

## Railway Safety Regulations 1999: Regulation 5

### Certificate of Exemption

1. The Office of Rail and Road (ORR) exempts under regulation 6(1) of the Railway Safety Regulations 1999 (“the Regulations”) the hinged door rolling stock listed in the Schedule to this certificate from the requirements of regulation 5(1) of the Regulations. In granting this exemption, ORR has carried out a review of the evidence provided by **London Underground Limited** (the Operator) (company number 1900907) in support of the exemption request, consulted as required by regulation 6(2) and has had regard to the matters set out in regulation 6(3) of the Regulations.
2. In this exemption:  
“rolling stock” means any carriage, wagon or other vehicle used on track and includes a locomotive.
3. This exemption applies to the rolling stock with hinged doors for passenger access listed in the Schedule (the hinged door rolling stock) and is granted subject to the following conditions:
  - (a) the hinged door rolling stock is used only for passenger services between Ruislip Station and Harrow-on-the-Hill Station.
  - (b) the operator of the hinged door rolling stock has and maintains a safe system of train operations which covers the operation of hinged door rolling stock for carriage of passengers;
  - (c) competent and adequately trained stewards are provided and are responsible for monitoring doors and passenger behaviour when passengers are boarding, are on-board and are alighting the train;
  - (d) any one steward shall be responsible for no more than the doors of any one vehicle or those doors in adjacent vehicles immediately either side of a gangway;
  - (e) passenger announcements and briefing notices are used as appropriate to explain to passengers the door arrangements;
  - (f) the operator must inform ORR, in writing, at least 28 days prior to the first planned operation in a calendar year, of the proposed number of operating days and service routes for passenger services using the hinged door rolling stock in that calendar year.
4. This exemption is valid from 0001 hours on 7 September 2017 and, subject to paragraph 5, shall expire at 2359 hours on 31 December 2018.

5. In accordance with regulation 6(1) of the Regulations, ORR may revoke the whole or any part of this exemption, at any time, by issuing a certificate in writing.

A handwritten signature in black ink, appearing to read "Jeffrey Schulte". The signature is written in a cursive style with a large initial "J".

Signed by authority of ORR

**31 August 2017**

## Schedule

The rolling stock vehicles to which this exemption applies are:

| <b>Vehicle Number</b> | <b>Type</b>                              | <b>Date Built</b>   |
|-----------------------|--|---|
| DTSO 76297            | 4TC                                      | Built 1955. 4TC conversion in 1966                                |
| TFK 71163             | 4TC                                      | Built 1954. 4TC conversion in 1974                                |
| TBS 70823             | 4TC                                      | Built 1957. 4TC conversion in 1966                                |
| DTSO 76324            | 4TC                                      | Built 1957. 4TC conversion in 1966                                |
| 353                   | Ex-Metropolitan Railway four wheel coach | 1892 (body restored and fitted to a new steel underframe in 2012) |