burdens on operators, probably at the expense of standards.	
	Time costs plus printing costs.	A TOC
	Admin and additional assessment time	A TOC
	It is a rough guesstimate as there are more	Other -
	checks & TDL's need to be applied for by the	Individual
	Training Centre	Response
22. What impact,		A FOC
if any, has	Any traction training needs to be done externally	
TLDCR had on	by an organisation which a train driving raining	
the costs of	school and so is inevitably a competitor, this	
recruiting and training existing	restricts the market for training so prices are high and even then we cannot guarantee quality of	
train drivers?	training	
		Other - Heritage
		Railway
	Transferability of medicals reduces the direct costs to the [redacted].	Operator
	The administrative burden and support costs has	Railway Industry
	introduced costs into the recruitment process for	Body
	new entrant train drivers. The administrative	
	burden is caused primarily because it is a paper-	
	based system requiring signatures. Time is taken up by chasing for photographs, paper records and	
	quarterly reports. Additional support costs	
	including printing costs, etc all add up	
	New entrant competent driver would already have	A TOC
	medical competence in line with TDLCR – if this	
	could be transferred to the new operator then	
	costs could be saved by negating the need for a	
	new entrant medical. Visibility of service history /	
	sickness etc with current employer would support this.	
	There is an additional burden of admin which has increased costs	A TOC
	No change to process although more information	A TOC
	required	
	This option has been chosen on the basis that	Trade Union
	staff employed in driver training will still be	
	carrying out that activity whether the recruitment of an existing driver takes place or not.	
	These questions (20 to 27 inc) are about costs to	Trade Union
	the employer. I would read this as an exercise to	
	reduce costs and burdens on operators, probably	
	at the expense of standards.	
	This is mostly about the administrative burden. It's	A TOC
	still a very cumbersome process that could be far	
	more efficient.	
		An ORR- recognised
		occupational
	Not my field of knowledge.	health doctor
L		

]	An ORR-
		recognised
		occupational
	not involved in recruitment	health doctor
	Medicals are more frequent. There are the costs	Other -
	of applying for & administering the TDL's which	Individual
	weren't there before.	Response
		A TOC
	time spent on admin functions related to TDLCR	ATUC
	has a resource and consequent financial cost.	
23. If you believe	Don't know specific numbers, this is an estimate.	A FOC
that TDLCR has		
had an impact on		
recruitment and		
training costs for		
existing drivers		
then please		
estimate the		
average cost per		
train driver		
	These questions (20 to 27 inc) are about costs to	Trade Union
	the employer. I would read this as an exercise to	
	reduce costs and burdens on operators, probably	
	at the expense of standards.	
	Time costs plus printing costs.	A TOC
		Other -
	Again a rough guesstimate but increased	Individual
	medicals & admin for TDL's	
		Response
24. Has		A FOC
maintaining		
compliance with		
TDLCR		
 Increased 		
Costs (a lot		
or a little)		
Decreased		
Costo 2 /a lat		
Costs? (a lot or a little)		
or a little)		
or a little) • Neither		
or a little) • Neither increased		
or a little) Neither increased nor 		
or a little) Neither increased nor decreased 		
or a little) Neither increased nor decreased costs 		
or a little) Neither increased nor decreased costs Don't Know? 		
or a little) Neither increased nor decreased costs Don't Know? Not 		
or a little) Neither increased nor decreased costs Don't Know? 	Mainly in cost of recruitment and training	
or a little) Neither increased nor decreased costs Don't Know? Not 	In the main TDLCR does not impact significantly	Other - Heritage
or a little) Neither increased nor decreased costs Don't Know? Not 	In the main TDLCR does not impact significantly on our operation. This year however we lost c.4	Railway
or a little) Neither increased nor decreased costs Don't Know? Not 	In the main TDLCR does not impact significantly	
or a little) Neither increased nor decreased costs Don't Know? Not 	In the main TDLCR does not impact significantly on our operation. This year however we lost c.4	Railway
or a little) Neither increased nor decreased costs Don't Know? Not 	In the main TDLCR does not impact significantly on our operation. This year however we lost c.4 days time proving to the ORR that we have an	Railway
or a little) Neither increased nor decreased costs Don't Know? Not 	In the main TDLCR does not impact significantly on our operation. This year however we lost c.4 days time proving to the ORR that we have an exemption for the operation of trains on the GB mainline.	Railway
or a little) Neither increased nor decreased costs Don't Know? Not 	In the main TDLCR does not impact significantly on our operation. This year however we lost c.4 days time proving to the ORR that we have an exemption for the operation of trains on the GB mainline. The administrative burden, support costs and the	Railway Operator Railway Industry
or a little) Neither increased nor decreased costs Don't Know? Not 	In the main TDLCR does not impact significantly on our operation. This year however we lost c.4 days time proving to the ORR that we have an exemption for the operation of trains on the GB mainline.	Railway Operator

	drivers. The administrative burden is caused	
	primarily because it is a paper-based system	
	requiring signatures. Time is taken up by chasing	
	for photographs, paper records and quarterly	
	reports. Additional support costs including printing	
	costs, etc all add up. The medical frequencies	
	mean train drivers have to be released, shift	
	patterns changed, and cover organised resulting	
	in an increase in train driver establishment.	
	Increased frequency of medical examinations -	A TOC
	annual from 55 Requirements to use approved	
	medical providers - narrows the market and allows	
	them to charge a premium	
	medical frequency, especially when over 55 is	A TOC
	costly	
	The additional admin activities plus the increased	A TOC
	frequency for medical has increased costs. A	
	conservative estimate for {redacted} is- 2,500 to	
	2,800 hours for the management of TDLCR	
	requirements such as management of comp cert,	
	returns to ORR etc, and approx. 320-350	
	additional days release for medicals per year.	
	There is no current evidence to indicate if the	
	increased medical frequency provides a benefit	
	from increased health surveillance, and therefore	
	this work and research would need to be	
	undertaken to have a balanced and informed view	
	Increased due to medical frequency and admin	A TOC
	time spent on ETDL	
	Administrative time cost	A TOC
	Admin costs	A TOC
	Our response is based on the question of it	Trade Union
	depends upon what you compare the cost with?	
	the cost increase to the frequency of medicals	A TOC
	The requirements for the development of	Other -
	standards, processes, training, briefing,	Professional
	management of the TDLCRs including issue and	Head of
	management of complementary certificate,	Operational
	licences and change to previous existing	Safety
	arrangements (such as safety critical ID cards	
	licences) has placed additional costs into	
	operators by taken up management time, potential	
	increase in headcount in order to manage these	Trade Union
	These questions (20 to 27 inc) are about costs to	
	the employer. I would read this as an exercise to	
	reduce costs and burdens on operators, probably at the expense of standards.	
	The increased medical requirements have meant	A TOC
	the recruitment of more drivers to facilitate	
	additional release. The administrative burden is	
	very heavy both in terms of collating and providing	
	information to support applications as is the	
1	process of collating periodic information on driver	

	movemente medicale completed address (name	
	movements, medicals completed, address / name	
	changes etc.	A TOO
	We have a large proportion of older drivers so	A TOC
	medical costs have risen sharply but this is the	
	same across all age groups. The previous medical	
	frequencies seemed perfectly adequate in	
	managing health and fitness	
	Since 2013 when the new standards were	An ORR-
	introduced, the fees for the {redacted} MMI	recognised
	training have increased significantly for {redacted}	occupational
	The which seems a little unfair and I feel that we	health
	have been penalised compared to other centres.	psychologist
	All other costs, such as TUO-A training has	
	remained the same.	
		An ORR-
		recognised
		occupational
	Not my field of knowledge.	health doctor
	Cost of staff to manage licensing	A TOC
	the administration and keeping things up to date	A TOC
		Other -
	Increased medicals Advain staff to use out our	-
	Increased medicals. Admin staff to report on	Individual
	medicals, Driver change of address, leavers &	Response
	starter etc	
	There has been extra administration duties	A FOC
	associated with the upkeep, although this is not	
	just limited to TDLCR, therefore staff levels have	
	increased to fulfil additional workload, either on a	
	temporary or permanent basis.	
25. Please		A TOC
estimate the total		// 100
annual cost to		
your		
organisation for		
complying with	Driver medical costs £110.00 if they are over 40.	
TDLCR (and	Having a compulsory retirement age of 67 would	
explain your	mean 17 medicals undertaken = £1870. Under	
answer)	the old medical process this would = $\pounds1320$	
	2500 to 2800 hours and 320-350 driver release	A TOC
	days	
	20K	A TOC
	Admin costs to update the license paperwork.	A TOC
	Dependent, but hours of updates can be around	
	30 hours per year at costs of £500 upwards.	
	£1k	A TOC
	One additional cost relates to that of the additional	Trade Union
	age-related medicals with each costing between	
	£1200 and £1500 a time. Assuming an	
	organisation has 50 staff at age 55 and each	
	requires a medical every year to 60, this would	
	lead to an additional £375,000 in that period	
	(£75,000 a year). The cost is obviously duplicated	
	if you take the view of annual age related	
	medicals for staff from ages 55 to 65	
		1

	ormation available. Significant enquiries will	A TOC
curren per me medica shifts f	o take place to get exact amount. Example- tly {redacted} has 182 Drivers 55+ at £300 edical equating to £54600 per year in al cost and the additional cost of covering for Drivers to attend medicals	
the em reduce at the	questions (20 to 27 inc) are about costs to pployer. I would read this as an exercise to e costs and burdens on operators, probably expense of standards.	Trade Union
had to release medica and O £175k		A TOC
	of thousands but difficult to give a definitive r without a lot more time to calculate this	A TOC
we spe	dependent on staff turnover, but last year ent just under £10,000 on MMI training and fications.	An ORR- recognised occupational health psychologist
don't a	In't begin to put an estimate on this as I leal with the whole picture	Other - Individual Response
increa admin	release costs for driver medicals at sed intervals cost of 'additional' medicals istration - updating register/ processing new ants and renewal	A TOC
-	R has few if any benefits over ROGS ements yet brings costs with it	A FOC
The be the ow owner benefit was re other e	ements yet brings costs with it enefits of greater assurance processes and vnership of competence by the driver as the of the licence are difficult to quantify as ts. However, if the administrative burden educed and the medical frequencies and elements were modernised then it would be unding yes.	Railway Industry Body
Good	welfare arrangements for staff would mean sues are identified earlier.	A TOC
No tan	gible benefits	A TOC
	se the sum is minimal	A TOC
		Other -
//= ar	e able to attract more Drivers but I'm not	Individual

