

Richard Smith
Plasser UK Ltd
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West Ealing
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Case Ref PRM-IOP-00451

EIN UK/54/2024/0001

10th May 2024
Contact: Paul Fray
HM Inspector of Railways
25 Cabot Square
London
E14 4QZ

Dear Mr Smith

**THE RAILWAYS (INTEROPERABILITY) REGULATIONS 2011, AS AMENDED
PLASSER 09-4X4/4S**

This letter replaces our letter to you of 9 May 2024 that contained incomplete European vehicle numbers.

I refer to your application for authorisation, received on the 25th April 2024. Following review of your application, I can confirm that ORR grants a 'Type' authorisation under regulation 9) of the Railways (Interoperability) Regulations 2011 as amended for the placing into service of Plasser 09-4x4/4S;

- DR75016, EVN 99709 123 018-2.
- DR75017, EVN 99709 123 019-0.

The restrictions or limitations of use on the structural subsystem are those contained within your UK Declaration of Conformity letter dated 25/04/2024 and in accordance with your technical file Technical File RTV-SN0255907-R002 Issue-1 09-4X4/4S Tampers for Plasser UK on behalf of Plasser & Theurer Export von Bahnbaumaschinen Gesellschaft m.b.H Pummererstraße 5,A-4021 Linz, Austria.

The rolling stock subsystem(s) authorised by this letter must be operated and maintained in accordance with Regulation 20.

You should be aware that any future modifications to the authorised subsystem may constitute a 'renewal' or an 'upgrade' as defined in Regulation 2. If a project entity, in relation to the project, considers that the modification meets either of these definitions they may apply, in accordance with the provisions of Regulation 13, to the Department for Transport (DfT) for a decision on whether a new authorisation will be required. Should DfT decide that an authorisation is not required they must consult with ORR whether authorisation is required on safety grounds.

As the project entity you are responsible for retaining the technical file, keeping it up to date and making it available to the ORR in accordance with Regulations 18 and 19.

If you are not the owner of the authorised subsystem you shall within 60 days, in accordance with Regulation 19(3), transfer the technical file, certificate of verification and verification declaration to the owner of the subsystem and the owner shall then be regarded as the project entity. If the owner, in accordance with Regulation 19(4), disposes of his interest in the authorised subsystem, he shall within 60 days of the disposal transfer the technical file, certificate of verification and verification declaration to the person acquiring that interest and that person shall be regarded as the project entity.

Please note that under Regulation 36, the person who applied for the authorisation shall send particulars to the Registration Entity to enable the registration entity to enter the information on the National Vehicle Register. This will include such further information as the registration entity may reasonably require set out in the relevant standard.

The person who applied for the authorisation to place in service will be issued with a determination of type in accordance with Commission Implementing Decision 2011/665/EC. The person who applied for the authorisation to place in service will receive the type authorisation after providing the data to the Registration Entity in accordance with Annex II of Commission Implementing Decision 2011/665/EC.

If you are the operator, may I remind you of the need to have adequate arrangements within your Safety Management System to control the risks associated with this rolling stock subsystem(s).

Yours sincerely

Steve Fletcher

Deputy Director, Railway Planning and Performance



Richard Hines

Acting Chief Inspector, RSD ORR

James LeGrice

Head of Interoperability, Safety and Standards DfT

Giles Turner

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