

Railway Safety Regulations 1999: Regulation 5

Certificate of Exemption

1. The Office of Rail Regulation (ORR) exempts under regulation 6(1) of the Railway Safety Regulations 1999 (the Regulations) the hinged door rolling stock listed in the Schedule from the requirements of regulation 5(1) of the Regulations. In granting this exemption, ORR has carried out a review of the evidence provided by **West Coast Railway Company Limited (Company Number: 03066109)** (the company) in support of the exemption request, consulted as required by regulation 6(2) and had regard to the matters set out in regulation 6(3) of the Regulations.
2. In this exemption:
 - “railway” has the same meaning as in the Regulations;
 - “Joint TOC document” means the document entitled ‘Charter Train Managers Operation of Coaching Stock fitted with Secondary Door Locking’ prepared by DB Schenker Rail (UK) Limited and West Coast Railway Company Limited;
 - “rolling stock” means any carriage, wagon or other vehicle used on track and includes a locomotive;
 - “safety management system” has the meaning it has in the Railways and Other Guided Transport Systems (Safety) Regulations 2006 (ROGS).
3. This exemption applies to the rolling stock with hinged doors for passenger access listed in Schedule (‘the rolling stock’) and is granted subject to the following conditions:
 - (a) The company must ensure that hinged doors are modified so that:
 - (i) they can be securely locked and clearly labelled as not for passenger use, apart from the necessary access doors at the end of each carriage;
 - (ii) they are fitted with secondary locks, equivalent to those specified in the Joint TOC document (and any revisions to it that ORR consents to), which are protected by an anti-tamper device and labelled as being for emergency use only;
 - (iii) all access doors are fitted with secondary door locks that can only be operated by a steward of the company, unless in an emergency.
 - (b) the rolling stock is not used for passenger services on a railway unless:
 - (i) the operator of the rolling stock has and maintains an established safety management system which covers the operation of hinged door rolling stock;

- (ii) competent and adequately trained stewards are provided and are responsible for monitoring doors and passenger behaviour; and for the operation of secondary door locks at all times when passengers are boarding, on-board and alighting the train; any one steward shall be responsible for no more than the doors of any one vehicle or those doors in adjacent vehicles immediately either side of a gangway;
- (iii) passenger announcements and briefing notices are used as appropriate to explain to passengers the door arrangements set out paragraph 3(a).

4. This exemption is valid from 7 December 2012 until 31 March 2023

5. Under regulation 6(1) of the Regulations, ORR may revoke the whole or any part of this exemption, at any time, in writing.



Signed by authority of ORR

7 December 2012



Schedule

The rolling stock vehicles to which this exemption applies are:

Vehicle Number	Type	Date Built
315	'Heron' Mk1 Pullman	1960
325	Mk1FP Pullman	1960
326	Mk1 PPS Pullman	1960
337	Mk1 PPS Pullman	1960
1800	Mk 2 RSS	1960
1840	Mk1 RMB	1962
1860	Mk1 RMB	1962
1861	Mk1 RMB	1962
1961	Mk1 RK	1960
2833	Mk1 BCK	1964
3058	Mk1 FO	1955
3093	Mk1 FO	1959
3136	Mk1 FO	1963
3143	Mk1 FO	1963
4849	Mk1 TSO	1959
4854	Mk1 TSO	1959
4905	Mk1 TSO	1961
4940	Mk1 TSO	1962
4951	Mk1 TSO	1962
4960	Mk1 TSO	1962
4973	Mk1 TSO	1962
4984	Mk1 TSO	1962