		An ORR-
		recognised
		occupational
	It is important to maintain standards and safety	health doctor
	TDLCR has not delivered any benefits, therefore this cost is highly disproportionate	A TOC
27. Has TDLCR		A TOC
had any other		///00
impacts on costs		
-		
you have not	Olivebt in an a section of the sticks a short as a set	
already told us	Slight increase in admin functions, but overall	
about?	swallowed up by existing roles.	
	Management time to update spread sheets, keep records.	A TOC
		Other -
		Professional
		Head of
		Operational
	driver release for additional medical frequency.	Safety
	These questions (20 to 27 inc) are about costs to	Trade Union
	the employer. I would read this as an exercise to	
	reduce costs and burdens on operators, probably	
	at the expense of standards.	. =
	I am currently booked off as "unfit to drive trains"	A FOC
	because of the corrective lens strength limits	
	imposed by the TDLCR. 20 years without a vision	
	related safety of the line incident and I'm now	
	unfit!!! I'm probably not the only one.	
	Continued use of {redacted} used to record and	A TOC
	maintain licence records - has a cost for use and	
	continuous updating to meet needs	
28. What effects	Limited effect of TDLCR on driver fitness or	Railway Industry
have the medical	identifying issues – most elements or processes	Body
or psychological	have remained the same. There has been some	,
fitness	impact, as reported by operators, that additional	
requirements of	effort to address the bureaucratic and	
TDLCR had on	administrative requirements is now required,	
your	creating the need for additional resource and cost	
organisation?	to maintain licenses for drivers. This is particularly	
organisation:	applicable to the additional medical examination	
	frequency requirements.	
		Poilwov Inductor
	The medical requirements are too detailed and the	Railway Industry
	frequency of medicals too great. the regulations	Body
	should call for train drivers to be competent and	
	the industry should determine how those	
	competency requirements are met.	
	Few, as the medical is basically the same as that previously used	A FOC
	The frequencies of medicals have driven costs	Railway Industry
	into railway undertakings. The frequencies of	Body
	medicals need to be modernised in line with the	
	changes to the state pension and railway pension	
	schemes as these demonstrate that the	
	population is living healthier and longer lives.	

The TDLCR brought in no significant changes to the current practice undertaken by railway undertakings.	Railway Industry Body
Administrative burden, Drivers stood down as medical providers cannot cope with demand, having to use approved suppliers drives cost increase, payroll costs due to increase in headcount	A TOC
Greater opportunity to identify issues based on increased medical frequency	A TOC
Consistent standard of health fitness	An ORR- recognised occupational health doctor
Psychometric assessors are referred to as psychologists which should be reflected in the ORR register	A TOC
The frequencies of medicals have driven costs into railway undertakings	A TOC
Reassessment required for unlicensed applicants after 5 year	A TOC
The simple answer from an employer's point of view is to have increased costs but with increased benefits in employee management and health awareness.	Trade Union
No material benefit can be seen from the additional medical frequencies.	A TOC
Probably where there has been some benefit but the industry is improving how it manages mental health anyway and I'm not sure this is all down to TDL	A TOC
I am currently booked off as "unfit to drive trains" because of the corrective lens strength limits imposed by the TDLCR. 20 years without a vision related safety of the line incident and I'm now unfit!!	Other - A Train Driver
It has helped keep drivers fit and saved them serious illness on a number of occasions in my experience	Trade Union
Unknown but with recent recruits to the industry I would question if this scheme if proving worth	Trade Union
Not sure its followed following initial recruitment. Too many inexperienced Rail doctors signing off fitness under the guise of one registered doctor within the organisation.	An ORR- recognised trainer/examine
 The TOC I work for uses non registered psychological registered practitioners	An ORR- recognised trainer/examine
Appropriate physical examination standards but can always improve	An ORR- recognised occupational health doctor
Should consider improvements for standardised assessment	An ORR- recognised

	1	1° 1
		occupational
		health doctor
	Increased frequency coupled with increased standards has meant more drivers being removed temporarily or permanently. Also cost of repeat medical exams.	A FOC
	Inconsistencies with requirements in 3451	An ORR- recognised occupational health doctor
	Having some standards is better than nothing	An ORR- recognised occupational health doctor
	None - the standards were applied before TDLCR through company SMS	A TOC
29 Have the medical or psychological fitness requirements of TDLCR enabled the prompt identification of driver medical or psychological issues? (if so, can you explain		Railway Industry Body
how this has improved safety?)	Not seen to have any noticeable impact as most overall processes are similar to before, if more codified.	
	What TDLCR brought in was almost the same as what was already in existence via ROGS and Railway Group Standards/Railway Industry Standards so improvement in this area must be attributed to ROGS and RSSB-published standards not to TDLCR.	A FOC
	Regular monitoring has identified conditions that were otherwise unknown.	Other - Heritage Railway Operator
	Railway undertakings do not believe the increased frequencies of medicals have introduced any change in the identification of medical conditions affecting safety.	Railway Industry Body
	Medical assessment does pick up medical issues but only at the time of the medical. For the system to be robust you rely on managers and drivers raising health concerns/issues between medicals. This doesn't always happen. In addition whilst medical assessment after an incident is reasonable this is 'post event'.	An ORR- recognised occupational health doctor
	Seems to be working – more F2/U2 reports – more physical rather than psychological reports.	A TOC

The standards do enable identification of valid exclusion on safety grounds although "prompt" is not a feature	recognised occupational health doctor
As above . {redacted} use outsourced services that are not registered	An ORR- recognised trainer/examiner An ORR-
it has a previously answered helped a number of drivers with an unknown medical condition and the medication checks we do as part of this process have made drivers aware of serious side-affect and be able to go back to their GP and obtain new medication	Trade Union
Identifying problems early and not having drivers affected by a condition that they may not be aware of that would affect their ability to carry out their duties in a safe manner would be beneficial. Service delivery aside.	Trade Union
Recognised psychometric assessor (ORRPP) need to hold qualifications in line with the BPS are not psychologists, and this may put off new assessment centres trying to gain entry into the industry as the requirements are misleading {redacted} are not aware of any issues	An ORR- recognised occupational health psychologist A TOC
 No discernible difference in identifying issues Process was already fully covered prior to TDLCR	A TOC A TOC
Has not been experienced, however issues identified at periodic medicals would enable the removal of drivers from duty quickly.	A FOC
suitable so changes are not possible to compare It has not identified any illness or conditions sooner due to standard of medical completed	A TOC
practicable. Existing medical standards prior to 2010 were also	A TOC
assessment before being seen by the ORR doctor. Therefore, unsuitable candidates are already removed from the process before assessed by the doctor. Unsuitable medical candidates are rare and also removed as soon as	recognised occupational health doctor
In a couple of cases medical issues have been identified, these can be simple issues such as eye-sight, hearing or raised blood pressure. improved safety would result in drivers being treated sooner which would reduce the risk of a medical issue in the workplace leading to an incident. All new recruits have a psychological fitness	A TOC
Medical status and advice when recruiting drivers has been poor. No psychological assessment after recruitment so wont pick up any changes post recruitment. Renewals require the TOC to identify issues to raise for the medical practitioner to investigate	4 TOC

	psychological issues not picked up all the time some physical fitness requirements enable advice for early intervention so that driver not allowed to	An ORR- recognised occupational
	continue safety critical duties until standards met	health doctor
	through ACMS Database and reports	A TOC
	I'm aware of one Driver who had an ECG at a medical which the Doctor was not 100% happy with. A consultant at {redacted} looked at the ECG print out & requested to see the Driver; a heart issue was then diagnosed & resolved saving a potential heart attack or stroke whilst in/out of work	Other - Individual Response
		An ORR-
	I don't think there has been a change as there were rail standards prior to TDLCR	recognised occupational health doctor
	In general results are provided swiftly however some providers are less swift and a restriction is only discovered after the exam and sometimes with the driver driving.	A FOC
	previous 3451 also enabled this	An ORR- recognised occupational health doctor
		An ORR- recognised occupational
	yes	health doctor Other - Driving
	I don't believe it's any different from what we were already doing as an organisation	Standards Supervisor
	None - the standards were applied before TDLCR through company SMS and as such would have been picked up on normal periodical medicals.	A TOC
30. Do you think		
the current regime of recognised doctors and recognised		
psychologists is satisfactory?	With the caveat that improvements could be made.	HM Railway Inspector
	Seem to work but again this was/is based on existing ROGS regime as we use one medical practitioner for all medicals whether for Drivers, Ground staff or others. So TDLCR is just another "flavour" of medical they offer. Cannot comment	
	on regime of psychologists.	A FOC
	However, there are some supplier issues for smaller operators. Some of the medical providers do not wish to deal with smaller operators.	Other - Heritage Railway Operator
	I don't think that this is monitored sufficiently. It is important to have doctors who understand the	An ORR- recognised

