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Mr Andrew Hall
Deputy Chief Inspector of Rail Accidents
Cullen House
Berkshire Copse Rd
Aldershot
Hampshire GU11 2HP

Dear Andrew,

RAIB Report: Locomotive failure near Winchfield

I write to provide an update¹ on the action taken in respect of recommendation 3 addressed to ORR in the above report, published on 16 June 2014.

The annex to this letter provides details of the action taken. The status of this recommendation is now **'Implemented'**. We do not propose to take any further action in respect of these recommendations unless we become aware that any of the information provided becomes inaccurate, in which case I will write to you again.

We will publish this response on the ORR website on 17 August 2015.

Yours sincerely,

Andrew Eyles

¹ In accordance with Regulation 12(2)(b) of the Railways (Accident Investigation and Reporting) Regulations 2005

Recommendation 3

The intent of this recommendation is that the maintenance arrangements for steam locomotives operated by West Coast Railway Company should be consistent and in accordance with the provisions of its safety management system.

West Coast Railway Company should review and improve its safety management system to take account of the need for assurance that the standards of maintenance work carried out on locomotives owned and/or operated by the company are adequate, consistent and subject to monitoring and supervision independent of those doing the work.

Previously reported to RAIB

1. On 11 June 2015 we reported to RAIB that the status of recommendation 3 was 'Implementation ongoing'. We did, however, note that West Coast Railways (WCR) compliance with an Improvement Notice (IN) served on 20 May 2015 requiring it to review the documentation within its Safety Management System (SMS) to ensure it is robust would meet the intention of this recommendation.

ORR decision

2. ORR inspectors have met with WCR and confirmed that it has satisfied the requirements outlined within the IN. Whilst ORR intends to follow up WCR's response to the IN via further inspection work to confirm that the revised SMS works in practice, it is content that the intention of the recommendation – the review and improvement of WCR's SMS - has been met.

3. After reviewing information received ORR has concluded that, in accordance with the Railways (Accident Investigation and Reporting) Regulations 2005, WCR has:

- taken the recommendation into consideration; and
- has taken action to implement it.

Status: Implemented.