4994	Mk1 TSO	1962
5032	Mk1 TSO	1962
5035	Mk1 TSO	1962
5157	Mk2 TSO	1966
5171	Mk2 TSO	1966
5177	Mk2 TSO	1967
5191	Mk2 TSO	1966
5198	Mk2 TSO	1966
5200	Mk2 TSO	1966
5212	Mk2 TSO	1967
5216	Mk2 TSO	1966
5222	Mk2 TSO	1966
5229	Mk2 TSO	1966
5236	Mk2 TSO	1966
5237	Mk2 TSO	1966
5239	Mk2 TSO	1966
5249	Mk2 TSO	1966
5278	Mk2 TSO	1966
5419	Mk2 TSO	1969
5453	Mk2 TSO	1969
5463	Mk2 TSO	1969
5478	Mk2 TSO	1969
5487	Mk2 TSO	1969
5491	Mk2 TSO	1969
5569	Mk2 TSO	1970
5756	Mk2 TSO	1972

5874	Mk2 TSO	1973
6528	Mk2 TSOT	1970
9101	Mk2 BSO	1966
9104	Mk2 BSOT	1966
9391	Mk2 BSO	1966
9392	Mk2 BSO	1966
9440	Mk2 BSO	1966
9448	Mk2 BSO	1970
9496	Mk2e BSO	1972
13306	Mk1 FK (to be reconfigured as FO)	1961
13320	Mk1 FK	1962
13440	Mk2 FK	1968
17018	Mk1 BFK	1962
17090	Mk2 BFK	1968
21266	Mk1 BCK	1964
35470	Mk1 BSK	1961
35518	Mk2 BFK	1968
70823	Mk 1 TBS	1957 (Converted to 4TC set in 1966)
71163	Mk 1 TFK	1954 (Converted to 4TC set in 1974)
76297	Mk 1 DTSO	1955 (Converted to 4TC set in 1966)
76324	Mk 1 DTSO	1955 (Converted to 4TC set in 1966)

99035	Mk1 BSK Queen of S	1962
99052	Mk1 CR41 Diner Wooden Body	1892
99121	MK1 FO	1955
99122	MK1 FO	1955
99125	MK1 FO	1955
99127	MK1 FO	1955
99128	MK1 FO	1955
99304	Mk1 BCK	1964
99311	Mk1 RMB	1962
99312	Mk1 BSK	1963
99316	Mk1 FK	1962
99317	Mk1 TSO	1953
99318	Mk1 TSO	1961
99319	Mk2 BFK	1970
99321	Mk2 TSO	1968
99322	Mk2 TSO	1968
99323	Mk2 TSO	1968
99324	Mk2 TSO	1968
99325	Mk2 TSO	1968
99326	Mk1 TSO	1962
99327	Mk1 TSO	1962
99328	Mk1 TSO	1962
99329	Mk1 TSO	1962
99347	Mk1 FO PSK	1960
99348	Mk1 FO PSK	1960
99349	Mk1 PPS Pullman	1960

99350	Mk1 PSK	1960
99351	Mark 1 SP Pullman	1960
99352	Mk1 PSK	1960
99353	Mk1 PPS Pullman	1960
99354	Mk1 PPS Pullman	1960
99361	Mk1 PPS Pullman	1960
99371	Mk1 FO	1963
99670	Mk2 PFP	1966
99671	Mk2 PFP	1966
99672	Mk2 PFP	1966
99673	Mk2 PFP	1966
99674	Mk2 PFP	1966
99675	Mk2 PFP	1966
99676	Mk2 PFP	1966
99677	Mk2 PFB	1966
99678	Mk2 PK	1966
99679	Mk2 PK	1966
99680	Mk2 BFK	1968
99710	Mk1 SK	1961
99712	Mk1 SK	1962
99716	Mk1 SK	1962
99718	Mk1 SK	1962
99721	Mk1 SK	1962
99722	Mk1 TSO	1962
99723	Mk1 BSK	1962
99880	Mk1 dine 5159	1890

99881	Mk1 GNR Wooden body	1912
99886	Mk1 Gen car	1963
99971	Mk1 PFK Pullman	1960
99990	Mk1 BFK	1962
999506	Mk1 Obs car	1957

Schedule last updated: 7 March 2018