railways and the particular TOCS they provide a service to. Currently the ORR relies on self- reported experience and, for example, a doctor could remain on the register without completing a medical for many years. Doctors and psychologists should be required to submit an audit of their work, much like HSE requirements for their appointed doctors	occupational health doctor
How do we challenge this - fine as long as they	
are meeting the standard. Increases costs to the	
end user as have to use the recognised doctor	
and seems like achieving this is difficult	A TOC
The number of available appointments is not sufficient. Very often a review is required but cannot be achieved within the prescribed timescale.	A TOC
The new RSSB rail course should be made	
mandatory to become an ORR recognised doctor	
in addition to appropriate rail experience. There is	
no confirmation of rail experience or knowledge	
when applying to become an ORR doctor. Few	An ORR-
ORR doctors actually perform rail work. There	recognised
should also be some form of annual audit of their	occupational
supervisory role.	health doctor
See prior answer concerning the ORR register	A TOC
Constraints by recognition. Not enough suppliers	A TOO
as doctors are working for medical centres.	A TOC
Barrier for new providers coming into the industry,	
reduces competition and increases costs	A TOC A TOC
Had no issues with system	ATUC
Medical centres very poor, results that have come	A TOC
back totally incorrect. Drivers off for no reason However a standard medical form would be really	
useful as recognised doctors still fail to provide full	
information on their companies form.	А ТОС
	A Railway
See answer to Q29	industry Body
The standards that the various OH companies	
apply are not consistent.	A trade union
I understand what you are trying to do and it	
makes sense to standardise and register, however	
the resource pool is small and makes it difficult to	
attract registered doctors. We need more support	
or mitigation to allow us to operate more	
efficiently. I am concerned that the pool seems to	
be getting smaller and the impact on railway	
operations could be greater in future.	A TOC
handling long term sickness can sometime be	
very trying	A trade union
As mentioned previously, we are not	An ORR-
psychologists and therefore we would like to be	recognised
recognised accordingly. It is very misleading to	occupational
everyone to refer to us as this. In addition, there	health
are Individuals on the ORR register that are not	psychologist

able to undertake the psychometric tests and therefore really shouldn't be on there. I'm always having to chase ORR to update the register as it is often out of date as well. Other - Driv Standards There are not enough doctors available Manager It has given a select few a monopoly, surely if the framework is set then any medical practitioner is Other - A tra	
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	IN
sufficient to follow that driver	
Although important I think it is essential for there to be a more rigid structure for identifying those An ORR-	
to be a more rigid structure for identifying those An ORR- medical practitioners who are eligible and recognised	
competent to be on the ORR register. At present occupationa	
this is rather ill-defined and not well administered. health docto	
not involved in recognised psychologist input as An ORR-	
this assessment is not made available when recognised	
undertaking medical assessment as recognised occupationa	
doctor health docto	
Other -	
We know the Doctors & psychologists we can use; Individual	
it is on the ORR website response	
An ORR-	
<i>I think it is too easy to gain recognition in the first</i> recognised	
instance and no subsequent checks that people occupationa	
remain suitable health docto	r
ORR doctors should not have to go through An ORR-	
regular checks - Once you have experience of rail recognised	
medicals (even if you work in another sector) you occupationa	
are still competent once you come back to it. health docto	ſ
Historically we only used Drs registered with the GMC, so I am not sure what value this register	
adds.	
31. Are the RSSB have initiated a review of the medical	
medical fitness standards (RIS-3451-TOM Train Drivers –	
requirements Suitability and Medical Fitness Requirements,	
under Schedule issue 1; RIS-3452-TOM Train Movement –	
1 of TDLCR <i>Medical Fitness Requirements, issue 1; and</i>	
satisfactory? GOGN3655 Guidance on Medical Fitness for	
Railway Safety Critical Workers, issue two) to	
ensure these align and do not contradict the	
contents of TDLCR. Some requirements in	
TDLCR are now more restrictive than previously,	
and therefore any content of the RSSB standards	
is expected to change to align with TDLCR and	
provide guidance on these. From an RSSB	
perspective, it would be useful to understand if there are any likely planned changes to the	
medical fitness legislation and requirements within	
TDLCR so that this can be taken into account for	
the RSSB standards review. Industry queries	
raised around colour vision requirements for	
drivers, and whether 'sufficient colour vision' is A railway	
enough for drivers to be safe to drive currently industry bod	у

contradicts the legislative requirement that drivers	
must have 'normal' colour vision – therefore	
further guidance from the ORR on what normal	
means in this case would be helpful, or an	
understanding of whether this element of the	
legislation is likely to change in the future would	
be of use to the RSSB review and potential future	
research or work looking into alternative tests.	
The directive and regulations refer to alternative	
colour vision tests, but provide no guidance on	
what alternative tests could be acceptable or	
approved. This needs to be clarified. In addition, if	
there are any additional vision requirements,	
prescription limits or guidance on varifocals, that	
will need to be altered or additionally specified in	
TDLCR, as a result of the introduction of ETCS	
and readability of DMIs by drivers.	
As commented earlier, the requirements are too	
restrictive and the frequency of medicals to great.	
The medical requirements around the following	
need further research: a) Visual Acuity b) Length	
of time off work and the need for further medicals	
c) Availability of alternative testing for colour	
blindness The frequency of medicals should	
recognise that the general population is living	
longer and healthier lives. There seems to be no	
justification for the increased medicals that the	
TDLCR introduced. We have seen no benefits	
from the increased frequency of medicals only	A railway
extra cost through the release of train drivers.	industry body
	HM Railway
With the caveat that interpretation of Schedule 1 could probably be improved.	Inspector
However they don't need to be driver by TDLCR	Πορεσιοί
as they are basically same as they were	
previously. (The expertise they sit on is from the	
ROGS regime and RSSB research not the TDLCR	
per se so this is NOT an endorsement of TDLCR).	A FOC
Railway Undertakings believe that the introduction	
of TDLCR has meant that medical frequencies are	
too high and that the bar is too high for visual	
acuity and that an alternative colour blindness test	A railway
should be included within the regulations.	industry body
See earlier answer. Vision standards (particularly	
distance) open to misinterpretation. The	
statements on contrast and ability to withstand	
dazzle are purely a yes/no answer from the driver,	
no objective test. Hearing standards confusing,	
the following 'should be taken as guidance', an	
oxymoron. What does 'general medical	
examination' actually mean, ORR guidance states	
full, cardiovascular, respiratory, neurological and	An ORR-
musculoskeletal examination. Only a doctor has	recognised
the competency to do this but many OH providers	occupational
only use a Nurse for face to face assessment. In	health doctor

		1 1
	addition, what is the evidence base for a full	
	medical examination in a driver who is	
	asymptomatic? What is the evidence base for	
	ECGs? Whilst occasional cardiovascular issues	
	are identified, the vast majority of abnormal ECGs	
	cause unnecessary anxiety and further tests for	
	the driver. Cost and operational implications for	
	TOCs. Medical standards much like UK DVLA or	
	Australia/New Zealand/Canada rail standards	
	would be much better. They would increase the	
	likelihood of standardised and fair assessments.	
	Psychological requirements can be a grey area	
	and open to interpretation	A TOC
		An ORR-
	There is need for clarity on colour vision	recognised
	requirement especially if failed Ishihara. Is there	occupational
	need for agreed secondary tests	health doctor
	there were some issues at point of transition	
	several years ago, now in place they are	
	consistently applied across operators. When staff	
	transfer from Operator to Operator this information	
	should transfer with the Individual as they were	
	managed under the same standard. Permissions	
	within GDPR would allow this with Individuals	
	consent.	A TOC
	1. Visual acuity requirement should be converted	
	to Snellen equivalent.	
	2. Remove the maximum corrective lens	
	requirements as not implemented (doctor	
	decision)	
	,	
	3. Change near vision to N8 standard and remove	
	intermediate vision as not objective.	
	4. Remove Recognition of colour signals as	
	not implemented and already covered.	
	5. Remove Sensitive to contrastsnot objective	
	or measurable and not being undertaken by	
	service provers anyway.	
	6. Remove Ability to withstand dazzlenot	
	objective or measurable and not being undertaken	
	by service providers anyway.	
	7. Remove Binocular visionnot an ability to	
	drive trains. Not being undertaken by service	
	providers anyway.	
	8. Remove Resting ECG requirementfalse	4 055
	negative results causes more problems.	An ORR-
	Likelihood of an unknown asymptomatic resting	recognised
	ECG being positive is extremely rare. Change to	occupational
	"ECG as clinically indicated".	health doctor
	The increased frequency imports a cost with	
	currently no indication of the benefit this may bring	A TOC
	TDLCR has meant that medical frequencies are	
	too high and that the bar is too high for visual	
	acuity and that an alternative colour blindness test	
	should be included within the regulations.	A TOC

Yes, further requirements would seem to be	
excessive	A TOC
	A TOC
See Q30	ATUC
Members at the meetings of Driver Managers	
advised that with one exception, their train	
operators do not apply psychological fitness	
requirements for TDLCR and are not aware of any	
additional requirements.	a trade union
The frequency of medicals is overly restrictive and	Other -
has placed an additional cost burden on	Professional
operators. The previous medical frequency was	Head of
sufficient for the UK, so reversion back to this	Operational
should be considered	Safety
The general requirements are satisfactory but	, í
some of the specifics need addressing.	
Particularly vision and vision testing	a trade union
Medical frequencies as stated, plus the visual	
acuity requirements appear to stringent for most	
UK operators.	A TOC
But not radically different to what we had	
 previously	A TOC
I am currently booked off as "unfit to drive trains"	
because of the corrective lens strength limits	
imposed by the TDLCR. 20 years without a vision	
related safety of the line incident and I'm now	Other - A train
unfit!!!	driver
I believe that this currently works well	A trade union
·	An ORR-
	recognised
If mandated and audited appropriately	trainer/examiner
As above, I think somebody needs to take	
responsibility for clarifying what level of risk of	An ORR-
sudden incapacity we are accept societally for	recognised
train drivers. I also think we need greater detail in	occupational
linked RIS/ guidance.	health doctor
Some of the standards are not evidence-based	An ORR-
and need reviewing such as the visual standards	recognised
for strength of visual correction lenses which is	occupational
illogical.	health doctor
	An ORR-
	recognised
	occupational
 improvements always useful	health doctor
Could do with being updated in some areas - esp.	
with regard to clarification on sight and glasses	A TOC
	Other -
I'm not a medical physician but I believe the	Individual
medicals are thorough	response
see previous answers. In addition: resting ECGs	
in asymptomatic Individuals is a waste of time and	
money with no impact on risk. more info about	An ORR-
what a "general examination" should include as a	recognised
minimum - there are vast differences I see	occupational
between doctors in understanding this. Not at	health doctor

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	"increased risk of sudden incapacity" - again - no	
	consistency with such a vague statement	
	Frequency of reassessments: less when younger -	
	match frequency to risk	
	I agree with the frequency for over 60's but the	
	frequency prior to that seems somewhat onerous.	A FOC
		An ORR-
		recognised
		occupational
	They need to be clarified for a UK audience	health doctor
		An ORR-
		recognised
	They could be improved by updating them,	occupational
	making them more detailed and evidence based.	health doctor
	The medical standard part of this legislation is	
	very poorly worded. The words 'must' and 'should'	
	seem to be used interchangeably which leaves	
	some of the requirements open to interpretation -	
	an example is the hearing requirements, also the	
	term guidance is used inappropriately.	A TOC
32. Are the driver	As mentioned previously, the regulations are too	
training and	detailed which does not allow for innovation within	
examination	the train operating companies. They restrict "fast	
provisions in	track" graduate schemes and, furthermore,	
TDLCR	holding a licence for a number of years does not	
satisfactory?	necessarily mean that they have the experience	
Sutistuciony.	necessary to be a trainer or examiner. We believe	
	that all the requirements for trainers and	
	examiners should be part of a company's	
	Competence Management System which forms	
	part of the Safety Management System which is	
	then audited by the ORR. We further believe that	
	this is an area for industry standards rather than	
	regulations which then could allow the flexibility	
	required for train operators with their varying	a railway
	business models.	industry body
	They restrict who can train Drivers yet this does	
	not appear to have improved quality, the FOC	
	sector is still focused on "rules and role" and we	
	have had Drivers join us or come for interview	
	who were apparently competent but incidents	
	and/or circumstances suggested that we cannot	
	assume their training was to standard.	A FOC
	This is another area which would benefit from the	
	regulations being lifted to a "Goal Setting" level.	
	Overall, the requirements are overly prescriptive	
	and drive longer than need be driver training	
	courses. The train driver training course	
	requirements need to be modernised to reflect the	
	•	
	way railway undertakings manage faults and	
	failures for example. For example, it is common	
	practice in many railway undertakings for drivers	
	to contact the maintenance facility when a fault	A railway
	has been diagnosed by the system rather than	industry body

having to have the skulls to soft out the problems sophisticated, this use of systems and highly skilled technicians will only increase. Northern work above. Other TOCs the standard feels a bit low TDLCR - meet the minimum standard but TNA needs to capture areas for upskilling Give level of comfort around rule book theory, route and traction TOC still needs to do that assurance piece A TOC Robust and embedded into company standard A TOC Most areas have reminded unchanged apart from the additional admin that is now required A TOC Iredated] members are not aware of any such provisions. A TOC Iredated[members are not aware of any such provisions. A TOC Iredated] members are not aware of any such provisions. A TOC The current arrangements place restrictions on both driving experience and medical requirements for trainers and examiners. This has prevented suitable people from applying, where driving experience is outlable but stort of the requirements, as extended this to meet the years experience forwides no demonstrable benefit, as continue to teach and share learning, however can be prevented from becoming a recognised trainer. Therefore these restrictions should be consistent across the board but there are many differences from one operation to another. Other - Professional Head of Operational setely They are too prescriptive. Operators should have the ability to determine their own minimum competence requirements dependent on their own operation. Some core competency needs will be consistent across the board but there are many differences from one operation to another. A TOC <t< th=""><th></th><th>1</th></t<>		1
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occupational		
		•
Not my area of expertise. health doctor		•
	Not my area of expertise.	health doctor

		An ORR-
		recognised
		occupational
	involved in examination only	health doctor
	The same reasons as any other job. As a pilot I	
	had to pass exams and understand the theory of	
	flight. Therefore so should train drivers understand	Other - Retired
	basic physics of motion.	Train Driver
		Other -
	I'm sorry but I don't know enough about the	Individual
	provisions to be able to comment	response
	The TDLCR requirements existed pre-TDLCR	•
	within the companies SMS and therefore add no	
	value (or are extremely difficult to quantify what	
	value they bring)	A TOC
33. Are the	The training content does not take into account	7100
	the advances made in Non-Technical Skills and	
training content and methods		
	does not encourage the take-up of RM3. The	
that recognised	training methods require review to make sure that	
trainers are	they have kept up with modern training methods.	
required to apply	Again we believe industry guidance in this area	
under TDLCR	rather than regulation is more appropriate which	
satisfactory?	could then be made flexible enough to support the	A railway
	varying business models of train operators.	industry body
	Not a Driver training schools.	A FOC
	The methods of training are satisfactory; however,	
	the training content is overly prescriptive and not	
	in line with the way railway undertakings manage	a railway
	their operation.	industry body
	As above. Aligned to apprenticeship standards	A TOC
	The years experience for examiners and trainers	
	prevents some that would be capable from	
	performing this role from doing so. Duration of	
	driving is not a god indication of capability.	
	Medical restrictions or not meeting the medical	
	requirements that may prevent someone from	
	continuing driving can inhibit them from	
	performing training and examination roles when	
	they would be capable from doing so with	A TOO
	reasonable adjustments	A TOC
	Training content did not fundamentally change	
	when ETDL was brought	A TOC
	Need far more guidance in the detail	A TOC
	{redacted} member advise that there isn't any	
	training content and methods under TDLCR but	
	that train operators carry out that function.	A trade union
	All covered in internal training and standards	A TOC
	wider scope should be available to encourage	
	greater use of self-paced and adult learning	
	techniques, and the requirements should be less	Other -
	prescriptive on method and content. The	Professional
	emphasis should be on the duty holder satisfying	Head of
	the requirements of the GB mainline rules,	Operational
	standards, concept of operations etc	Safety
		Jaioty

		1
	There is no consistency across the industry with	
	widely varying standards. This is creating an	
	uneven playing field. Some operators are using	
	this inconsistency to lower standards.	A trade union
	Training methods are fine, but the content of	
	training is overly prescriptive.	A TOC
	but you can always do better,	A trade union
		An ORR-
		recognised
	Need further involvement with those who train	trainer/examiner
		An ORR-
		recognised
		occupational
	Not my area of expertise.	health doctor
	I had to explain to a competence manager the	
	units of deceleration. He could not get it, as for the	
	kinematic equations it was impossible. You need	Other - Retired
	to up the standard of tuition.	Train Driver
		Other -
		Individual
	Yes, from what I've been told.	response
	The TDLCR requirements existed pre-TDLCR	
	within the companies SMS and therefore add no	
	value (or are extremely difficult to quantify what	A TOC
24 Are the	value they bring)	ATUC
34. Are the	The general professional knowledge dass not	
TDLCR general	The general professional knowledge does not	
professional	reflect the advances made in human factors	
knowledge and	knowledge such as in Non-Technical Skills. The	
requirements for	requirements around the knowledge of the Safety	
train driving	Management System seem to be a nod towards	
licences	"tick box" safety rather than the train driver	
effective?	understanding the safety context that they are	a railway
	operating within.	industry body
	Difficult to say as we've seen weak Drivers but as	
	we didn't train them we cannot say whether it was	
	the TLDCR requirements or the poor application	
	of those requirements that was the cause.	A FOC
	This section is overly theoretical and requiring the	
	train driver to understand the company's safety	
	management system, for example. It would be	
	better is the emphasis was on the role the driver	
	plays in making sure that the operation of the train	
	is safe and the environment and context that the	
	driver is in to assure their safety. It needs to be	
	more practical and focussed on the train driver.	
	The rules and regulations then would then sit	
	neatly into this by being able to explain the need	
	for compliance and showing how the train drivers'	
	professionalism is demonstrated by being	
	respectful to the rules and regulations. The	
	industry has embedded in its processes "Non-	a railway
	technical Skills", however, these are largely	industry body

ignored by the TDLCR and should be considered	
in this section.	
As above. Aligned to apprenticeship standards	A TOC
New drivers should learn about all UK rail	
operations, the approach that some operators	
take in only teaching absolute minimum for their	
operation significantly stunts the wider knowledge	
of the Individual and creates a huge training need	
should Individuals move on to another operator.	
Should that operator not robustly explore any	
knowledge gaps a significant risk to safety could	
be present and go unnoticed.	A TOC
The existing arrangements have continued	
therefore the impact is not possible to be	
determined	A TOC
Training content did not fundamentally change	
when ETDL was brought. Driver Training was	
developed using RBTNA before ETDL was	
introduced	A TOC
As per ROGS and company SMS	A TOC
See Q32/33	A TOC
We are advised that they have no bearing on	
training other than O3 under the Driver	
Apprenticeship Scheme (and not all operators use	
that).	a trade union
Enhanced through company standards	A TOC
wider scope should be available to encourage	
greater use of self paced and adult learning	
techniques, and the requirements should be less	Other -
prescriptive on method and content. The	Professional
emphasis should be on the duty holder satisfying	Head of
the requirements of the GB mainline rules,	Operational
standards, concept of operations etc	Safety
There is no consistency across the industry with	
widely varying standards. This is creating an	
uneven playing field. Some operators are using	
this inconsistency to lower standards.	a trade union
Concerned about the arbitrary figure of XX	
amount of years to train and particularly assess. It	
should be based on someone's competence and	
capability - not a set figure.	A TOC
Again these are overly prescriptive in some areas	
and very theory / technical based. It would be useful if an element of non-technical skill	
requirements were included as these are often the	
cause of safety incidents, rather than technical	
failure.	А ТОС
	An ORR-
	recognised
	occupational
Not my area of expertise.	health doctor
Certainly not for theoretical understanding of	Other - Retired
maths and physics.	Train Driver
mano ana priyotos.	

		Other -
	Providing the knowledge is kept up to date which	Individual
	is a requirement under the Regulations	response
	The TDLCR requirements existed pre-TDLCR	
	within the companies SMS and therefore add no	
	value (or are extremely difficult to quantify what	
	value (of are extremely difficult to quantify what value they bring)	A TOC
25 Are the	Value lifey billig)	ATUC
35. Are the		
TDLCR		
professional	Annia the second labe he stated within inductor	
knowledge of	Again, these would be best held within industry	
infrastructure	standards so that they can keep up with modern	
and rolling stock	technology. Why, for example, does a train driver	
requirements for	require to know about the suspension systems of	
train driving	the modern multiple unit? Aspects of ATO and	
certificates	ETCS could reduce the level of route knowledge	
effective?	required, however, this is not recognised by the	a railway
	regulations, for example, on diversionary routes.	industry body
	They restrict the ability of an organisation to get	
	training for rolling stock from the most	
	knowledgeable source.	A FOC
	The requirements, especially in rolling stock, are	
	outdated. Why do train drivers need to know the	
	detail about suspension, etc. The regulations do	
	not recognise that the Railway Undertakings	
	employ a "phone a friend" approach to many of its	
	faults and failure situations involving the driver	
	contacting a train maintenance engineer or	
	technician rather than trying to work it out for	
	themselves. As far as infrastructure knowledge	
	is concerned, it is unfortunate that a certified	
	holder is required in the cab for all types of	
	diversionary routes. If the diversionary route was	
	short and a speed restriction applied, it should be	
	possible for an uncertified train driver to drive the	a railway
	train over the diversionary route.	industry body
	As above Aligned to apprenticeship standards	A TOC
	The existing arrangements have continued	
	therefore the impact is not possible to be	
	determined	A TOC
	There has been no or minimal change to the way	
	routes and traction are assessed.	A TOC
	Backed up by our own internal processes.	A FOC
	As per ROGS and company SMS	A TOC
	See Q32/33	A TOC
	The Driver Managers attending {redacted}	
	organised meetings advised that this element	
	refers to the Part 2 Paper License which is	
	effective if applied to the appropriate route and	
	traction. However, we have reported earlier issues	
	with the Paper License not transferring between	
	employers and that, even where it does, it does	
	not prevent the receiving company from requiring	a trade union
L		

	the driver to carry out traction and route training	
	again (see response to Question 6).	
	Enhanced through company standards	A TOC
	wider scope should be available to encourage	//100
	greater use of self paced and adult learning	
	techniques, use of simulation and virtual	
	environments, and the requirements should be	
	less prescriptive on method and content. The	Other -
	emphasis should be on the duty holder satisfying	Professional
	the requirements of the GB mainline rules,	Head of
	standards, concept of operations etc and more	Operational
	flexible on timescales for physical retention	Safety
	There is no consistency across the industry with	
	widely varying standards. This is creating an	
	uneven playing field. Some operators are using	
	this inconsistency to lower standards.	a trade union
	These are overly prescriptive and not reflective of	
	current practice. Drivers are no longer required to	
	have an in depth knowledge of traction but instead	
	need to know the process to follow in terms of a	
	failure (i.e. contact Control).	A TOC
		An ORR-
		recognised
		occupational
	Not my area of expertise.	health doctor
	Schedule 5 calculate brake performance and the	Othern Detined
	Loughborough incident I rest my case. {redacted}	Other - Retired
	fail to highlight this failing. Providing the certificates are kept up to date & the	Train Driver
	Driver has the certificate in their possession when	
	driving trains - spot checks are undertaken & there	
	is a process in place if a Driver misplaces their	Other -
	certificate. Drivers have their traction & routes	Individual
	shown in one handy document	response
	This is an extension of route/ traction cards and	
	general certificate of competence that we issued	
	pre-TDLCR as part of our SMS. In the event that	
	TDLCR was withdrawn we would retain this	
	element.	A TOC
36. Do you think		
ORR's		
arrangements		
(i.e. guidance and other		
support) for the		
suspension and		
withdrawal of		
licences are		
effective ?	Following recent work, historically this has been inconsistent.	HM Railway Inspector
	Often don't get any reply at all from the licensing team if asking about validity of a Driving licence, know of at least one Driver who didn't drive for	
	any operator for over 18 months but heard nothing	A FOC
L		

		1
	from ORR's licensing team, the regime in the	
	Guidance didn't appeared to be applied.	
	This process is labour intensive. I cannot	
	understand why a company cannot suspend the	
	licence where required. A manager has to email	
	the HR centre, who then correspond with the	
	ORR. It is very labour intensive – admin burden,	
	not smooth process, long winded. Too easy for	
	things to get missed Why we can't have a	
	database to log issues and then track progress	
	without a manual check needing to be done via email with the ORR. It does have the benefit as	
	we now have a method to remove the licence to	
	stop dangerous Individuals moving to other TOCs	A TOC
	In some cases there is reluctance to suspend	
	licenses. Routine inspections carried out by	
	inspectors is also sporadic and could be	
	improved. More stringent inspection of drivers	
	incident history and clear guidance as to when the	
	number of incidents and the type of incident	
	becomes intolerable is not evident within the	A TOC
	guidance. Often there can be confusion between the comp	A IUU
	•	
	cert and licence, where the withdrawal of 1 does	
	not always withdraw the other. Existing	
	arrangements for the removal of competence have also continued	A TOC
	Email system of notification and read only	
	{redacted} not user friendly	A TOC
	Have not had to invoke a suspension or	
	withdrawal, however they seem workable	A TOC
<u> </u>	Members in our meetings cited issues of the	
	potential time taken to return licences (with ORR	
	working to a 30 day turn around) and a seemingly	
	different arrangements regarding withdrawn driver	
	licences and the situation for a driver who may not	
	have been driving for three months. Members also	
	questioned how well managed the system was	
	because they had heard about an audit in one	
	TOC which found issues with ten licenses.	a trade union
<u> </u>	Generic guidance is adequate however more	
	specific guidance is required for certain	
	circumstances for example when a driver on	
	secondment and competence has lapsed	A TOC
	We have no direct experience of the ORR's	
	methods, guidance and support in regard to these	
	questions.	a trade union
	The notification form (Excel document) is difficult	
	to complete and doesn't always allow us to	
	provide sufficient information. It would be useful to	
	have an acknowledgement or update once the	
	ORR have been notified of an issue with a driver's	
	licence. At the moment, we advise the ORR of the	A TOC
		· ·

	removal of a certificate and / or dismissal but we	
	don't always get feedback on action taken.	
	They are but there does seem to be some	
	abrasiveness in how this is managed, especially in	А ТОС
	terms of managing the licence process for drivers	ATUC
	I find this area somewhat problematic, perhaps	
	that might be due to the few amounts of time that I	
	have to inform of any changes to the Individual	a trade union
		An ORR-
	Orr need more involvement with those who issue	recognised
	certificates.	trainer/examiner
		An ORR-
		recognised
		occupational
	Not my area of expertise.	health doctor
	Very vague and specific guidance takes time to	
	receive when requested. Clearer guidelines	
	required, as well as better reporting on current	
	status of drivers.	A TOC
	Far too slipshod. The mentality of some drivers is	Other - Retired
	appalling.	Train Driver
	<i>I believe they are under preview and will be</i>	
	produced soon, so cannot comment on new	
	•	
	regulations but the current cant be a little vague or	
	not detailed enough and the current ORR	
	{redacted} system is not fit for purpose	A TOC
	I've not been involved in this but the section in the	Other -
	Regulations does seem quite 'wordy' & perhaps	Individual
	not 100% clear	response
	I have put NO but this is more the fault of RU's not	
	understanding the process rather than the system	A FOC
37. Do you think		
ORR's		
arrangements		
(i.e guidance and		
other support)		
for the		
recognition of		
training and		
examination		
centres are	We believe that the TDLCR have increased the	
effective ?	standard of facilities for the training of train	a railway
	drivers.	industry body
	Not yet been through that process.	A FOC
	Needs to be clearer and definitive answer needed	
	on questions rather than passing the issue back to	
	the TOCs	A TOC
	submission of training centre arrangements and	
	capabilities is submitted and approved by the	
	ORR periodically. A structured list of approved	
	centres is then held and publicly declared by the	
	ORR.	A TOC
		//100

[Vac although it looks the suidenes that these	1
	Yes although it lacks the guidance that these	
	applications should be performed during the re-	
	submission for safety certificates	A TOC
	Driver Managers from different operators were not	
	aware of this matter.	a trade union
	We have no direct experience of the ORR's	
	methods, guidance and support in regard to these	
	questions.	A trade union
	i do not deal with this area	A trade union
		An ORR-
		recognised
		•
	Not my or of our ortion	occupational
	Not my area of expertise.	health doctor
		Other - Retired
	Fail on basic physics understanding.	Train Driver
	{redacted} Training centres are out of date on	
	ORR system	A TOC
		Other -
		Individual
	I haven't heard otherwise.	response
	The TDLCR requirements existed pre-TDLCR	
	within the companies SMS and therefore add no	
	value (or are extremely difficult to quantify what	A TOO
	value they bring)	A TOC
38. Do you think		
ORR's		
arrangements		
(i.e. guidance		
and other		
support) for the		
recognition of		
medical		
examiners are		
	Nation a position to commont on path a modical	
effective ?	Not in a position to comment as not a medical	
	examiner	A FOC
		An ORR-
	I don't believe they are robust enough initially and	recognised
	the list of ORR doctors is not reviewed at regular	occupational
	intervals	health doctor
	Good there is a list – but creates a premium cost	
	as a few approved suppliers, needs to be	
	achievable for suppliers to get recognised and	
	therefore create competition within the market	
	which will help drive down costs	A TOC
	Good medical centres are being utilised.	A TOC
	The ORR has no guidance or support for "their"	
	medical examiners. They don't even employ a	
	medical doctor. They have no interaction with	An ORR-
	ORR doctors and have no medical knowledge in	recognised
	regard to Schedule 1. I believe it's all done by	occupational
	administrators.	health doctor
	Yes, registration of medical practitioners is an	
	improvement	A TOC

	We have no direct experience of the ORR's	
	methods, guidance and support in regard to these	
	questions.	A trade union
	But as stated - need some mitigation or attract	
	more into the resource pool.	A TOC
	in my opinion it is working well	A trade union
		An ORR-
	Still have incorrect use of F1 , F2 , U1 , U2 given.	recognised
	{redacted} seem to have their own interpretation	trainer/examiner
	I think they were a bit rudimentary. Ideally, with a	
	regular course running on medical fitness for train	An ORR-
	driving (currently piloted by RSSB) this should	recognised
	form part of the foundation for recognition. This is	occupational
	the best way of ensuring a systematic foundation.	health doctor
	The necessary competencies, qualifications and	
	experience are not very well conceived, defined	An ORR-
	and documented. The route to inclusion on the	recognised
	medical examiner's register is somewhat hit and	occupational
	miss and inconsistent.	health doctor
		An ORR-
		recognised
		occupational
	not standardised sufficiently	health doctor
		Other -
		Individual
	The information in the Regulations seems clear	response
		An ORR-
		recognised
		occupational
	see above	health doctor
		An ORR-
		recognised
	I am not aware of any support or guidance	occupational
	provided by ORR to medical examiners. The TDLCR requirements existed pre-TDLCR	health doctor
	within the companies SMS and therefore add no	
	value (or are extremely difficult to quantify what	
	value (of are extremely difficult to quantify what value they bring)	A TOC
39. Do you think		
ORR's		
arrangements		
(i.e. guidance		
and other		
support) for the		
recognition of		
psychologists		
are effective ?		
	Not in a position to comment as not a psychologist	A FOC
	Good there is a list – but creates a premium cost	
	as a few approved suppliers, needs to be	
	achievable for suppliers to get recognised and	
	therefore create competition within the market	4 700
	which will help drive down costs	A TOC

		An ORR-
		recognised
		occupational health
	San anowar to Q20	
	See answer to Q29	psychologist
	Yes, registration of Psychologists practitioners is	
	an improvement	A TOC
	We have no direct experience of the ORR's	
	<i>methods, guidance and support in regard to these</i>	
	questions.	a trade union
	as previously answered I would suggest that this	
	process needs some improvement. whilst I	
	understand the need for inclusion we seem to be	
	employing Individuals with learning difficulties	
	similar to the ones my children suffered with and I	
	know from my experience that my children	
	struggled to focus their attention and remain	
	stimulated. I believe that this is something that is	
	not really conducive to train driving and hope that	
	this would not be a factor in a serious rail incident.	a trade union
	More auditing required. Assurance needed that	An ORR-
	ORR have details of each employer of train	recognised
	drivers use the registered practitioners .	trainer/examiner
		An ORR-
		recognised
		occupational
		health
	I've answered this previously.	psychologist
	Not my field of specific expertise although it is	An ORR-
	essential to differential between psychometric	recognised
	testing for competence and aptitude rather than	occupational
	specific "medical standards".	health doctor
	The mechanical comprehension test was	
	removed, yet it is stated passing of this test	Other - Retired
	produces better drivers.	Train Driver
	not easy to find lists on site and drop down on	
	{redacted} applications for licences long list not	
	easy to scroll	A TOC
	ž	Other -
		Individual
	As per my response for Question 38	response
	The TDLCR requirements existed pre-TDLCR	•
	within the companies SMS and therefore add no	
	value (or are extremely difficult to quantify what	
	value they bring)	A TOC
40. Do you think		
ORR's		
arrangements		
(i.e. guidance		
and other		
support) for		
support) for maintaining the	But improvements could probably be made.	HM Railway

licenced drivers		
are effective ?		
	Often don't get any reply at all from the licensing	
	team if asking about validity of a Driving licence,	
	know of at least one Driver who didn't drive for	
	any operator for over 18 months but heard nothing	
	from ORR's licensing team, the regime in the	
	Guidance didn't appeared to be applied.	A FOC
	The registers are quite often out of date even	
	though railway undertakings are expected to send	
	quarterly reports to the ORR updating the	
	information. It would be better if the railway	
	undertakings could access and update the data	
	themselves through the portal as this would	
	reduce the number of information items being lost	
	and therefore reduce some of the administrative	A railway
	burden.	industry body
	Too slow and long winded to get updates	A TOC
	The current database is very difficult to navigate	-
	and is time consuming to search and locate	
	Individuals. Operators should have access (in a	
	controlled way) to update and manage data	
	applicable to their operation.	A TOC
	Yes, but the access and accuracy needs to be	
	reviewed, as it could provide additional benefits to	
	industry	A TOC
	Staff are helpful, systems are not	A TOC
	No, however I have asked and managed to obtain	
	our LSL register with assistance to ensure we do	
	a twice yearly check helpful with the ORR staff.	
	We maybe able to provide that each company has	
	a register for staff, but again this is managed and	
	has been for years by HR, along with certificates	
	of competence a list or register of drivers.	A TOC
	Cannot access the portal	A TOC
		An ORR-
		recognised
		occupational
		health
	Hardly ever up to date	psychologist
	Unable to access the portal	A TOC
	The register of drivers is a useful check and	
	balance for the transfer of safety critical	
	information, however the access and	Other -
	management of this should be reviewed, along	Professional
	with technical compatibility to company systems	Head of
	such as electronic competency management	Operational
	systems	Safety
	We have no direct experience of the ORR's	, , , , , , , , , , , , , , , , , , ,
	methods, guidance and support in regard to these	
	questions.	A trade union
	This is a very cumbersome process and often	

	confirmation that the changes have been applied	
	confirmation that the changes have been applied to the register. It would be a big step forward if	
	nominated people could have access to the	
	register to make these changes directly.	
	Feedback I get is that the process and register is	A TOC
	a bit clunky and the interface needs improving	A TUC
	Working within an assessment centre, we hold a	
	database with all applicant details to ensure that	
	we can manage and enforce the 2 attempts policy	
	and 6 month re-test policy. However with GDPR	
	we need to manage this database and remove	An ORR-
	people as and when required, i.e., if they have	
	retired from train driving. However we have no	recognised
	means with which to do this and therefore it would	occupational
	be advantageous to work more closely with the	health
	ORR and their register of licensed drivers.	psychologist
		An ORR-
	There is your little desurgented surgences and	recognised
	There is very little documented arrangements or	occupational
	guidance for how this is maintained.	health doctor
	Better reporting capabilities required - two way	A TOO
	sharing of information	A TOC
	The ORR {redacted} system for licences is not	
	good at all clunky and you cant search even let	
	alone do renewals or duplicates or amendment	
	requests on it. Sending emails for every change or	A TOO
	lost licence is time consuming and inefficient	A TOC
	I'm not always clear on what I need to do so have	Other -
	clarified on a couple of occasions with yourselves	Individual
	or our Operational Standards team	response
	No. The system relies on email traffic between	
	both organizations and duplicate data handling by	
	both parties. This would be less of an issue if we	
	were able to update the register ourselves (like	
11 Do you think	{redacted} do with the {redacted} system)	A TOC
41. Do you think ORR's		
arrangements (i.e. guidance		
and other		
support) for		
reissuing		
licences are		
effective ?	Not needed to use that recently	A FOC
	This is a major source of frustration for railway	
	undertakings especially as they wish to plan for	
	the bulk reissuing of train driver licences which will	
	take place on the adversary of the TDLCR.	
	Currently there is no advice or guidance in place	
	to assist railway undertakings in managing this	a railway
	process.	industry body
	Not clear on what will happen as all drivers come	, ···,
	to renew the licence as the 10 year anniversary	
	approaches, current system is too manual and	A TOC

		1
	clunky. Burden will end up with the TOCs and	
	create another significant admin issue rather than	
	ORR making the process easier to manage	
	Generic email address is not always answered,	
	licenses can take several weeks to be reissued.	
	Overall needs a review as to how this works.	A TOC
	This creates an additional burden of admin, as the	
	logistics and timing of licence issue requires	
	constant management	A TOC
	The process can be timely.	A FOC
	No issues	A TOC
	Following Q40 it is, but again is the whole process	
	necessary?	A TOC
	Have not required a re-issue	A TOC
	Our response is that the reissuing of licences is	
	not effective because we have been told of delays	
	of between three weeks and four months in	
	issuing new licenses and even as long as 9	
	months for a replacement to arrive after a wallet	
	was lost. Despite this problem, most drivers are	
	allowed to continue driving because their	
	competency has been recorded on management	
	systems. The question has also been raised about	
	why they are sent through the post?	a trade union
	unable to access the portal	A TOC
	There are some logistic and administration areas	
	that would benefit from a review, including return,	Other -
	change and the level of information required.	Professional
	Often this has not safety critical impact on the	Head of
	driver but adds increased administration to the	Operational
		Safety
	process We have no direct experience of the ORR's	Jalety
	methods, guidance and support in regard to these	a trada union
	questions.	a trade union
	Not really sure of the requirements for this yet or	
	how much of an administration requirement it will	
	bring.	A TOC
-	can take some time to get a replacement licence	a trade union
		An ORR-
		recognised
	Nich was field of easy offer	occupational
	Not my field of expertise.	health doctor
	Still awaiting clarity on questions raised around	A TOO
	renewal process	A TOC
	At present no - having to email everything is time	
	consuming even with acrobat DC	A TOC
	The process could be made easier as we are	
	having to re-provide a lot of information - new	
	signature, new photo, Driver address to you again	
	when this is on file. If the Driver has changed a	
	considerable amount, then I would expect to send	
	a new photo. A number of Drivers have started	Other -
	wearing glasses so are needing a new ETDL, for	Individual
	one person this is a huge task to approach depots	response

	to request & then chase them up before actually	
	reapplying. It would be helpful if an address other	
	than our HQ & Training Centre could be provided	
	(i.e. the Drivers Depot) to save redistributing. One	
	licence I'd reapplied for got lost this way.	
	No. The system relies on email traffic between	
	both organizations and duplicate data handling by	
	both parties. This would be less of an issue if we	
	were able to update the register ourselves (like	
	{redacted} do with the {redacted} system)	A TOC
42. Do you think		
ORR's		
arrangements		
•		
(i.e. guidance		
and other		
support) for		
issuing licences		
are effective?		
	Not a training school so don't issue licences	A FOC
		a railway
	If this question is targeted at new drivers.	industry body
	This seems to be an effective process	A TOC
	This is restricted to only two users and can take	
	several weeks to complete. During the trainee	
	driver process there is plenty of time to complete	
	this so it is done early to ensure that licenses are	
	issued prior to them being needed.	A TOC
	See answer above	A TOC
	No issues generally however an editable template	
	for the complementary certificate when it is a	
	requirement would be beneficial	A TOC
	· ·	
	See Q41 for similar answer	A TOC
	Prompt service which have always been 100%	
	correct	A TOC
	They are generally okay (estimated at 95%) noting	
	the caveats at Questions 41 and 43	a trade union
	unable to access the portal. All {redacted}	
	applications are emailed to the ORR	A TOC
	We have no direct experience of the ORR's	
	methods, guidance and support in regard to these	- f ue el - ·····
	questions.	a trade union
	This has improved a lot since the early days	A TOC
		An ORR-
		recognised
		occupational
	Not my period order of expertise.	health doctor
	System used is clunky and labour intensive.	A TOC
	You cannot tell at present if when you apply on	
	the {redacted} whether it has gone through or	
	been processed - then there is a long wait from	
	Printers	A TOC
		Other -
		Individual
	It is clear what is required.	response

43. Do you think	No. The initial application via the portal is fine, but updates or renewals relies on email traffic and paper form filling between both organizations and duplicate data handling by both parties. This would be less of an issue if we were able to update the register ourselves (like {redacted} do with the {redacted} system)	A TOC
ORR's arrangements (i.e. guidance and other support) for reinstating licences are effective ?		4 700
	This seems to be an effective process	A TOC
	This can be a very long-winded process, needs to be much simpler and could be a command within	
	the data base that operators are able to action.	A TOC
	Never had reason to do this	A TOC
	Not yet been required	A TOC
	Have not used this	A TOC
	{redacted} have not had to request remove a	
	license	A TOC
	We have no direct experience of the ORR's methods, guidance and support in regard to these	A trada union
	questions.	A trade union
	can always improve a process and make it smoother	A trade union
	Not my period order of expertise.	An ORR- recognised occupational health doctor
	System relies on the ORR letting me know by email when they have Suspended a licence then when the drivers are ready to return it relies on me emailing to say they have done the retraining to get it re-instated – which relies on ORR receiving	
	and replying to emails – which can take days	A TOC
	I've never been involved in this so unable to comment, sorry	Other - Individual response
	Never had to use this process	A TOC
44. Do you think ORR's arrangements	Often don't get any reply at all from the licensing	
(i.e guidance and other support) for the appeals process for suspended or withdrawn	team if asking about validity of a Driving licence, know of at least one Driver who didn't drive for any operator for over 18 months but heard nothing from ORR's licensing team, the regime in the Guidance didn't appeared to be applied. So from what I have seen the process is not effective anyway.	A FOC

certificates are		
effective ?		
	It should be easier for railway undertakings to	.,
	identify when a train driver license has been	a railway
	withdrawn.	industry body
	Process is fine – Portal needs improving it all links	
	to above comments	A TOC
	Never had reason to do this	A TOC
	Never been tested.	A TOC
	Not yet been required	A TOC
	Have not used this but have viewed the	4 700
	arrangement & feel they are acceptable	A TOC
	This aspect is usually dealt with at a more senior	
	level than that of Driver Manager (eg, Head of	
	Drivers or Head of Safety).	a trade union
	Guidance is suitable	A TOC
	We have no direct experience of the ORR's	
	methods, guidance and support in regard to these	
	questions.	a trade union
	I think the process is clear enough but it would be	
	useful if we could have feedback on the status of a	
	driver's licence once this process has been	
	applied. It would also be useful to have feedback	
	on the information we have supplied to support the	
	process (was it sufficient, too in depth etc)	A TOC
	I have not dealt with this area,	a trade union
	I am not aware of the process for appealing	
	medical decisions which needs to be more	
	consistent and transparent for individuals who feel	
	they have been unfairly assessed. This is a tenant	
	of natural justice and should not be left up to the	
	individual medical providers to deal with their own	An ORR-
	internal appeals. There needs to be an outside	recognised
	agency to determine how the medical standards	occupational
	are being interrupted.	health doctor
		Other -
		individual
	As per my answer to Question 43	response
	Never had to use this process	A TOC
45. Do you think	The ROGS requirements for Safety Certificate and	
any other ORR	inspection of that (including competence	
arrangements	management) in my view form the effective	
are effective ?	regulation of all safety critical competence	
(please specify)	including Driving. Given the content of that regime	
	including the application of it before TDLCR came	
	out, it is difficult to see what TDLCR added as the	
	ROGS inspection regime was already ensuring	
	standards were/are appropriate for train driving.	
	ORR would do better to scrap TDLCR and focus	
	more resource into the already-effective ROGS	
	certification/inspection regime.	A FOC
	In the main they are. The guidance for Operators is	Other - Heritage
	very useful. However, the {redacted} falls into an	Railway
	almost unique category where it operates on the	Operator

mainling with an examption. We are encotified by	
mainline with an exemption. We are specified by	
the ORR as required in the Regulations, however	
the guidance lacks clarity around this.	
The overall process is an administrative nightmare,	
it should be possible for the portal to be designed	
that would enable the users of the system to	
update the information that they hold directly,	
upload photographs, etc directly and not have to	
constantly have to obtain signatures, etc. the	
system requires a digital transformation! This	
would not only directly reduce the administrative	
burden but indirectly as well as it would result in a	
reduced number of items being lost or going	a railway
missing.	industry body
I believe that the ORR would benefit from a	
medical examiner. When I was implementing a	
post Brexit cross border medical assessment	
process a dedicated medical advisor would have	
been very helpful. This was a process without	
precedent and I found it very difficult to get advice.	
In addition some of the ORR guidance medical	
advice for OH providers for train driver	An ORR-
assessments has, in my view, not been evidence	recognised
based or given from an informed position. e.g. the	occupational
definition of 'a general medical examination'.	health doctor
The update of licences for changes should be	
simplified to reduce the admin required, and	
emphasis placed on the Individual to do this rather	
than the company in a similar way to the car driving	
licence	A TOC
Is this referring to ETDL guidance or other ORR	///00
documents?	A TOC
The management of the portal would benefit from a	Other -
review, including technical compatibility or	Professional
integration with other systems, such as electronic	Head of
competency management systems, HR systems	Operational
etc as many are common to most railway operators	Safety
We have no direct experience of the ORR's	
methods, guidance and support in regard to these	
 questions.	a trade union
	An ORR-
Think too much reliance is left to the employer of	recognised
 train drivers with little involvement of the ORR	trainer/examiner
	Other - Driving
	Standards
I've had no issue personally	Manager
You fail to enforce schedule 3 and schedule 1,	
understand brake performance calculation inter	Other - Retired
alia.	Train Driver
not at present	A TOC
	Other -
	Individual
I can't think of any other arrangements not covered	
I can't think of any other arrangements not covered	response

		1
	I think these regs add a huge administrative burden	
	to ORR as well as the TOCS, there is a lot of	
	double data handling from one system to another	
	and use of paper-based forms. This has a real	
	potential for errors to occur.	A TOC
46. Do you have		
a view on the		
appeal process		
to the Secretary		
of State which is		
available to train		
drivers whose		
licence has		
been suspended		
or withdrawn by		
ORR?		a railway
	It would be helpful if it was a little more transparent!	industry body
	Is it required?	A TOC
	Not yet been required	A TOC
	The Driver Managers at our meeting have never	-
	used the process and very few are aware of it.	A trade union
	I understand the appeals process, however the	
	final decision for obtaining and keeping a licence	
	should remain with the duty holder who is initially	
	confirming this has been achieved, or responsible	
	for the ongoing confirmation this is maintained.	Other -
	Where the driver has been unable to faithfully and	Professional
	consistently discharge their safety responsibility as	Head of
	a train driver the final decision should remain with	Operational
	the duty holder.	Safety
	We have no direct experience of the ORR's	
	methods, guidance and support in regard to these	
	questions.	A trade union
	It's not something we see much of although I have	
	been involved in one appeal, but didn't get to hear	
	the outcome.	A TOC
	I am currently booked off as "unfit to drive trains"	
	because of the corrective lens strength limits	
	imposed by the TDLCR. 20 years without a vision	
	related safety of the line incident and I'm now	
	unfit!!!	a train driver
	<i>I think someone needs to have the final say on this</i>	
	process whether that needs to be the secretary of	a trada union
	state I am not sure	a trade union
		Other - Driving
		Standards
	Everyone has a right to an appeal	Manager
	It should be put before a judge like any other	
	licence removal	a train driver
	I'm not sure the Secretary of State would be the	
	best person to comment on any such appeal as	
	they rely on the Civil Service for advice! Perhaps a	Other -
	panel of experienced TOC/FOC Directors or Heads	Individual
	of who were Drivers would be better but I	response

		I
	appreciate the Regulations would need to be	
	amended for this to happen	
	Just my view but seems a lofty elevation to make.	
	Maybe a cross industry panel could be formed to	
	decide?	A FOC
47. Is there		
anything else		
you would like		
to comment on		
which is		
relevant to this		
post	We believe that a full review of the classification of	
implementation	train drivers licences should be undertaken. It	
review of	would be better if the Class of Licence was more	
TDLCR?		o roilwov
IDLGR	aligned to the stages of competence required by a	a railway
	train driver.	industry body
	The survey questions appear loaded to try to get a	
	positive response to keep the TDLCR. Our overall	
	position is that the TDLCR are an unnecessary	
	regulatory burden which place a disproportionate	
	cost on small freight operators (bearing in mind	
	that most freight operators are NOT subsidised by	
	the government the way most passenger operators	
	are) whilst doing little if anything to improve safety.	
	The ROGS regime adequately covers ALL other	
	safety critical jobs/tasks and we see no reason why	
	Driving should be an exception. The focus on	
	TDLCR can also take focus off other training for	
	other tasks and roles, which is perhaps why in so	
	much of the train operator sector the standard of	
	PTS training in the train operator sector is	
	substandard compared to {redacted} Sentinel	
	regime. The TDLCR tend to favour the bigger	
	operators particularly due to the traction training	
	coming under the "recognised training centre"	
	regime. With the availability of traction extremely	
	limited (and potentially what is available is a bit	
	exotic) this can act as a barrier to entry and a	
	driver for a handful of big operators to maintain a	
	monopoly position. The TLDCR can also increase	
	training costs for new traction in the passenger	
	sector as there is effectively double handling of	
	"static traction training" then further TOC sign off. If	
	the ORR insists on keeping the TDLCR then they	
	should (a) explicitly remove traction training from	
	the scope and (b) do far more inspection of the	
	training schools of the big operators to ensure they	
	really are doing the training properly. Ultimately, in	
	our view the prescriptive TDLCR regime which is	
	not properly followed anyway is no substitute for	
	the ROGS regime when robustly checked by	
	competent inspectors on the ground. Whilst the	
	TDLCR no doubt make it easier for cross-channel	A FOC

operators, these are a minority in the context of	
both freight and passenger operators.	
Recently, a number of photographs have been	
rejected as they do not show a train driver wearing	
spectacles when the medical has indicated that	
they should be worn. This seems to be a change	
in guidance that has been imposed on railway	
undertakings without notice and has created yet	
more administrative burden for railway	
undertakings. We also believe a better	
classification definition between a Licence Holder	
Class A and a Licence Holder Class B should be in	
place which more reflects the roles carried out by	a railway
train drivers in their various forms.	industry body
A train driver license should be listed as a	
recognised form of identification similar to a car	
driving license as it is issued by the same	
organisation.	A TOC
The TDLCR should be reviewed with a scope to	
withdraw as it's not applicable for domestic	
operators within the GB mainline railway. Aspects	
such as a centralised register of drivers provides a	
-	
value, but other aspects should be withdrawn as	
they provide a cost and admin burden without any	
current demonstrable benefit. This aligns to the	
Shapps Williams review of reducing unnecessary	
costs for the industry	A TOC
	An ORR-
	recognised
The list of psychologists is rarely up to date and	occupational
generally inaccurate (and misleading) as	health
psychometric assessor are not psychologists.	psychologist
{redacted} members would not like to see a totally	
new system introduced because it took a lot of	
effort to get the existing one set up and working.	
Our comments are about aspects of what could be	
improved.	a trade union
we would the ability to amend any changes to the	
TDL information rather than applying to the ORR.	
ORR confirmation of changes is inconsistent. This	
would provide flexibility and expediency of change	
to the TOC	A TOC
Many of the principles of the TDLCR were in place	
prior to their introduction, such as consistency in	
train driver recruitment, training, initial certificates	
of competence and management of ongoing	
competence, transfer of safety critical info for	
leavers/ joiners etc. These were and are still	
managed through industry standards, industry	Other -
guidance and historically codes of practice. Most of	Professional
these process and arrangement have continued,	Head of
with the TDLCR providing little or no additional	Operational
demonstrable safety benefit, therefore the TDLCR	Safety

continued applicability to UK mainline domestic operations should be reviewed	
Please don't devalue the role of train driving with the lowering of standards for political purposes.	a train driver
We have recently seen a number of photos rejected because the driver wasn't wearing glasses in the photo where then their application states these are required. Really not sure why this is required. Drivers may predominantly wear contact lenses. Does this also apply to the wearing of hearing aids? Should these be visible in the photo? On a wider point, it's an example of interpretation that has been changed without notice that has caused some delay in the application process. If it genuinely is required then it should be contained	
within the guidance.	A TOC
The process needs to be streamlined and costs reduced for the industry. If this is done I think there is a longer term future for TDL	A TOC
I am currently booked off as "unfit to drive trains" because of the corrective lens strength limits imposed by the TDLCR. 20 years without a vision related safety of the line incident and I'm now	Other - a train
<i>unfit!!!</i> I have made formal complaint to the HSE regarding non-compliance. I have also made formal legal statement.	driver Other - Retired Train Driver
Have the Regulations done what they set out to do & are they still relevant? Clarity on the process of reapplying near to the 10 year TDL expiry date would be helpful, please. Are there any plans to charge a Driver or TOC/FOC for lost/stolen TDL's?	Other - Individual response
Consider implementing a universal drug and alcohol policy, having clear medical standards and clear process where medical examiners can get support and guidance	An ORR- recognised occupational health doctor

Annex 3 – Explanatory Notes

Note for all charts

The visual charts shown for each question are for illustrative purposes only and should be viewed in conjunction with the data tables also provided.

Explanatory Note for Question 1:

This question asked for each respondents for their full name, job title, e-mail address and telephone number. It also asked whether the responses was being made on behalf of an organisation, and if so, the name of the organisation. The contact detail information is not repeated in Annex 1. The names of the organisations are also not reproduced, but the types of organisation are shown in the answer to Question 2.

Explanatory Note for Question 2:

By the close of the online evidence gathering survey, 68 responses had been submitted. However, 13 of these responses were either completely blank ('nil responses') or had only answered the 'About you' section ('non-material response'). These responses were not included in the analysis phase. To be clear, respondents who answered <u>any</u> of the material survey questions – even incompletely - were fully included in the PIR analysis and in this report. Therefore, 55 responses from the survey were included in the analysis which informed the final PIR report.

We received two responses from Great Western Railways, East Midlands Railway, Northern Trains Limited and BD Cargo (UK) Ltd. These responses were from different people but within the same F/TOC – which was allowable within the online survey – and therefore both sets of responses from the same F/TOC were included in the PIR analysis. We initially received four responses from Govia Thameslink Railway (GTR). One of these responses was subsequently clarified as being an Individual response from a senior manager and one was re-categorised to an 'an industry body' response (see points 1 and 3 below). Therefore, two responses were categorised as from GTR in the final analysis. We also received two responses from The Transport Salaried Staffs' Association (TSSA).

A small number of responses were unclear in respect of whether or not it was a response on behalf of an organisation. Additionally, some responses required clarification about the category of organisation which had been selected. We contacted eight respondents about the points we needed clarifying. The following clarifications were received:

- 1. A respondent from a TOC clarified that their completed survey should be changed to 'not from an organisation' and categorised as 'Other Professional Head of Operational Safety';
- A respondent who initially stated their survey response was from a TOC organisation clarified it should be re-categorised as an organisational response from "Other – Other -Heritage Railway Operator";

- 3. A respondent who initially stated their survey response was from a TOC organisation clarified that it should be re-categorised as an organisational response from "An Industry Body";
- A respondent who initially stated their survey response was not from an organisation clarified that it should be changed to a response from an organisation and categorised as "Other – Railway Contractor" and "Other-Driving Standards Manager";
- 5. A respondent who initially indicated that they were responding as a TOC and ORR recognised trainer/examiner clarified that they were not responding on behalf of any organisation and should only be categorised as an "ORR-recognised trainer/examiner";
- A respondent who initially indicated that they were responding as a TOC clarified that they were not responding on behalf of an organisation and should be categorised as "Other – Individual";
- A respondent who initially stated their survey response was not from an organisation clarified that it should be changed to a response from an organisation and categorised as a 'FOC' and 'ORR recognised training and examination centre';
- 8. One respondent selected train driver and responding on behalf of a FOC organisation. However, the responses to each question were specific to the driver's employment. We contacted the driver to confirm whether the response should be categorised as an Individual response from a train driver. We did not receive a response, but we were not confident that the response could accurately be categorised as being made on behalf of a FOC organization. It was therefore re-categorised to a response not made on behalf of an organization, 'train diver'.

Category	Number of times this category was selected by respondents answering on behalf of an organisation	Number of times this category was selected by respondents not answering on behalf of an organisation
A Train Operating Company (TOC)	19	0
A Freight Operating Company (FOC)	4	0
A Railway Infrastructure Manager (IM)	0	0
A Rolling Stock Leasing Company (ROSCO)	0	0
An ORR-recognised occupational health doctor	1	8
An ORR-recognised occupational health psychologist	2	0
An ORR recognised trainer/examiner	1	1
An ORR-recognised training centre	3	0
A railway industry body	4	0
A trade union	3	0
A train driver	0	4

Following these clarifications and subsequent adjustments as set out above, the table below shows the categorisations used in the analysis for the TDLCR PIR.

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Other – A Other - Heritage	1	0
Railway Operator		
Other – Railway Contractor	1	0
Other – Driving Standards	1	0
Manager		
Other - TOC TDL	1	0
administrator/ACMS		
Administrator		
Other - ORR Railway Inspector	0	1
Other - member of the public	0	1
Other – Railway Consultant	0	1
Other – Individual response	0	1
Other – Other - Retired Train	0	1
Driver		
Other – Professional Head of	0	1
Other - Professional Head of		
Operational Safety		

Note: the total number of category selections is greater than the number of responses (i.e 55) because respondents were able to select more than one category. For example, a TOC may also want to also respond as an ORR-recognised training centre. The analysis of the responses in the PIR took account of all the selections made.

Explanatory Note for Question 3

We received 27 responses to this question. However, nine responses were adjusted for the following reasons. We received two responses from two TOCs who gave slightly different driver figures. We therefore took an average and only counted one response. We also received four responses who <u>only</u> answered the non-material questions (including question 3) but provided no responses for every other question asked. One response was simply to state that the number of drivers was not known and another from a trade union explained that they were not providing figures. One respondent had already stated that they were not replying on behalf of an organisation, but still provided a licenced driver number. Finally, one response explained that the figures were not known at the time of completing the survey.

Explanatory Note for Question 5

54 respondents answered this question. However, two respondents submitted two answers. Therefore, the total number of answers shown is 56.



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